From:	Day, <kevin@dgs></kevin@dgs>	Page 1
To:	<u> "Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>	
	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>	
	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>	
Date:	6/1/2016 4:55:53 PM	
Subject:	RE: Agreement #3188106 2016 Code Part 3	
-	-	

Katrina, Leann, and Cynthia:

Thanks so much for all your hard work in facilitating the completion of all three publisher contracts!

Kevin Day 916.263.0355

From: Benny, Katrina@DGS Sent: Wednesday, June 01, 2016 4:45 PM To: Marvelli, Mia@DGS; Day, Kevin@DGS; Bledermann, Cynthia@DGS Cc: de la Motte, Leann@DGS Subject: FW: Agreement #3188106 2016 Code Part 3

I have saved the attached signed document in the Procurement folder.

#### Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Betts, Bruce@DGS Sent: Wednesday, June 01, 2016 1:50 PM To: Rose, Debra Cc: de la Motte, Leann@DGS; Benny, Katrina@DGS Subject: Agreement #3188106 2016 Code Part 3

#### Hello Debra,

The dually signed and executed agreement is attached. I will follow up this afternoon by sending you an original, hard copy via mail this afternoon. Thank you very much for working with us on this and please let me know if you have any questions.

#### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services Administration Division** Department of General Services » State of California 707 3rd Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

raye i		
From:	Benny, <katrina@dgs></katrina@dgs>	
To:	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>	
	<u>"Day, Kevin@DGS" <kevin.day@dgs.ca.gov></kevin.day@dgs.ca.gov></u>	
	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>	
Date:	6/1/2016 4:45:22 PM	
Subject:	FW: Agreement #3188106 2016 Code Part 3	
Attachments:	3188106.BSC.2016 Code Part 3.NFPA.Executed.pdf	

I have saved the attached signed document in the Procurement folder.

## Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Betts, Bruce@DGS Sent: Wednesday, June 01, 2016 1:50 PM To: Rose, Debra Cc: de la Motte, Leann@DGS; Benny, Katrina@DGS Subject: Agreement #3188106 2016 Code Part 3

Hello Debra,

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#### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

#### STATE OF CALIFORNIA STANDARD AGREEMENT STD 213 (Rev 06/03)

STD 213 (Rev 06/03)	AGREEMENT NUMBER 3188107			
	REGISTRATION NUMBER			
<ol> <li>This Agreement is entered into between the State Agency and the Cor STATE AGENCY'S NAME Department of General Services, California Building Standards     </li> </ol>				
CONTRACTOR'S NAME National Fire Protection Association				
2. The term of this May 1, 2016 Agreement is: Or upon DGS/OLS through Decen approval, whichever is later	nber 31, 2019			
3. The maximum amount     \$0.00       of this Agreement is:     Zero Dollars				
<ol><li>The parties agree to comply with the terms and conditions of the following part of the Agreement.</li></ol>	ng exhibits which are by this reference made a			
Exhibit A - Scope of Work7 PagesExhibit A, Attachment A - Depository Librarles2 PagesExhibit A, Attachment B - Selective Depository Libraries4 PagesExhibit A, Attachment C - Code Adoption Cycle1 PageExhibit B - Payment and Compensation Provisions2 PagesExhibit C* - General Terms and ConditionsGTC - 610Items shown with an Asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.These documents can be viewed at www.dgs.ca.gov/ols/Resources/StandardContractLanguage.aspx				
IN WITNESS WHEREOF, this Agreement has been executed by the parties he				
CONTRACTOR CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.) National Fire Protection Association BY (Authorized Signature) BY (Authorized Signature) S -12 -	o not type)			
PRINTED NAME AND TITLE OF PERSON SIGNING Kimberly A. Fontes, Division Director, Product Development	APPROVED			
ADDRESS 1 Batterymarch Park, Quincy, MA 02169	MAY 3 1 2016			
STATE OF CALIFORNIA         AGENCY NAME         Department of General Services, Building Standards Commission         BY (Authorizing Standards Commission         BY (Authorizing Standards Commission	OFFICE OF LEGAL SERVICES DEPT. OF GENERAL SERVICES			
PRINTED NAME AND TITLE OF PERSON SIGNING Mia Marvelli, Executive Director	Exempt per:			
ADDRESS 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833	. 2			

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page 1 of 7

### <u>EXHIBIT A</u>

### SCOPE OF WORK

The 2016 California Electrical Code (California Code of Regulations, Title 24, Part 3) is based on the 2014 National Electrical Code<sup>®</sup>. The California Electrical Code includes both provisions of the model code and amendments to the code adopted by California. The National Fire Protection Association, Inc. (NFPA<sup>®</sup>) owns the copyright to the National Electrical Code and the acronym (NEC<sup>®</sup>).

### 1. PROJECT SUMMARY

Pursuant to Health and Safety Code Sections 18928.1 and 18942, the California Building Standards Commission (CBSC) and National Fire Protection Association (NFPA) will coordinate the publication of the 2016 California Electrical Code (Title 24, Part 3). All activities hereto that are the responsibility of the NFPA may be carried out by or through an NFPA approved authorized licensee or subcontractor at the discretion of NFPA. The description NFPA and NFPA and/or Authorized Agent and Licensee is used interchangeably, except where noted.

- A. WHEREAS, NFPA is the sole owner of the copyright to the NFPA 70<sup>®</sup> National Electrical Code<sup>®</sup> 2014 Edition, as well as the trademark to the title National Electrical Code<sup>®</sup> and the acronym NEC<sup>®</sup> (hereinafter referred to as "2014 NEC"); and
- B. WHEREAS, CBSC periodically adopts, approves and codifies electrical code standards and amendments, which may be based on an existing model electrical code, and publishes them as the California Electrical Code, California Code of Regulations, Title 24, Part 3 (hereinafter referred to as the "CEC"); and
- C. WHEREAS, CBSC desires to publish a 2016 edition of the CEC containing those portions of the 2014 NEC, as adopted by CBSC, that have been approved and codified by CBSC, those portions of the 2014 NEC that have been modified by CBSC, and the California amendments thereto (hereinafter referred to as the "2016 CEC"); and
- D. WHEREAS, NFPA and CBSC desire to publish the 2016 CEC in a pre-assembled loose-leaf and fully integrated format on or before July 1, 2016; and
- E. WHEREAS, NFPA and CBSC desire to make arrangements for annual amendments to the 2016 CEC (hereinafter referred to as the "2016 CEC Supplements"), errata supplements, and emergency supplements.

**NOW THEREFORE,** in consideration of the mutual covenants set forth herein and for other good and valuable consideration, the Parties agree as follows:

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page 2 of 7

#### 2. <u>TERM</u>

A. The term of this agreement is May 1, 2016 through December 31, 2019 (44 Months).

## 3. PROJECT RESPRESENTATIVES

A. The Project Representatives during the term of this agreement will be:

<b>CBSC</b> Contract Ad	ministrator
Kevin Day	
2525 Natomas Par	
Sacramento, CA 9	5833
(916) 263-0355	
kevin.day@dgs.ca	dov

Contractor's Contract Administrator Debra Rose, NFPA 1 Batterymarch Park Quincy, MA 02169-747 (617) 984-7595 drose@nfpa.org

B. Any changes in Contractor's project representatives must be reported to the CBSC Contract Administrator and NFPA immediately, in writing.

## 4. PERFORMANCE DETAILS

#### Grant of License for CEC.

- A. <u>By NFPA.</u> Subject to the limitation of section 1 below, NFPA, hereby grants CBSC a non-exclusive, non-transferable sublicense to use and copy all or any portion of the 2014 NEC, NFPA supplements and revisions to the 2014 NEC (hereinafter referred to as "Licensed Property"), solely to create and publish the 2016 CEC, as well as the 2016 CEC supplements, errata and emergency supplements (hereinafter referred to collectively as the "Code"). The grant of the foregoing sublicense is limited to the right to:
  - 1. Publish, print, reprint and distribute all or any pages of the Licensed Property that have been modified by CBSC upon the following terms and conditions: In the event that NFPA breaches its obligation or terminates this Agreement, or otherwise discontinues to publish or fails to make available for sale the Code, then CBSC may cause to print and publish all or any pages of the Code that have been modified by CBSC for its use and for sale to the public until publishing thereof is recommenced by NFPA or until one hundred and eighty days (180) after publication of the next edition of the CEC, whichever occurs first, without compensating NFPA. If at any time prior to the discontinuation of publication by NFPA the Code becomes temporarily out of print or unavailable so that copies thereof cannot be furnished within thirty (30) days after they are requested for purchase by any person or entity, CBSC may without being in violation of the unavailable documents for its own use and for sale to the publish any of the unavailable documents for its own use and for sale to the public in quantities to meet immediate demand, until NFPA recommences

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page 3 of 7

furnishing copies or until one hundred and eighty (180) days after publication of the next edition of the CEC, whichever occurs first, without compensating NFPA.

- 2. Make such changes to the Licensed Property as CBSC determines are required for the Code.
- 3. Affix copyright and trademark notices to the Code as directed by CBSC and required by NFPA.
- 4. Post all supplements which may contain material copyrighted by NFPA on the NFPA website in "read-only" format.
- B. <u>By CBSC.</u> CBSC hereby grants to NFPA an exclusive, worldwide license to copy, print, publish, distribute and sell the Code and all Code Supplements in accordance with the terms of this Agreement.

### 5. <u>SERVICE DETAILS</u>

- A. <u>Technical Services.</u> Immediately after the execution of this Agreement, NFPA shall commence the following services:
  - 1. NFPA shall, in accordance with the specific formatting requirements and editorial and publication timetable herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public, copies of the Code in a loose-leaf format. The Code shall be printed and published in a fully integrated format that incorporates, into a fully merged, contiguous and pre-assembled document, all portions of the 2014 NEC approved and codified by the CBSC, those portions of the 2014 NEC that have been modified by the CBSC, and all California amendments.
  - 2. NFPA shall print and publish all 2016 CEC Supplements on a regular basis so that all customers have available an accurate and up-to-date Code, provided, however, that NFPA shall not be required to print, publish and distribute special supplements for minor or inconsequential errors or changes. Special supplements for minor or inconsequential errors and/or changes shall be posted on NFPA website and shall be provided to CBSC for posting on CBSC's website in a "read-only" format.
  - 3. NFPA has provided CBSC with three (3) copies of the final text corresponding to the printed version of the 2013 Code, and three (3) copies of all 2013 supplements, supplements in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes.

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page 4 of 7

## 6. WORK DETAILS

- A. NFPA shall, in accordance with the specific formatting requirements and editorial and publication timetable set forth herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public on or before July 1, 2016 or at a later date as set by CBSC, copies of the 2016 CEC in a loose-leaf format wherein all portions of the Licensed Property that have been approved and codified by CBSC, including those portions of the Licensed Property that have been modified by CBSC, as well as California amendments thereto, are fully merged and integrated into a contiguous and pre-assembled form and not provided as separate sheets. To meet the July 1, 2016 publication date, CBSC must deliver to NFPA the proofed and approved final text on or before May 15, 2016. In the event CBSC fails to deliver to NFPA the proofed and approved final text on or before May 15, 2016, NFPA shall be provided with a period of 45 days from receipt of delivery of said final text from CBSC in which to print, publish and make available for sale the Code.
- B. All those portions of the Licensed Property, be it chapters, sections, provisions, tables, appendices, references, etc., which CBSC or any authorized agency does not adopt as a part of Title 24 shall be shown with a strike through the language in the code. NFPA will show non-adopted language in legislative (strike thru) text and will note as not adopted by the State on each page.
- C. All Errata and/or Supplements to the 2014 Licensed Property as originally adopted by CBSC as the Code which are thereafter generated by NFPA shall not be printed in the Code unless expressly approved by the CBSC.
- D. CBSC shall submit to NFPA and/or Authorized Agent and Licensee its modifications to the 2014 Licensed Property. Within a reasonable time after the receipt thereof from CBSC, NFPA and/or Authorized Agent and Licensee shall furnish to CBSC a copy of the initial draft of the Code for review by CBSC.
- E. WITHIN a reasonable time after receipt of the initial draft of the 2016 CEC from NFPA and/or Authorized Agent and Licensee, CBSC shall submit its revisions to the initial draft to NFPA and/or Authorized Agent and Licensee. Within a reasonable time after receipt of CBSC's revisions to the initial draft, NFPA and/or Authorized Agent and Licensee shall make any further modifications and submit to CBSC a second draft.
- F. WITHIN a reasonable time after receipt of the second draft of the Code from NFPA and/or Authorized Agent and Licensee, CBSC shall submit its revisions to the second draft to NFPA and/or Authorized Agent and Licensee. Within a reasonable time after receipt of CBSC's revisions to the second draft, NFPA and/or Authorized Agent and Licensee shall make any further modifications and submit to CBSC a final draft.
- G. NFPA and/or Authorized Agent and Licensee shall thereafter publish and make available for sale to the State of California, and to the general public, copies of the

#### Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page 5 of 7

Code. If CBSC promptly delivers to NFPA and/or Authorized Agent and Licensee the submissions from CBSC as set forth in paragraphs above, NFPA shall ensure that the Code is available for sale within thirty-five (35) days of receipt from CBSC of fully proofed and approved final text in either electronic format or camera ready copy.

- H. Time is of the essence:
  - 1. Because the Code may have significant effects on the safety of the State's built environment and its citizens, time is of the essence in performing the herein duties.
  - 2. The July 1, 2016, date to publish the Code is of critical importance. Publication is defined as the documents being printed and available for delivery.
  - 3. CBSC and NFPA shall commit staff and resources to expedite their diligent work and cooperate toward the within Publication schedules and goals.
  - 4. Due to the variations of their size and complexity, it is impossible to herein establish schedules for Publication of any Supplements or Errata. Therefore, when CBSC submits any Supplements or Errata to NFPA, a Publication Schedule of milestone dates, including a Publication and Effective date, shall be mutually determined. That Publication Schedule, due to possible coordination with other contractors, is of critical importance. NFPA shall notify CBSC within 15 calendar days following creation of the Publication Schedule if they, for valid reason(s) given, cannot meet the Schedule and include a proposed Schedule which they can meet. CBSC must concur in the acceptance of any new Schedule. Without such notification, or concurrence with a new Publication Schedule, CBSC shall expect NFPA and/or Authorized Agent and Licensee to abide by the proposed Schedule.
  - 5. Neither NFPA nor CBSC shall be held liable or responsible for any times or dates set out in this publication agreement if such failure to meet that time or date comes about as a result of any strike, unauthorized work stoppage, civil unrest, legal requirement or impediment, storm, fire, flood or other act of God for which NFPA or CBSC is not otherwise responsible.
- I. Formatting, layout and other requirements not contained herein for publication of the Code will be set forth and/or confirmed in subsequent correspondence between the Partles. Said formatting, layout and other requirements include, but are not limited to, the following:
  - 1. Code
    - A "pre-assembled code" numbered consecutively, printed on 20 lb. or 24 lb. stock (depending on page count), 84 or higher brightness, 8-1/2" by 11" white paper in a seven-hole loose-leaf format configuration the same configuration as that used for the 2013 CEC.
    - b. The black body copy font shall be 10 pt. Times Roman with bold section numbers. Headings shall be 10 pt. Helvetica bold.
    - c. Each binder volume that has a ring metal greater than 2" shall be equipped with front and back heavy-duty page lifters and, when containing

#### Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page 6 of 7

more than one Part of Title 24, a heavy-weight reinforced tab divider shall be included.

- d. Volume binders shall conform to the design and colors selected by CBSC and sized appropriately for the contents which shall be shrink wrapped to ensure completeness.
- e. NFPA and/or Authorized Agent and Licensee will integrate existing 2014 Licensed Property language with California amendments to be reviewed and approved by CBSC. First review and schedule will be agreed upon by the parties.
- f. NFPA and/or Authorized Agent and Licensee and CBSC will work out a schedule for completion of the code to ensure publication and its availability on or before July 1, 2016. Attachment C, California Triennial Code Adoption Cycle Schedule will be used as the basis for developing this schedule.
- 2. Index will remain as it appears in the 2014 Licensed Property with California amendments as necessary.
- 3. Italics shall not be used to identify defined terms.
- 4. The front of each volume shall contain the following documents. This information will be provided to NFPA and/or Authorized Agent and Licensee by CBSC no later than April 1, 2016:
  - a. Cover Art
  - b. Preface
  - c. Acknowledgement
  - d. California Agency Information Contact List
  - e. How to Distinguish Between Model Code Language and California Amendments
- 5. California language will be in italics or other form as specified by codification.
- 6. All chapters will begin on a right hand page.
- 7. Running heads will read "2016 California Electrical Code" etc.
- 8. State-agency acronyms will be used throughout the 2016 Code.
- 9. NFPA and/or Authorized Agent and Licensee will submit binder specifications for approval by CBSC.
- 10. The Matrix Adoption Table will be in the front of each correlating chapter of the Code and available as a supplement so it can be inserted in the front of each Code.
- 11.NFPA and/or Authorized Agent and Licensee shall supply a postage-paid return card for each purchaser to apply for complimentary automatic receipt of all supplements and errata sheets. NFPA and/or Authorized Agent and

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page 7 of 7

Licensee will maintain the mailing list, and print, mail and verify delivery of supplements and errata sheets.

- 12. A card may be placed in the Code advertising other NFPA and/or Authorized Agent and Licensee publications.
- J. Supplements and Errata
  - 1. Supplements to the code shall be printed on 20 lb. light blue paper, sevenhole drilled and be individually shrink-wrapped.
  - 2. Supplements shall be printed to insert by page substitution and contain a publication, effective date and 'Blue' on the bottom of each page.
  - 3. Regular supplements shall be published as needed.
  - 4. Emergency supplements shall be published on an "as needed basis."
  - 5. Errata Sheets shall be printed on 20 lb. buff paper, seven-hole drilled and be individually shrink-wrapped.
  - 6. Errata Sheets shall be printed to insert by page substitution and contain a publication, effective date and 'Buff' on the bottom of each page.
  - 7. The number of the supplements shall be the number of the page where the section and paragraph resides followed by .1, .2, .3 etc.

#### K. Distribution

- 1. NFPA shall establish a distribution method in consultation with the CBSC.
- 2. NEPA shall distribute a minimum of 200 complimentary copies of the Code as per list provided by CBSC on or before July 1, 2016
- 3. NFPA may wholesale the Code to other resellers in California.
- 4. NFPA reserves the right to include advertising material of related support products/services in distribution of the Code, provided said material is not a part of the official text of the California Electrical Code. Any advertising would be clearly denoted and included as a separate insert.

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#### Exhibit A, Attachment A

#### **Depository Libraries**

Under the provisions of the Library Distribution Act (Government Code sections 14905, 14906, and 14907), the libraries listed below have contracted with the Department of General Services to serve as complete or selective depositories. They agree to provide adequate facilities for the shelving and use of the publications deposited with them render reasonable service without charge to qualified patrons, and retain all publications received until authorized to dispose of them.

#### **Complete Depository Libraries**

One copy of each state publication as defined in Government Code section 14902 must be placed on deposit with each complete depository. In addition, provisions of the State Administrative Manual authorize those libraries marked with an asterisk in the list below to receive the number of copies recorded after the entry.

California State Archives, 1020 O Street, Sacramento, CA 95814

California State Library, Information Resources and Government Publications, P.O. Box 942837, Sacramento, CA 94237-0001 (IMS: 900 N Street, E-29) (two copies)

- California State University, Chico, Merriam Library, Government Publications Dept., Chico, CA 95929-0295
- Fresno County Free Library, Government Publications, 2420 Mariposa St., Fresno, CA 93721-2285
- Library of Congress, Anglo-American Acquisition Division, Government Documents Section, 101 Independence Ave S.E., Washington, D.C., 20540-4172

Los Angeles Public Library, Serials Division, 630 W. Fifth St., Los Angeles, CA 90071-2002

Dr. Martin Luther King Jr. Library, Government Publications, San José State University, One Washington Square, San Jose, CA 95192-0008

San Diego Public Library, Government Publications Unit, 330 Park Blvd., San Diego, CA 92101

San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division, 5500 Campanile Drive, San Diego, CA 92182-8050

- San Francisco Public Library, Government Information Center, 100 Larkin St., San Francisco, CA 94102
- Green Library, Receiving, Stanford University Libraries, 557 Escondido Mall, Stanford, CA 94305
- University of California, Berkeley, Government Documents Technical Services, 250 Moffitt Library, Berkeley, CA 94720-6000
- University of California, Davis, Shields Library, Government Information and Maps Department, 100 North West Quad, Davis, CA 95616-5292

University of California, Los Angeles, Charles E. Young Research Library A4510 Government Information, Box 951575, Los Angeles, CA 90095-1575

University of California, San Diego, Government Documents Unit, 9500 Gilman Drive 0175P, La Jolla, CA 92093-0175

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University of California, Santa Barbara, Library, Serials Receiving, Santa Barbara, CA 93106-9010 Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A, Attachment B; Page 1 of 4

### Exhibit A, Attachment B

#### Selective Depository Libraries

Selective depositories receive one copy of each printed publication distributed by the Office of State Publishing. They also receive publications distributed directly by issuing agencies. Special subsets of the Selective Depository Libraries are the Law Libraries. They receive one copy of each printed publication from OSP and a single copy from issuing agencies. Law library depositories differ in having special document retention requirements pursuant to section 14909 of the California Government Code. Law Libraries are indicated in this list by the term "Law" before their entry.

A.K. Smiley Public Library, 125 West Vine St., Redlands, CA 92373

Law Bernard E. Witkin Alameda County Law Library, 125 - 12 St., Oakland, CA 94607-4912

Alhambra Public Library, 101 South First Street, Alhambra, CA 91801 Butte County Library, 1820 Mitchell Avenue, Oroville, CA 95966-5387 California Polytechnic State University, Library-Government Documents Section,

1 Grand Avenue, San Luis Obispo, CA 93407

California State Polytechnic University, Pomona, Library-Serials Unit, Building 15, Suite 1919, 3801 W. Temple Ave., Pomona, CA 91768-2557

California State University, Bakersfield, Walter Stiern Library, Library-Documents Section, 9001 Stockdale Highway, Bakersfield, CA 93311-1099

California State University, Dominguez Hills, Library-Government Documents, 800 E. Victoria St., Carson, CA 90747

California State University, Fresno, Henry Madden Library, Government Documents Dept., 5200 N. Barton, M/S ML34, Fresno, CA 93740-8014

California State University, Fullerton, Library-Documents State, P.O. Box 4150, Fullerton, CA 92834-4150

California State University, East Bay, Library-Documents, 25800 Carlos Bee Blvd. Hayward, CA 94542

California State University, Long Beach, Library Government Documents, 1250 Bellfiower Blvd., Long Beach, CA 90840-1901

California State University, Los Angeles, John F. Kennedy Library, Government Information Services, 5151 State University Dr., Los Angeles, CA 90032-8300

California State University, Northridge, Oviatt Library Government Documents, 18111Nordhoff St., Northridge, CA 91330-8328

California State University, Sacramento, Library-Documents, 2000 State University Dr., East, Sacramento, CA 95819-6039

California State University, San Bernardino, Library, 5500 University Parkway, SanBernardino, CA 92407-2397

California State University San Marcos, Library, State Documents, 333 S. Twin Oaks Valley Road, San Marcos, CA 92096-0001

Building Standards Commission

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California State University, Stanislaus, Library, Documents Dept., One University Circle, Turlock, CA 95382-0299 California State University, Board of Trustees, Office of Governmental Affairs, CA 915 L Street, Suite 1160, Sacramento, CA 95814 Law California Judicial Center Library, 455 Golden Gate Avenue, Room 4617, San Francisco, CA 94102 Law California Western School of Law Library, 225 Cedar St., San Diego, CA 92101-3090 Chula Vista Public Library, 365 F Street, Chula Vista, CA 91910 Contra Costa County Library, Documents Section, 1750 Oak Park Boulevard, Pleasant Hill, CA 94523-4497 Court of Appeal Library, Second Appellate District, 300 S. Spring St., Los Law Angeles, CA 90013 El Centro Public Library, 1140 N. Imperial Ave., El Centro, CA 92243 Fremont Main Library, 2400 Stevenson Blvd., Fremont, CA 94538-2326 Garden Grove Regional Branch, Orange County Public Library, 11200 Stanford Avenue, Garden Grove, CA 92840 Golden Gate University Law Library, 536 Mission St., San Francisco, CA 94105 Law Law Hastings College of the Law Library, 200 McAllister St., San Francisco, CA 94102 Honnold/Mudd Library, Government Publications Dept., Claremont Colleges, 800 N. Dartmouth Ave., Claremont, CA 91711-3907 Humboldt County Library, Public Services Division, 1313 Third Street, Eureka, CA 95501-0533 Humboldt State University, Government Documents Section, 1 Harpst Street. Arcata. CA 95521 Inglewood Public Library, 101 West Manchester Boulevard, Inglewood, CA 90301-1771 Law Kern County Law Library, 1415 Truxtun, Room 301, Bakersfield, CA 93301 Kern County Library, California Documents Dept., 701 Truxtun Avenue. Bakersfield, CA 93301-4517 Kings County Law Library, 1400 West Lacey Boulevard, Hanford, CA 93230 Law Long Beach Public Library, 101 Pacific Avenue, Long Beach, CA 90822-1097 Los Angeles County Law Library, 301 W. First St., Los Angeles, CA 90012-3100 Law County of Los Angeles Public Library, Lancaster Library, 601 W. Lancaster Blvd. Lancaster, CA 93534 County of Los Angeles Public Library, Norwalk Library, 12350 Imperial Highway, Norwalk,CA 90650 McGeorge School of Law, Library, Documents Dept., 3282 Fifth Ave., Law Sacramento, CA 95817 Monterey County Free Libraries, Seaside Branch Library, 550 Harcourt Avenue. Seaside, CA 93955 Napa City-County Library, 580 Coombs Street, Napa, CA 94559-3340 Oakland Public Library, 125 Fourteenth St., Oakland, CA 94612

Law Orange County Public Law Library, 515 N. Flower, Santa Ana, CA 92703-2354

#### Building Standards Commission

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Pasadena Public Library, 285 East Walnut Street, Pasadena, CA 91101-1556 Redding Library, Attn. Government Documents, 1100 Parkview Ave., Redding, CA 96001

- Redwood City Public Library, Documents, 1044 Middlefield Road, Redwood City, CA 94063-1868
- Richmond Public Library, Government Documents Dept., 325 Civic Center Plaza, Richmond, CA 94804
- Law Riverside County Law Library, 3989 Lemon St., Riverside, CA 92501-4203
- Law Sacramento County Public Law Library, 609 Ninth St., Sacramento, CA 95814 Sacramento Public Library, Central Library-Reference Department, 828 I Street, Sacramento, CA 95814-2508
- Law San Bernardino County Law Library, 402 North "D" Street, San Bernardino, CA 92401
  - San Bernardino Public Library, Documents, 555 W. Sixth St., San Bernardino, CA 92410
  - San Bernardino Valley College Library, 701 South Mt. Vernon Avenue, San Bernardino, CA 92410
- Law San Diego County Law Library, 1105 Front St., San Diego, CA 92101-3999 San Francisco State University, Government Publications Dept., J. Paul Leonard Library, 1630 Holloway Ave., San Francisco 94132-4030
- Law San Joaquin County Law Library, Kress Legal Center, 1st floor, 20 N. Sutter St., Stockton, CA 95202

San Luis Obispo City-County Library, P.O. Box 8107, San Luis Obispo, CA 93403

- Law San Luis Obispo County Law Library, 1050 Monterey St., Room 125, San Luis Obispo, CA 93408
- Law San Mateo County Law Library, 710 Hamilton St., Redwood City, CA 94063 San Mateo Public Library, 55 West Third Avenue, San Mateo, CA 94402-1592
- Law Santa Barbara County Law Library, Courthouse, 1100 Anacapa St., Second Floor, Santa Barbara, CA 93101

Santa Barbara Public Library, P.O. Box 1019, Santa Barbara, CA 93102-1019

Law Santa Clara County Law Library, 360 N. First St., San Jose, CA 95113-1004 Santa Clara University, Government Documents Dept., Michel Orradre Library, 500 El

Camino Real, Santa Clara, CA 95053-0500

- Law Santa Cruz County Law Library, 701 Ocean St., Rm. 070, Santa Cruz, CA 95060 Santa Cruz Public Library, Documents Section, 224 Church Street, Santa Cruz, CA 95060
  - Santa Maria Public Library, Reference Dept., 421 S. McClelland, Santa Maria, CA 93454

Santa Monica Public Library, 601 Santa Monica Bivd., Santa Monica, CA 90401

- Law Sonoma County Law Library, 2604 Ventura Ave., Santa Rosa, CA 95403 Sonoma County Library, 211 E Street, Santa Rosa, CA 95404
- Law Southwestern University School of Law Library, Government Documents, 3050 Wilshire

Building Standards Commission

2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A, Attachment B; Page 4 of 4

Blvd., Los Angeles, CA 90010-1106

Law Stanford University, Law Library, 559 Nathan Abbott Way, Stanford, CA 94305-8612

Stanislaus County Free Library, 1500 | Street, Modesto, CA 95354-1166 Stockton-San Joaquin County Public Library, 605 North El Dorado Street, Stockton, CA 95202

- Thousand Oaks Library, Technical Services Dept., 2331 Borchard Rd., Newbury Park, CA 91320
- Law University of California, Berkeley, Law Library, Boalt Hall, Berkeley, CA 94720-7210
- Law Library Documents Dept., University of California, 400 Mrak Hall Drive, Davis, CA 95616-5203

University of California, Irvine Libraries, Government Information Department, Building 520, Ayala Science Library Receiving Dock, Irvine, CA 92697

Law University of California, Los Angeles, Law Library, 1106 Law Building., 405 Hilgard Ave., Los Angeles, CA 90095-1458

University of California, Riverside, Rivera Library, Government Publications, P.O. Box 5900, Riverside, CA 92517-5900

- University of California, Santa Cruz, University Library, Government Publications, 1156 High Street, Santa Cruz, CA 95064
- Law University of La Verne College of Law Library, 320 East D St., Ontario, CA 91764
- Law University of San Diego, School of Law Library, 5998 Alcala Park, San Diego, CA 92110-2492
- Law University of San Francisco, Zies Law Library, Kendrick Hall, 2130 Fulton St., San Francisco, CA 94117
- Law University of Southern California, Law Library, 699 Exposition Blvd., Los Angeles, CA 90089-0072

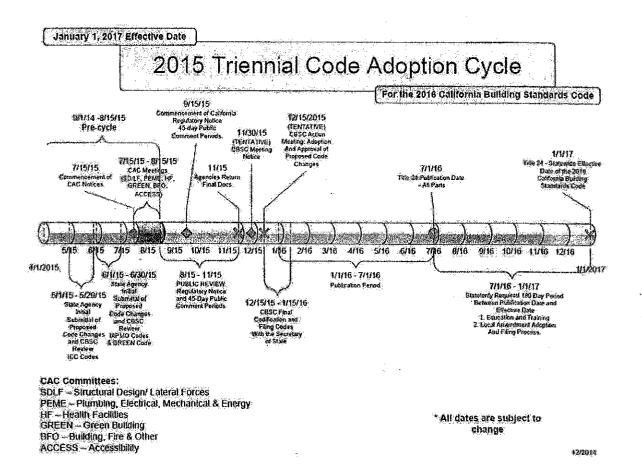
University of Southern California, Government Documents, Von Kleinsmid Library, 3518 Trousdale Parkway, Los Angeles, CA 90089-0048

- Law Ventura County Law Library, Courthouse, 800 S. Victoria Ave., Ventura, CA 93009-2020
- Law Whittier College School of Law, Library, 3333 Harbor Blvd., Costa Mesa, CA 92626

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A, Attachment C; Page 1 of 1

## Exhibit A, Attachment C

### 2016 Intervening Code Adoption Cycle Timeline -



Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit B; Page 1 of 2

#### <u>EXHIBIT B</u>

### PAYMENT AND COMPENSATION PROVISIONS

- A. <u>Compensation</u>. In consideration of the opportunity provided to NFPA by CBSC to make sales of the Code, no fees, royalties or other payments of any nature shall be required to be paid by CBSC to NFPA with respect to this Agreement or the licenses granted hereunder.
- B. <u>Sale of Code.</u> NFPA agrees to furnish the Code in loose-leaf format on the following basis:
  - 1. NFPA shall make the Code, described in Exhibit A Paragraphs 5 A 1. and 5 A 2., available to the State, local governmental agencies, and the general public at a price established by NFPA that will entitle the purchaser to receive all 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related Errata sheets from NFPA at no additional charge.
  - 2. Any bookstore or other vendor may purchase the Code from NFPA for resale and may resell the same at any price which will entitle the purchaser to receive all subsequent 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets from NFPA at no additional charge.
  - 3. NFPA shall provide CBSC with three (3) copies of the final text corresponding to the printed version of the 2016 Code, and three (3) copies of all 2016 supplements, supplements in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes.
  - 4. NFPA may sell the Code in any formats it so chooses, including but not limited to: print, eBooks, and subscription service.
  - 5. NFPA and/or Authorized Agent and Licensee will send the State an annual report of units sold. Reports must be mailed to:

CBSC Contract Administrator Kevin Day 2525 Natomas Park Drive #130 Sacramento, CA 95833

C. <u>State Code Distribution</u>. The Library Distribution Act (LDA) established a system to distribute California State agency publications to libraries in order to make such

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit B; Page 2 of 2

publications widely available to residents of the State of California. During the term of this Agreement, NFPA shall deliver complimentary copies of the 2016 CEC, and automatically, any 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets, directly to the Deposit Libraries listed in Attachment A hereto in the quantities set forth therein, and one copy each to the Selective Depository Libraries listed in Attachment B hereto and copies to the State agencies as directed by CBSC. NFPA shall obtain and retain proof of delivery of all materials distributed in accordance with this provision and make copies of such proof of delivery available to CBSC upon written request until one year after termination of this Agreement or be willing to ship additional complimentary copies upon request.

- NFPA shall deliver up to 30 complimentary copies of the Code to CBSC and then deliver one complimentary copy for each new Commissioner appointed after June 1, 2016 to CBSC, in addition to the delivery of the original 30 copies until one hundred and eighty (180) days after the Publication of the next edition of 2016 Code. CBSC shall provide with names and delivery addresses of the current and any new Commissioners as well as notifying NFPA of any terminations.
- 2. NFPA shall deliver up to 200 complimentary copies of the Code, together with any 2016 CEC Supplements, directly to the following state agencies in the quantities specified by CBSC.
- D. <u>Subscription Service.</u> In order to ensure that the 2016 CEC purchased from NFPA or any bookstore or other vendor is accurate and up to date, beginning from the time of the initial publication, NFPA shall make available to all purchasers of the 2016 CEC, all 2016 CEC Supplements, all supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets, at no additional cost. NFPA shall provide all purchasers with a subscription registration card which enables them to automatically receive such updates to the 2016 CEC in either print or electronic format, whichever the purchaser chooses. Electronic versions shall clearly distinguish and identify errata and supplement sheets with footer notes on each page as follows: '[Effective date], Supplement, Blue', '[Effective date], Errata, Buff'. Subscription to this service shall result in the subscriber being provided with an entire, up-to-date 2016 Code.
- E. <u>Funding.</u> NFPA understands and agrees that under certain provisions of California law, CBSC may not obligate itself by contract to an extent in excess of an amount appropriated therefore by the State Legislature as approved by the Governor.

Page 1

a@DGS>
eann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov>
Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>
DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov>
:50 AM
Electrical Code
Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov> DGS" <mia.marvelli@dgs.ca.gov> :50 AM</mia.marvelli@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>

I am good to go....

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: de la Motte, Leann@DGS Sent: Wednesday, May 04, 2016 9:56 AM To: Benny, Katrina@DGS; Biedermann, Cynthia@DGS; Marvelli, Mia@DGS Subject: RE: NFPA Cal. Electrical Code

Just want you guys to give it one final look over before I send it back to Bruce! :)

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Benny, Katrina@DGS Sent: Wednesday, May 04, 2016 8:39 AM To: Rose, Debra; Betts, Bruce@DGS Cc: Berry, Dennis; Marvelli, Mia@DGS; de la Motte, Leann@DGS; Biedermann, Cynthia@DGS Subject: RE: NFPA Cal. Electrical Code

Thank Debra, we will get these changes today.

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Rose, Debra [mailto:drose@nfpa.org] Sent: Wednesday, May 04, 2016 8:33 AM To: Benny, Katrina@DGS; Betts, Bruce@DGS Cc: Berry, Dennis; Marvelli, Mia@DGS; de la Motte, Leann@DGS; Biedermann, Cynthia@DGS Subject: RE: NFPA Cal. Electrical Code

## Hi Katrina,

Dennis is in agreement with what we talked about yesterday and what you have highlighted below. Please incorporate those changes and send the contract to me for signature.

Best regards, Debra 617-984-7595 <u>drose@nfpa.org</u> National Fire Protection Association The leading information and knowledge resource on fire, electrical and related hazards. www.nfpa.org

From: Benny, Katrina@DGS [mailto:Katrina.Benny@dgs.ca.gov]

Sent: Tuesday, May 3, 2016 5:27 PM

To: Rose, Debra <<u>drose@nfpa.org</u>>; Betts, Bruce@DGS <<u>Bruce.Betts@dgs.ca.gov</u>>

Cc: Berry, Dennis <<u>dberry@NFPA.org</u>>; Marvelli, Mia@DGS <<u>Mia.Marvelli@dgs.ca.gov</u>>; de la Motte, Leann@DGS <

Page 3
Leann.delaMotte@dgs.ca.gov>; Biedermann, Cynthia@DGS <<u>Cynthia.Biedermann@dgs.ca.gov</u>>

Subject: RE: NFPA Cal. Electrical Code

Bruce,

I have reviewed the following requests/suggestions and have spoken with Debra. Please see the below items highlighted in yellow. We are still unclear with the last change/suggestion and need to confer with Dennis tomorrow.

At that time, Leann will make the necessary changes and forward the document back to through you.

Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Rose, Debra [mailto:drose@nfpa.org] Sent: Tuesday, May 03, 2016 1:36 PM To: Benny, Katrina@DGS Cc: Berry, Dennis Subject: FW: NFPA Cal. Electrical Code

Hi Katrina,

I'm so sorry for not noticing that we had the wrong email address for you. Bruce pointed it out to me. Below is a note to you from Dennis about our last review of the contract.

Please take a look and if you agree insert the changes into the contract. If you don't, let's talk about it so we can come to a quick resolution.

Best regards, Debra 617-984-7595 drose@nfpa.org National Fire Protection Association The leading information and knowledge resource on fire, electrical and related hazards. www.nfpa.org From: Berry, Dennis Sent: Wednesday, April 27, 2016 11:26 AM To: <u>katrina.benny@dgf.ca.gov</u> Cc: Rose, Debra <<u>drose@nfpa.org</u>> Subject: NFPA Cal. Electrical Code

Dear Katrina,

Thank you for the revisions which you made to the NFPA/CBSC agreement regarding the production of the 2016 California Electrical Code, which is largely referred to as the Code. Debra and I have gone through your version closely and as you might anticipate in a contract of this importance, we do have a couple of final comments and suggestions. Let me set them out herein in numerical fashion:

- Exhibit A page 3 Section B, we note two instances of the Code being spelled with a small c. We have gone through the document and believe this is the only place where that small c was used. Unless there is some reason, this should probably be a capital C. CBSC will change
- 2. Exhibit A page 5 Section G, the reference to 35 days should be 45 days. Okay to leave as 35.
- 3. Exhibit A page 3 section 4 A 3, you should understand that NFPA copyright requirements will include the NFPA logo and the NEC logo on the cover.

In order to cover this we suggest that the above referenced section be revised to include reference to trademark requirements with the following language.

3. Affix copyright and trademark notices to the Code as directed by CBSC and required by NFPA CBSC will add and trademark.

In this regard we note that Section 6 I 4.1 (Ex. A p. 6 of 7) references material that is supposed to be delivered to NFPA by April 1, including the cover art along with other material. Were these materials delivered to BNI? IF not a different date needs to be included as we have not seen any of these items. In the previous iteration of this contract these materials were not simply referenced but were actually included in Exhibits that NFPA had a right to review prior to the printing. Because of the importance of properly acknowledging the NFPA and including the NFPA logo and the NEC logo, we will want assurance that these items will be properly displayed.

In the previous contract the following statement was include that seems to have been dropped this time:

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12/17/2016

Thank you again and I hope with these comments addressed this should be finalized.

Dennis J. Berry Secretary of the Corporation & Director of Licensing NFPA One Batterymarch Park Quincy, MA 02169 617-984-7255 dberry@nfpa.org

Dennis J. Berry Secretary of the Corporation & Director of Licensing NFPA One Batterymarch Park Quincy, MA 02169 617-984-7255 dberry@nfpa.org Page 1

	0	
From:	"de la Motte," <leann@dgs></leann@dgs>	
To:	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>	
	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>	
	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>	
Date:	5/4/2016 9:56:05 AM	
Subject:	RE: NFPA Cal. Electrical Code	
Attachments:	3188107 BSC 2016 CEC Agreement - CBSC.docx	

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Manager, CBSC Phone 916-263-1350 Page 3

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Dennis J. Berry Secretary of the Corporation & Director of Licensing NFPA One Batterymarch Park Quincy, MA 02169 617-984-7255 dberry@nfpa.org Dennis J. Berry Secretary of the Corporation & Director of Licensing NFPA One Batterymarch Park Quincy, MA 02169 617-984-7255 dberry@nfpa.org

# EXHIBIT A

# **SCOPE OF WORK**

The 2016 California Electrical Code (California Code of Regulations, Title 24, Part 3) is based on the 2014 National Electrical Code<sup>®</sup>. The California Electrical Code includes both provisions of the model code and amendments to the code adopted by California. The National Fire Protection Association, Inc. (NFPA<sup>®</sup>) owns the copyright to the National Electrical Code and the acronym (NEC <sup>®</sup>).

## 1. PROJECT SUMMARY

Pursuant to Health and Safety Code Sections 18928.1 and 18942, the California Building Standards Commission (CBSC) and National Fire Protection Association (NFPA) will coordinate the publication of the 2016 California Electrical Code (Title 24, Part 3). All activities hereto that are the responsibility of the NFPA may be carried out by or through an NFPA approved authorized licensee or subcontractor at the discretion of NFPA. The description NFPA and NFPA and/or Authorized Agent and Licensee is used interchangeably, except where noted.

- A. WHEREAS, NFPA is the sole owner of the copyright to the NFPA 70<sup>®</sup> National Electrical Code<sup>®</sup> 2014 Edition, as well as the trademark to the title National Electrical Code <sup>®</sup> and the acronym NEC<sup>®</sup> (hereinafter referred to as "2014 NEC"); and
- B. WHEREAS, CBSC periodically adopts, approves and codifies electrical code standards and amendments, which may be based on an existing model electrical code, and publishes them as the California Electrical Code, California Code of Regulations, Title 24, Part 3 (hereinafter referred to as the "CEC"); and
- C. WHEREAS, CBSC desires to publish a 2016 edition of the CEC containing those portions of the 2014 NEC, as adopted by CBSC, that have been approved and codified by CBSC, those portions of the 2014 NEC that have been modified by CBSC, and the California amendments thereto (hereinafter referred to as the "2016 CEC"); and
- D. WHEREAS, NFPA and CBSC desire to publish the 2016 CEC in a pre-assembled loose-leaf and fully integrated format on or before July 1, 2016; and
- E. **WHEREAS**, NFPA and CBSC desire to make arrangements for annual amendments to the 2016 CEC (hereinafter referred to as the "2016 CEC Supplements"), errata supplements, and emergency supplements.

**NOW THEREFORE,** in consideration of the mutual covenants set forth herein and for other good and valuable consideration, the Parties agree as follows:

## <u>2.</u> <u>TERM</u>

A. The term of this agreement is May 1, 2016 through December 31, 2019 (44 Months).

# 3. PROJECT RESPRESENTATIVES

A. The Project Representatives during the term of this agreement will be:

CBSC Contract Administrator Kevin Day 2525 Natomas Park Drive #130 Sacramento, CA 95833 (916) 263-0355 <u>kevin.day@dgs.ca.gov</u> Contractor's Contract Administrator Debra Rose, NFPA 1 Batterymarch Park Quincy, MA02169-747 (617) 984-7595 drose@nfpa.org

B. Any changes in Contractor's project representatives must be reported to the CBSC Contract Administrator and NFPA immediately, in writing.

# 4. PERFORMANCE DETAILS

## Grant of License for CEC.

- A. <u>By NFPA.</u> Subject to the limitation of section 1 below, NFPA, hereby grants CBSC a non-exclusive, non-transferable sublicense to use and copy all or any portion of the 2014 NEC, NFPA supplements and revisions to the 2014 NEC (hereinafter referred to as "Licensed Property"), solely to create and publish the 2016 CEC, as well as the 2016 CEC supplements, errata and emergency supplements (hereinafter referred to collectively as the "Code"). The grant of the foregoing sublicense is limited to the right to:
  - 1. Publish, print, reprint and distribute all or any pages of the Licensed Property that have been modified by CBSC upon the following terms and conditions: In the event that NFPA breaches its obligation or terminates this Agreement, or otherwise discontinues to publish or fails to make available for sale the Code, then CBSC may cause to print and publish all or any pages of the Code that have been modified by CBSC for its use and for sale to the public until publishing thereof is recommenced by NFPA or until one hundred and eighty days (180) after publication of the next edition of the CEC, whichever occurs first, without compensating NFPA. If at any time prior to the discontinuation of publication by NFPA the Code becomes temporarily out of print or unavailable so that copies thereof cannot be furnished within thirty (30) days after they are requested for purchase by any person or entity, CBSC may without being in violation of the unavailable documents for its own use and for sale to the public in quantities to meet immediate demand, until NFPA recommences furnishing copies or until one hundred and eighty (180) days after publication of the next edition of the CEC, whichever occurs first, without compensating NFPA.
  - 2. Make such changes to the Licensed Property as CBSC determines are required for the Code.

- 3. Affix copyright and trademark notices to the Code as directed by CBSC and required by NFPA.
- 4. Post all supplements which may contain material copyrighted by NFPA on the NFPA website in "read-only" format.
- B. **<u>By CBSC.</u>** CBSC hereby grants to NFPA an exclusive, worldwide license to copy, print, publish, distribute and sell the Code and all C ode Supplements in accordance with the terms of this Agreement.

# 5. SERVICE DETAILS

- A. <u>Technical Services.</u> Immediately after the execution of this Agreement, NFPA shall commence the following services:
  - NFPA shall, in accordance with the specific formatting requirements and editorial and publication timetable herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public, copies of the Code in a loose-leaf format. The Code shall be printed and published in a fully integrated format that incorporates, into a fully merged, contiguous and pre -assembled document, all portions of the 2014 NEC approved and codified by the CBSC, those portions of the 2014 NEC that have been modified by the CBSC, and all California amendments.
  - 2. NFPA shall print and publish all 2016 CEC Supplements on a regular basis so that all customers have available an accurate and up-to-date Code, provided, however, that NFPA shall not be required to print, publish and distribute special supplements for minor or inconsequential errors or changes. Special supplements for minor or inconsequential errors and/or changes shall be posted on NFPA website and shall be provided to CBSC for posting on CBSC's website in a "read-only" format.
  - 3. NFPA has provided CBSC with three (3) copies of the final text corresponding to the printed version of the 2013 Code, and three (3) copies of all 2013 supplements, supplements in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes.

# 6. WORK DETAILS

A. NFPA shall, in accordance with the specific formatting requirements and editorial and publication timetable set forth herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public on or before July 1, 2016 or at a later date as set by CBSC, copies of the 2016 CEC in a loose -leaf format wherein all

portions of the Licensed Property that have been approved and codified by CBSC, including those portions of the Licensed Property that have been modified by CBSC, as well as California amendments thereto, are fully merged and integrated into a contiguous and pre-assembled form and not provided as separate sheets. To meet the July 1, 2016 publication date, CBSC must deliver to NFPA the proofed and approved final text on or before May 15, 2016. In the event CBSC fails to deliver to NFPA the proofed and approved final text on or before May 15, 2016, NFPA shall be provided with a period of 45 days from receipt of delivery of said final text from CBSC in which to print, publish and make available for sale the Code.

- B. All those portions of the Licensed Property, be it chapters, sections, provisions, tables, appendices, references, etc., which CBSC or any authorized agency does not adopt as a part of Title 24 shall be shown with a strike through the language in the code. NFPA will show non-adopted language in legislative (strike thru) text and will note as not adopted by the State on each page.
- C. All Errata and/or Supplements to the 2014 Licensed Property as originally adopted by CBSC as the Code which are thereafter generated by NFPA shall not be printed in the Code unless expressly approved by the CBSC.
- D. CBSC shall submit to NFPA and/or Authorized Agent and Licensee its modifications to the 2014 Licensed Property. Within a reasonable time after the receipt thereof from CBSC, NFPA and/or Authorized Agent and Licensee shall furnish to CBSC a copy of the initial draft of the Code for review by CBSC.
- E. WITHIN a reasonable time after receipt of the initial draft of the 2016 CEC from NFPA and/or Authorized Agent and Licensee, CBSC shall submit its revisions to the initial draft to NFPA and/or Authorized Agent and Licensee. Within a reasonable time after receipt of CBSC's revisions to the initial draft, NFPA and/or Authorized Agent and Licensee shall make any further modifications and submit to CBSC a second draft.
- F. WITHIN a reasonable time after receipt of the second draft of the Code from NFPA and/or Authorized Agent and Licensee, CBSC shall submit its revisions to the second draft to NFPA and/or Authorized Agent and Licensee. Within a reasonable time after receipt of CBSC's revisions to the second draft, NFPA and/or Authorized Agent and Licensee shall make any further modifications and submit to CBSC a final draft.
- G. NFPA and/or Authorized Agent and Licensee shall thereafter publish and make available for sale to the State of California, and to the general public, copies of the Code. If CBSC promptly delivers to NFPA and/or Authorized Agent and Licensee the submissions from CBSC as set forth in paragraphs above, NFPA shall ensure that the Code is available for sale within thirty-five (35) days of receipt from CBSC of fully proofed and approved final text in either electronic format or camera ready copy.
- H. Time is of the essence:
  - 1. Because the Code may have significant effects on the safety of the State 's built environment and its citizens, time is of the essence in performing the herein duties.
  - 2. The July 1, 2016, date to publish the Code is of critical importance. Publication is defined as the documents being printed and available for delivery.

- 3. CBSC and NFPA shall commit staff and resources to expedite their diligent work and cooperate toward the within Publication schedules and goals.
- 4. Due to the variations of their size and complexity, it is impossible to herein establish schedules for Publication of any Supplements or Errata. Therefore, when CBSC submits any Supplements or Errata to NFPA, a Publication Schedule of milestone dates, including a Publication and Effective date, shall be mutually determined. That Publication Schedule, due to possible coordination with other contractors, is of critical importance. NFPA shall notify CBSC within 15 calendar days following creation of the Publication Schedule if they, for valid reason(s) given, cannot meet the Schedule and include a proposed Schedule which they can meet. CBSC must concur in the acceptance of any new Schedule. Without such notification, or concurrence with a new Publication Schedule, CBSC shall expect NFPA and/or Authorized Agent and Licensee to abide by the proposed Schedule.
- 5. Neither NFPA nor CBSC shall be held liable or responsible for any times or dates set out in this publication agreement if such failure to meet that time or date comes about as a result of any strike, unauthorized work stoppage, civil unrest, legal requirement or impediment, storm, fire, flood or other act of God for which NFPA or CBSC is not otherwise responsible.
- I. Formatting, layout and other requirements not contained herein for publication of the Code will be set forth and/or confirmed in subsequent correspondence between the Parties. Said formatting, layout and other requirements include, but are not limited to, the following:
  - 1. Code
    - a. A "pre-assembled code" numbered consecutively, printed on 20 lb. or 24 lb. stock (depending on page count), 84 or higher brightness, 8-1/2" by 11" white paper in a seven-hole loose-leaf format configuration the same configuration as that used for the 2013 CEC.
    - b. The black body copy font shall be 10 pt. Times Roman with bold section numbers. Headings shall be 10 pt. Helvetica bold.
    - c. Each binder volume that has a ring metal greater than 2" shall be equipped with front and back heavy-duty page lifters and, when containing more than one Part of Title 24, a heavy-weight reinforced tab divider shall be included.
    - d. Volume binders shall conform to the design and colors selected by CBSC and sized appropriately for the contents which shall be shrink wrapped to ensure completeness.
    - e. NFPA and/or Authorized Agent and Licensee will integrate existing 2014 Licensed Property language with California amendments to be reviewed and approved by CBSC. First review and schedule will be agreed upon by the parties.
    - f. NFPA and/or Authorized Agent and Licensee and CBSC will work out a schedule for completion of the code to ensure publication and its availability on or before July 1, 2016. Attachment C, California Triennial Code Adoption Cycle Schedule will be used as the basis for developing this schedule.

- 2. Index will remain as it appears in the 2014 Licensed Property with California amendments as necessary.
- 3. Italics shall not be used to identify defined terms.
- 4. The front of each volume shall contain the following documents. This information will be provided to NFPA and/or Authorized Agent and Licensee by CBSC no later than April 1, 2016:
  - a. Cover Art
  - b. Preface
  - c. Acknowledgement
  - d. California Agency Information Contact List
  - e. How to Distinguish Between Model Code Language and California Amendments
- 5. California language will be in italics or other form as specified by codification.
- 6. All chapters will begin on a right hand page.
- 7. Running heads will read "2016 California Electrical Code" etc.
- 8. State-agency acronyms will be used throughout the 2016 Code.
- 9. NFPA and/or Authorized Agent and Licensee will submit binder specifications for approval by CBSC.
- 10. The Matrix Adoption Table will be in the front of each correlating chapter of the Code and available as a supplement so it can be inserted in the front of each Code.
- 11. NFPA and/or Authorized Agent and Licensee shall supply a postage-paid return card for each purchaser to apply for complimentary automatic receipt of all supplements and errata sheets. NFPA and/or Authorized Agent and Licensee will maintain the mailing list, and print, mail and verify delivery of supplements and errata sheets.
- 12. A card may be placed in the Code advertising other NFPA and/or Authorized Agent and Licensee publications.
- J. Supplements and Errata
  - 1. Supplements to the code shall be printed on 20 lb. light blue paper, seven-hole drilled and be individually shrink-wrapped.
  - 2. Supplements shall be printed to insert by page substitution and contain a publication, effective date and 'Blue' on the bottom of each page.
  - 3. Regular supplements shall be published as needed.
  - 4. Emergency supplements shall be published on an "as needed basis."
  - 5. Errata Sheets shall be printed on 20 lb. buff paper, seven-hole drilled and be individually shrink-wrapped.

- 6. Errata Sheets shall be printed to insert by page substitution and contain a publication, effective date and 'Buff' on the bottom of each page.
- 7. The number of the supplements shall be the number of the page where the section and paragraph resides followed by .1, .2, .3 etc.
- K. Distribution
  - 1. NFPA shall establish a distribution method in consultation with the CBSC.
  - 2. NFPA shall distribute a minimum of 200 complimentary copies of the Code as per list provided by CBSC on or before July 1, 2016
  - 3. NFPA may wholesale the Code to other resellers in California.
  - 4. NFPA reserves the right to include advertising material of related support products/services in distribution of the Code, provided said material is not a part of the official text of the California Electrical Code. Any advertising would be clearly denoted and included as a separate insert.

## <u>EXHIBIT B</u>

## PAYMENT AND COMPENSATION PROVISIONS

- A. <u>Compensation.</u> In consideration of the opportunity provided to NFPA by CBSC to make sales of the Code, no fees, royalties or other payments of any nature shall be required to be paid by CBSC to NFPA with respect to this Agreement or the licenses granted hereunder.
  - B. Sale of Code. NFPA agrees to furnish the Code in loose-leaf format on the following basis:
- NFPA shall make the Code, described in Exhibit A Paragraphs 5 A 1. and 5 A 2., available to the State, local governmental agencies, and the general public at a price established by NFPA that will entitle the purchaser to receive all 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related Errata sheets from NFPA at no additional charge.
- Any bookstore or other vendor may purchase the Code from NFPA for resale and may resell the same at any price which will entitle the purchaser to receive all subsequent 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets from NFPA at no additional charge.

- 1. NFPA shall provide CBSC with three (3) copies of the final text corresponding to the printed version of the 2016 Code, and three (3) copies of all 2016 supplements, supplements in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes.
- 2. NFPA may sell the Code in any formats it so chooses, including but not limited to: print, eBooks, and subscription service.
- 3. NFPA and/or Authorized Agent and Licensee will send the State an annual report of units sold. Reports must be mailed to:

*CBSC Contract Administrator* Kevin Day 2525 Natomas Park Drive #130 Sacramento, CA 95833

- C. <u>State Code Distribution.</u> The Library Distribution Act (LDA) established a system to distribute California State agency publications to libraries in order to make such publications widely available to residents of the State of California. During the term of this Agreement, NFPA shall deliver complimentary copies of the 2016 CEC, and automatically, any 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets, directly to the Deposit Libraries listed in Attachment A hereto in the quantities set forth therein, and one copy each to the Selective Depository Libraries listed in Attachment B hereto and copies to the State agencies as directed by CBSC. NFPA shall obtain and retain proof of delivery of all materials distributed in accordance with this provision and make copies of such proof of delivery available to CBSC upon written request until one year after termination of this Agreement or be willing to ship additional complimentary copies upon request.
  - NFPA shall deliver up to 30 complimentary copies of the Code to CBSC and then deliver one complimentary copy for each new Commissioner appointed after June 1, 2016 to CBSC, in addition to the delivery of the original 30 copies until one hundred and eighty (180) days after the Publication of the next edition of 2016 Code. CBSC shall provide with names and delivery addresses of the current and any new Commissioners as well as notifying NFPA of any terminations.
  - 2. NFPA shall deliver up to 200 complimentary copies of the Code, together with any 2016 CEC Supplements, directly to the following state agencies in the quantities specified by CBSC.
- D. <u>Subscription Service.</u> In order to ensure that the 2016 CEC purchased from NFPA or any bookstore or other vendor is accurate and up to date, beginning from the time of the initial publication, NFPA shall make available to all purchasers of the 2016 CEC, all 2016 CEC Supplements, all supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets, at no additional cost. NFPA shall provide all purchasers with a

subscription registration card which enables them to automatically receive such updates to the 2016 CEC in either print or electronic format, whichever the purchaser chooses. Electronic versions shall clearly distinguish and identify errata and supplement sheets with footer notes on each page as follows: '[Effective date], Supplement, Blue', '[Effective date], Errata, Buff'. Subscription to this service shall result in the subscriber being provided with an entire, up-to-date 2016 Code.

E. **Funding.** NFPA understands and agrees that under certain provisions of California law, CBSC may not obligate itself by contract to an extent in excess of an amount appropriated therefore by the State Legislature as approved by the Governor.

#### EXHIBIT C

#### GENERAL TERMS AND CONDITIONS

1. <u>APPROVAL</u>: This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.

2. <u>AMENDMENT</u>: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

3. <u>ASSIGNMENT</u>: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.

4. <u>AUDIT</u>: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

5. <u>INDEMNIFICATION</u>: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.

6. <u>DISPUTES</u>: Contractor shall continue with the responsibilities under this Agreement during any dispute.

7. <u>TERMINATION FOR CAUSE</u>: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. <u>INDEPENDENT CONTRACTOR</u>: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. <u>RECYCLING CERTIFICATION</u>: The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

10. <u>NON-DISCRIMINATION CLAUSE</u>: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

11. <u>CERTIFICATION CLAUSES</u>: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

12. <u>TIMELINESS</u>: Time is of the essence in this Agreement.

13. <u>COMPENSATION</u>: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

14. <u>GOVERNING LAW</u>: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

15. <u>ANTITRUST CLAIMS</u>: The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.

a. The Government Code Chapter on Antitrust claims contains the following definitions:

1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.

2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.

d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. CHILD SUPPORT COMPLIANCE ACT: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. <u>UNENFORCEABLE PROVISION</u>: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. <u>PRIORITY HIRING CONSIDERATIONS</u>: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code \$10353.

19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS :

a. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)

b. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

#### 20. LOSS LEADER:

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

### ATTACHMENT A

#### **Depository Libraries**

Under the provisions of the Library Distribution Act (Government Code sections 14905, 14906, and 14907), the libraries listed below have contracted with the Department of General Services to serve as complete or selective depositories. They agree to provide adequate facilities for the shelving and use of the publications deposited with them render reasonable service without charge to qualified patrons, and retain all publications received until authorized to dispose of them.

#### Complete Depository Libraries

One copy of each state publication as defined in Government Code section 14902 must be placed on deposit with each complete depository. In addition, provisions of the State Administrative Manual authorize those libraries marked with an asterisk in the list below to receive the number of copies recorded after the entry.

California State Archives, 1020 O Street, Sacramento, CA 95814

- California State Library, Information Resources and Government Publications, P.O. Box 942837, Sacramento, CA 94237-0001 (IMS: 900 N Street, E-29) (two copies)
- California State University, Chico, Merriam Library, Government Publications Dept., Chico, CA 95929-0295

Fresno County Free Library, Government Publications, 2420 Mariposa St., Fresno, CA 93721-2285 Library of Congress, Anglo-American Acquisition Division, Government Documents Section, 101

Independence Ave S.E., Washington, D.C., 20540-4172

Los Angeles Public Library, Serials Division, 630 W. Fifth St., Los Angeles, CA 90071-2002

- Dr. Martin Luther King Jr. Library, Government Publications, San José State University, One Washington Square, San Jose, CA 95192-0008
- San Diego Public Library, Government Publications Unit, 330 Park Blvd., San Diego, CA 92101 San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division, 5500

Campanile Drive, San Diego, CA 92182-8050 San Francisco Public Library, Government Information Center, 100 Larkin St., San Francisco, CA 94102 Green Library, Receiving, Stanford University Libraries, 557 Escondido Mall, Stanford, CA 94305

- University of California, Berkeley, Government Documents Technical Services, 250 Moffitt Library, Berkeley, CA 94720-6000
- University of California, Davis, Shields Library, Government Information and Maps Department, 100 North West Quad, Davis, CA 95616-5292
- University of California, Los Angeles, Charles E. Young Research Library A4510 Government Information, Box 951575, Los Angeles, CA 90095-1575
- University of California, San Diego, Government Documents Unit, 9500 Gilman Drive 0175P, La Jolla, CA 92093-0175

University of California, Santa Barbara, Library, Serials Receiving, Santa Barbara, CA 93106-9010

### ATTACHMENT B

### Selective Depository Libraries – Page 1 of 4

Selective depositories receive one copy of each printed publication distributed by the Office of State Publishing. They also receive publications distributed directly by issuing agencies. Special subsets of the Selective Depository Libraries are the Law Libraries. They receive one copy of each printed publication from OSP and a single copy from issuing agencies. Law library depositories differ in having special document retention requirements pursuant to section 14909 of the California Government Code. Law Libraries are indicated in this list by the term "Law" before their entry.

A.K. Smiley Public Library, 125 West Vine St., Redlands, CA 92373

- Law Bernard E. Witkin Alameda County Law Library, 125 12 St., Oakland, CA 94607-4912
  - Alhambra Public Library, 101 South First Street, Alhambra, CA 91801
  - Butte County Library, 1820 Mitchell Avenue, Oroville, CA 95966-5387
  - California Polytechnic State University, Library-Government Documents Section, 1 Grand Avenue, San Luis Obispo, CA 93407
  - California State Polytechnic University, Pomona, Library-Serials Unit, Building 15, Suite 1919, 3801 W. Temple Ave., Pomona, CA 91768-2557
  - California State University, Bakersfield, Walter Stiern Library, Library-Documents Section, 9001 Stockdale Highway, Bakersfield, CA 93311-1099
  - California State University, Dominguez Hills, Library-Government Documents, 800 E.Victoria St., Carson, CA 90747
  - California State University, Fresno, Henry Madden Library, Government Documents Dept., 5200 N. Barton, M/S ML34, Fresno, CA 93740-8014

- California State University, Fullerton, Library-Documents State, P.O. Box 4150, Fullerton, CA 92834-4150
- California State University, East Bay, Library-Documents, 25800 Carlos Bee Blvd. Hayward, CA 94542
- California State University, Long Beach, Library Government Documents, 1250 Bellflower Blvd., Long Beach, CA 90840-1901
- California Štate University, Los Angeles, John F. Kennedy Library, Government Information Services, 5151 State University Dr., Los Angeles, CA 90032-8300
- California State University, Northridge, Oviatt Library Government Documents, 18111Nordhoff St., Northridge, CA 91330-8328
- California State University, Sacramento, Library-Documents, 2000 State University Dr., East, Sacramento, CA 95819-6039
- California State University, San Bernardino, Library, 5500 University Parkway, SanBernardino, CA 92407-2397
- California State University San Marcos, Library, State Documents, 333 S. Twin Oaks Valley Road, San Marcos, CA 92096-0001
- California State University, Stanislaus, Library, Documents Dept., One University Circle, Turlock, CA 95382-0299
- California State University, Board of Trustees, Office of Governmental Affairs, CA 915 L Street, Suite 1160, Sacramento, CA 95814
- Law California Judicial Center Library, 455 Golden Gate Avenue, Room 4617, San Francisco, CA 94102
- Law California Western School of Law Library, 225 Cedar St., San Diego, CA 92101-3090 Chula Vista Public Library, 365 F Street, Chula Vista, CA 91910 Contra Costa County Library, Documents Section, 1750 Oak Park Boulevard, Pleasant Hill, CA 94523-4497
- Law Court of Appeal Library, Second Appellate District, 300 S. Spring St., Los Angeles, CA 90013 El Centro Public Library, 1140 N. Imperial Ave., El Centro, CA 92243 Fremont Main Library, 2400 Stevenson Blvd., Fremont, CA 94538-2326 Garden Grove Regional Branch, Orange County Public Library, 11200 Stanford Avenue, Garden Grove, CA 92840
- Law Golden Gate University Law Library, 536 Mission St., San Francisco, CA 94105
- Law Hastings College of the Law Library, 200 McAllister St., San Francisco, CA 94102 Honnold/Mudd Library, Government Publications Dept., Claremont Colleges, 800 N. Dartmouth Ave., Claremont, CA 91711-3907 Humboldt County Library, Public Services Division, 1313 Third Street, Eureka, CA 95501- 0533 Humboldt State University, Government Documents Section, 1 Harpst Street, Arcata, CA 95521
  - Inglewood Public Library, 101 West Manchester Boulevard, Inglewood, CA 90301-1771
- Law Kern County Law Library, 1415 Truxtun, Room 301, Bakersfield, CA 93301 Kern County Library, California Documents Dept., 701 Truxtun Avenue, Bakersfield, CA 93301-4517
- Law Kings County Law Library, 1400 West Lacey Boulevard, Hanford, CA 93230 Long Beach Public Library, 101 Pacific Avenue, Long Beach, CA 90822-1097
- Law Los Angeles County Law Library, 301 W. First St., Los Angeles, CA 90012-3100 County of Los Angeles Public Library, Lancaster Library, 601 W. Lancaster Blvd., Lancaster, CA 93534

County of Los Angeles Public Library, Norwalk Library, 12350 Imperial Highway, Norwalk, CA 90650

Law McGeorge School of Law, Library, Documents Dept., 3282 Fifth Ave., Sacramento, CA 95817 Monterey County Free Libraries, Seaside Branch Library, 550 Harcourt Avenue, Seaside, CA 93955

Napa City-County Library, 580 Coombs Street, Napa, CA 94559-3340 Oakland Public Library, 125 Fourteenth St., Oakland, CA 94612

- Law Orange County Public Law Library, 515 N. Flower, Santa Ana, CA 92703-2354 Pasadena Public Library, 285 East Walnut Street, Pasadena, CA 91101-1556 Redding Library, Attn. Government Documents, 1100 Parkview Ave., Redding, CA 96001 Redwood City Public Library, Documents, 1044 Middlefield Road, Redwood City, CA 94063-1868 Richmond Public Library, Government Documents Dept., 325 Civic Center Plaza, Richmond, CA 94804
- Law Riverside County Law Library, 3989 Lemon St., Riverside, CA 92501-4203
- Law Sacramento County Public Law Library, 609 Ninth St., Sacramento, CA 95814 Sacramento Public Library, Central Library-Reference Department, 828 I Street, Sacramento, CA 95814-2508
- Law San Bernardino County Law Library, 402 North "D" Street, San Bernardino, CA 92401 San Bernardino Public Library, Documents, 555 W. Sixth St., San Bernardino, CA 92410 San Bernardino Valley College Library, 701 South Mt. Vernon Avenue, San Bernardino, CA 92410
- Law San Diego County Law Library, 1105 Front St., San Diego, CA 92101-3999 San Francisco State University, Government Publications Dept., J. Paul Leonard Library, 1630 Holloway Ave., San Francisco 94132-4030
- Law San Joaquin County Law Library, Kress Legal Center, 1st floor, 20 N. Sutter St., Stockton, CA 95202

San Luis Obispo City-County Library, P.O. Box 8107, San Luis Obispo, CA 93403

- Law San Luis Obispo County Law Library, 1050 Monterey St., Room 125, San Luis Obispo, CA 93408
- Law San Mateo County Law Library, 710 Hamilton St., Redwood City, CA 94063 San Mateo Public Library, 55 West Third Avenue, San Mateo, CA 94402-1592
- Law Santa Barbara County Law Library, Courthouse, 1100 Anacapa St., Second Floor, Santa Barbara, CA 93101
- Santa Barbara Public Library, P.O. Box 1019, Santa Barbara, CA 93102-1019
- Law Santa Clara County Law Library, 360 N. First St., San Jose, CA 95113-1004 Santa Clara University, Government Documents Dept., Michel Orradre Library, 500 El Camino Real, Santa Clara, CA 95053-0500
- Law Santa Cruz County Law Library, 701 Ocean St., Rm. 070, Santa Cruz, CA 95060 Santa Cruz Public Library, Documents Section, 224 Church Street, Santa Cruz, CA 95060 Santa Maria Public Library, Reference Dept., 421 S. McClelland, Santa Maria, CA 93454 Santa Monica Public Library, 601 Santa Monica Blvd., Santa Monica, CA 90401
- Law Sonoma County Law Library, 2604 Ventura Ave., Santa Rosa, CA 95403 Sonoma County Library, 211 E Street, Santa Rosa, CA 95404
- Law Southwestern University School of Law Library, Government Documents, 3050 Wilshire Blvd., Los Angeles, CA 90010-1106
- Law Stanford University, Law Library, 559 Nathan Abbott Way, Stanford, CA 94305-8612 Stanislaus County Free Library, 1500 I Street, Modesto, CA 95354-1166 Stockton-San Joaquin County Public Library, 605 North El Dorado Street, Stockton, CA 95202

Thousand Oaks Library, Technical Services Dept., 2331 Borchard Rd., Newbury Park, CA 91320

- Law University of California, Berkeley, Law Library, Boalt Hall, Berkeley, CA 94720-7210
- Law Library Documents Dept., University of California, 400 Mrak Hall Drive, Davis, CA 95616-5203

University of California, Irvine Libraries, Government Information Department, Building 520, Ayala Science Library Receiving Dock, Irvine, CA 92697

Law University of California, Los Angeles, Law Library, 1106 Law Building., 405 Hilgard Ave., Los Angeles, CA 90095-1458

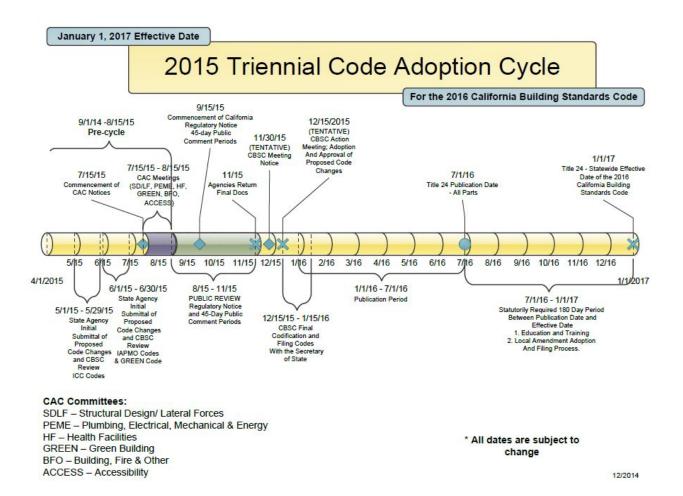
University of California, Riverside, Rivera Library, Government Publications, P.O. Box 5900, Riverside, CA 92517-5900

University of California, Santa Cruz, University Library, Government Publications, 1156 High Street, Santa Cruz, CA 95064

- Law University of La Verne College of Law Library, 320 East D St., Ontario, CA 91764
- Law University of San Diego, School of Law Library, 5998 Alcala Park, San Diego, CA 92110-2492
- Law University of San Francisco, Zies Law Library, Kendrick Hall, 2130 Fulton St., San Francisco, CA 94117
- Law University of Southern California, Law Library, 699 Exposition Blvd., Los Angeles, CA 90089-0072 University of Southern California, Government Documents, Von Kleinsmid Library, 3518 Trousdale Parkway, Los Angeles, CA 90089-0048
- Law Ventura County Law Library, Courthouse, 800 S. Victoria Ave., Ventura, CA 93009-2020
- Law Whittier College School of Law, Library, 3333 Harbor Blvd., Costa Mesa, CA 92626

### ATTACHMENT C

#### 2016 Intervening Code Adoption Cycle Timeline -



From:	<u>Benny, <katrina@dgs></katrina@dgs></u>
To:	<u> "Rose, Debra" <drose@nfpa.org></drose@nfpa.org></u>
	"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov>
Date:	5/4/2016 8:38:53 AM
Subject:	RE: NFPA Cal. Electrical Code

Thank Debra, we will get these changes today.

## Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Rose, Debra [mailto:drose@nfpa.org] Sent: Wednesday, May 04, 2016 8:33 AM To: Benny, Katrina@DGS; Betts, Bruce@DGS Cc: Berry, Dennis; Marvelli, Mia@DGS; de la Motte, Leann@DGS; Biedermann, Cynthia@DGS Subject: RE: NFPA Cal. Electrical Code

#### Hi Katrina,

Dennis is in agreement with what we talked about yesterday and what you have highlighted below. Please incorporate those changes and send the contract to me for signature.

Best regards, Debra 617-984-7595 <u>drose@nfpa.org</u> National Fire Protection Association The leading information and knowledge resource on fire, electrical and related hazards. www.nfpa.org

From: Benny, Katrina@DGS [mailto:Katrina.Benny@dgs.ca.gov] Sent: Tuesday, May 3, 2016 5:27 PM To: Rose, Debra <<u>drose@nfpa.org</u>>; Betts, Bruce@DGS <<u>Bruce.Betts@dgs.ca.gov</u>> Cc: Berry, Dennis <<u>dberry@NFPA.org</u>>; Marvelli, Mia@DGS <<u>Mia.Marvelli@dgs.ca.gov</u>>; de la Motte, Leann@DGS < <u>Leann.delaMotte@dgs.ca.gov</u>>; Biedermann, Cynthia@DGS <<u>Cynthia.Biedermann@dgs.ca.gov</u>> Subject: RE: NFPA Cal. Electrical Code

Bruce,

I have reviewed the following requests/suggestions and have spoken with Debra. Please see the below items highlighted in yellow. We are still unclear with the last change/suggestion and need to confer with Dennis tomorrow.

At that time, Leann will make the necessary changes and forward the document back to through you.

Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Rose, Debra [mailto:drose@nfpa.org] Sent: Tuesday, May 03, 2016 1:36 PM To: Benny, Katrina@DGS Cc: Berry, Dennis Subject: FW: NFPA Cal. Electrical Code

Hi Katrina,

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Please take a look and if you agree insert the changes into the contract. If you don't, let's talk about it so we can come to a quick resolution.

Best regards, Debra 617-984-7595 <u>drose@nfpa.org</u> National Fire Protection Association The leading information and knowledge resource on fire, electrical and related hazards. www.nfpa.org From: Berry, Dennis Sent: Wednesday, April 27, 2016 11:26 AM To: <u>katrina.benny@dgf.ca.gov</u> Cc: Rose, Debra <<u>drose@nfpa.org</u>> Subject: NFPA Cal. Electrical Code

Dear Katrina,

Thank you for the revisions which you made to the NFPA/CBSC agreement regarding the production of the 2016 California Electrical Code, which is largely referred to as the Code. Debra and I have gone through your version closely and as you might anticipate in a contract of this importance, we do have a couple of final comments and suggestions. Let me set them out herein in numerical fashion:

- Exhibit A page 3 Section B, we note two instances of the Code being spelled with a small c. We have gone through the document and believe this is the only place where that small c was used. Unless there is some reason, this should probably be a capital C. CBSC will change
- 2. Exhibit A page 5 Section G, the reference to 35 days should be 45 days. Okay to leave as 35.
- 3. Exhibit A page 3 section 4 A 3, you should understand that NFPA copyright requirements will include the NFPA logo and the NEC logo on the cover.

In order to cover this we suggest that the above referenced section be revised to include reference to trademark requirements with the following language.

3. Affix copyright <mark>and trademark</mark> notices to the Code as directed by CBSC and required by NFPA <mark>CBSC will add</mark> and trademark.

In this regard we note that Section 6 I 4.1 (Ex. A p. 6 of 7) references material that is supposed to be delivered to NFPA by April 1, including the cover art along with other material. Were these materials delivered to BNI? IF not a different date needs to be included as we have not seen any of these items. In the previous iteration of this contract these materials were not simply referenced but were actually included in Exhibits that NFPA had a right to review prior to the printing. Because of the importance of properly acknowledging the NFPA and including the NFPA logo and the NEC logo, we will want assurance that these items will be properly displayed.

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Manager, CBSC Phone 916-263-1350

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To:	<u> "Rose, Debra" <drose@nfpa.org></drose@nfpa.org></u>
	"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov>
Date:	5/3/2016 2:26:55 PM
Subject:	RE: NFPA Cal. Electrical Code

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	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
Date:	5/3/2016 1:35:16 PM
Subject:	RE: 2016 California Building Standards Code Part 3 Publication Agreement

Hi Katrina,

I just spoke with Debra and there were some changes on the 27<sup>th</sup> that Dennis sent to an incorrect email address. Debra is going to resend the email to you.

#### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Benny, Katrina@DGS Sent: Tuesday, May 03, 2016 12:14 PM To: de la Motte, Leann@DGS; Betts, Bruce@DGS Cc: Marvelli, Mia@DGS; Biedermann, Cynthia@DGS Subject: RE: 2016 California Building Standards Code Part 3 Publication Agreement

I hadn't received anything from Debra after our teleconference call with her and ? (forgot his name). At that point we made the updates (that I knew about) and sent them to Bruce. Which then Bruce sent them back to NFPA????

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Thank you,

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



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Here is her response after I sent the contract to her for signature. She said Katrina has the updates.

Bruce Betts

#### Acquisition Analyst

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Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Rose, Debra [mailto:drose@nfpa.org] Sent: Thursday, April 28, 2016 8:18 AM To: Betts, Bruce@DGS Cc: de la Motte, Leann@DGS Subject: RE: 2016 California Building Standards Code Part 3 Publication Agreement

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From: Betts, Bruce@DGS [<u>mailto:Bruce.Betts@dgs.ca.gov</u>] Sent: Thursday, April 28, 2016 11:12 AM To: Rose, Debra <<u>drose@nfpa.org</u>> Cc: de la Motte, Leann@DGS <<u>Leann.delaMotte@dgs.ca.gov</u>> Subject: RE: 2016 California Building Standards Code Part 3 Publication Agreement

Good morning Debra,

Page 4 I wanted to check in and ask if you have any questions and if the signed agreement has been sent back to DGS? Thank you.

#### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Tuesday, April 26, 2016 11:08 AM To: 'Rose, Debra' Cc: de la Motte, Leann@DGS Subject: 2016 California Building Standards Code Part 3 Publication Agreement

Hello Debra,

Agreement #3188107 is attached for signature. The agreement are for the 2016 California Building Standards Codes Part 3 for the Department of General Services, California Building Standards Commission.

Please print and sign four original, single-sided copies of the STD 213 (first page of the electronic files) and return these to me at the address listed below in my signature block via expedited mail. We would like to have the signed documents back no later than April 28, 2016 if possible. Once we receive the documents we will obtain the other signatures and approvals to get the agreement executed as quickly as possible.

Please let me know if you find any errors or discrepancies.

Thank you and have a great day!

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	"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov>
Date:	5/3/2016 12:41:59 PM
Subject:	RE: 2016 California Building Standards Code Part 3 Publication Agreement

Debra may be confused on the email chain. I will give her a call this afternoon to clarify that she needs to have Kimberly sign the documents I sent on 4/26 and return them to us.

#### **Bruce Betts**

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

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12/17/2016

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<u>"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov></u>
5/3/2016 12:14:31 PM
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Ok, I will let him know that we have NOT received any additional edits from Deborah/NFPA.

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Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Tuesday, April 26, 2016 11:08 AM To: 'Rose, Debra' Cc: de la Motte, Leann@DGS Subject: 2016 California Building Standards Code Part 3 Publication Agreement

Hello Debra,

Agreement #3188107 is attached for signature. The agreement are for the 2016 California Building Standards Codes Part 3 for the Department of General Services, California Building Standards Commission.

Please print and sign four original, single-sided copies of the STD 213 (first page of the electronic files) and return these to me at the address listed below in my signature block via expedited mail. We would like to have the signed documents back no later than April 28, 2016 if possible. Once we receive the documents we will obtain the other signatures and approvals to get the agreement executed as quickly as possible.

Please let me know if you find any errors or discrepancies.

Thank you and have a great day!

#### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

	Tuge T
From:	Benny, <katrina@dgs></katrina@dgs>
To:	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
	<u>"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov></u>
Date:	5/3/2016 12:13:38 PM
Subject:	RE: 2016 California Building Standards Code Part 3 Publication Agreement
-	

I hadn't received anything from Debra after our teleconference call with her and ? (forgot his name). At that point we made the updates (that I knew about) and sent them to Bruce. Which then Bruce sent them back to NFPA?????

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: de la Motte, Leann@DGS Sent: Tuesday, May 03, 2016 11:52 AM To: Benny, Katrina@DGS Cc: Marvelli, Mia@DGS; Biedermann, Cynthia@DGS Subject: FW: 2016 California Building Standards Code Part 3 Publication Agreement

## Hi Katrina,

Did you receive additional changes from Debra for the NFPA contract? If so, have they been reviewed and/or accepted? Both Deborah and Bruce are requesting a status update.

Thank you,

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Tuesday, May 03, 2016 11:33 AM To: de la Motte, Leann@DGS Subject: FW: 2016 California Building Standards Code Part 3 Publication Agreement

Here is her response after I sent the contract to her for signature. She said Katrina has the updates.

## Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Rose, Debra [mailto:drose@nfpa.org] Sent: Thursday, April 28, 2016 8:18 AM To: Betts, Bruce@DGS Cc: de la Motte, Leann@DGS Subject: RE: 2016 California Building Standards Code Part 3 Publication Agreement

Hi Bruce,

We had a few more changes and sent it back to Katrina for updating.

Best regards, Debra

#### 617-984-7595

drose@nfpa.org

#### **National Fire Protection Association**

The leading information and knowledge resource on fire, electrical and related hazards. www.nfpa.org

From: Betts, Bruce@DGS [mailto:Bruce.Betts@dgs.ca.gov] Sent: Thursday, April 28, 2016 11:12 AM To: Rose, Debra <<u>drose@nfpa.org</u>> Cc: de la Motte, Leann@DGS <<u>Leann.delaMotte@dgs.ca.gov</u>> Subject: RE: 2016 California Building Standards Code Part 3 Publication Agreement

#### Good morning Debra,

I wanted to check in and ask if you have any questions and if the signed agreement has been sent back to DGS? Thank you.

#### **Bruce Betts**

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

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Please let me know if you find any errors or discrepancies.

Thank you and have a great day!

Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From:	<u>"de la Motte," <leann@dgs></leann@dgs></u>
To:	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
Date:	5/3/2016 11:51:43 AM
Subject:	FW: 2016 California Building Standards Code Part 3 Publication Agreement

Hi Katrina,

Did you receive additional changes from Debra for the NFPA contract? If so, have they been reviewed and/or accepted? Both Deborah and Bruce are requesting a status update.

Thank you,

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Tuesday, May 03, 2016 11:33 AM To: de la Motte, Leann@DGS Subject: FW: 2016 California Building Standards Code Part 3 Publication Agreement

Here is her response after I sent the contract to her for signature. She said Katrina has the updates.

## Bruce Betts

Acquisition Analyst Contract Services Section (Team 3) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

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Hi Bruce,

We had a few more changes and sent it back to Katrina for updating.

Best regards, Debra 617-984-7595 <u>drose@nfpa.org</u> National Fire Protection Association The leading information and knowledge resource on fire, electrical and related hazards. www.nfpa.org

From: Betts, Bruce@DGS [mailto:Bruce.Betts@dgs.ca.gov] Sent: Thursday, April 28, 2016 11:12 AM To: Rose, Debra <<u>drose@nfpa.org</u>> Cc: de la Motte, Leann@DGS <<u>Leann.delaMotte@dgs.ca.gov</u>> Subject: RE: 2016 California Building Standards Code Part 3 Publication Agreement

Good morning Debra,

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Bruce Betts

Acquisition Analyst

Contract Services Section (Team 3) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dqs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

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Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From:	Benny, <katrina@dgs></katrina@dgs>
To:	<u>"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov></u>
	"Frost, Laura@DGS" <laura.frost@dgs.ca.gov></laura.frost@dgs.ca.gov>
	"Banzon, Jonette@DGS" <jonette.banzon@dgs.ca.gov></jonette.banzon@dgs.ca.gov>
Date:	4/15/2016 9:57:15 AM
Subject:	RE: Publishing contracts

Last but not least IAPMO consideration of value \$2,300,000+.

Have a great weekend everyone.

## Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Benny, Katrina@DGS Sent: Wednesday, April 13, 2016 9:26 AM To: Betts, Bruce@DGS; Frost, Laura@DGS; Banzon, Jonette@DGS Cc: Nearman, Michael@DGS; Biedermann, Cynthia@DGS; de la Motte, Leann@DGS; Marvelli, Mia@DGS Subject: RE: Publishing contracts

#### To all,

Please see the below contracts and their estimate for Consideration of Value. I am still waiting on IAPMO for their costs and will forwards as soon as possible. Additionally, Mia has signed the two ICC contracts and I plan to return them to Bruce soon.

ICC Parts 2, 2.5, 9, 10 - Contract # 3187605 - \$4,000,000+

ICC Parts 1, 6, 8, 11 - Contract # 3187607 - \$600,000+

NFPA Part 3 - Contract # 31888107 - \$690,000+

IAPMO Parts 4, 5 - Contract # 3188106 - \$2,300,000+

#### Thank you,

Katrina Benny

Manager, CBSC Phone 916-263-1350

	Tage T	
From:	<u>"Monte Bogatz" <monte.bogatz@iapmo.org></monte.bogatz@iapmo.org></u>	
To:	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>	
	<u>"Russ Chaney" <russ.chaney@iapmo.org></russ.chaney@iapmo.org></u>	
Date:	4/15/2016 9:47:52 AM	
Subject:	RE: 2016 California Mechanical & Plumbing Contracts	
Cynthia, Sorry for complimentary co	the delay. These numbers are 2013-present and include hardcover and electronic version. This does not include pies.	
2013 CPC: 8,647 copies		
2013 CMC: 7,200 copies		

Monte H. Bogatz **Executive Vice President and General Counsel** The IAPMO Group 4755 E. Philadelphia St. Ontario, CA 91761 909-472-4100 909-230-5537 (direct) 909-472-4241 (fax) monte.bogatz@iapmo.org WWW.IAPMO.ORG

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Please consider the environment before printing this email  ${\sf P}$ 

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Tuesday, April 12, 2016 3:39 PM To: Monte Bogatz <monte.bogatz@iapmo.org>; Russ Chaney <Russ.Chaney@iapmo.org>

,12/17/2016

	Page 2
Cc: Leticia Gallegos ⊲eticia.gallegos@iapmo.org>; Marvelli, Mia@ <heather.koffman@iapmo.org>; Benny, Katrina@DGS <katrina.b Subject: RE: 2016 California Mechanical &amp; Plumbing Contracts</katrina.b </heather.koffman@iapmo.org>	
Dear Monte,	
Thank you for your quick follow-up concerning our inquiry CPC have been sold?	y. Can you advise how many copies of the 2013 CMC and
Regards,	
Cynthia	
•	ENTAL PROGRAM ANALYST   CALIFORNIA BUILDING ISSION   916.263.1330
	R, NEW CODE!
Publication Date: July 1, 2016	Effective Date: January 1, 2017
From: Monte Bogatz [ <u>mailto:monte.bogatz@iapmo.org</u> ] Sent: Tuesday, April 12, 2016 10:48 AM To: Russ Chaney; Biedermann, Cynthia@DGS Cc: Leticia Gallegos; Marvelli, Mia@DGS; Heather Koffman	
Subject: RE: 2016 California Mechanical & Plumbing Contracts	

Cynthia, Russ, IAPMO doesn't assign a \$ value to this agreement because this is a mutual license grant between the parties. The items being given and received are intellectual property rights that are considered intangible assets in so for as they are not physical in nature, are and akin to goodwill, brand recognition, etc., things that you cannot touch or feel and do not explicitly have a monetary value.

Monte H. BogatZ Executive Vice President and General Counsel The IAPMO Group 4755 E. Philadelphia St.

Page 3 Ontario, CA 91761 909-472-4100 909-230-5537 (direct) 909-472-4241 (fax) monte.bogatz@iapmo.org WWW.IAPMO.ORG CONFIDENTIALITY NOTICE: This e-mail transmission, and any documents, files or previous e-mail messages attached to it may contain confidential information that is legally privileged. If you are not the intended recipient, or a person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of any of the information contained in or attached to this transmission is STRICTLY PROHIBITED. If you have received this transmission in error, please immediately notify the sender. Please destroy the original transmission and its attachments without reading or saving in any manner. Thank you. Please consider the environment before printing this email  ${\sf P}$ From: Russ Chaney Sent: Tuesday, April 12, 2016 10:43 AM To: Biedermann, Cynthia@DGS <Cynthia.Biedermann@dgs.ca.gov> Cc: Leticia Gallegos <a href="https://www.eticia.gallegos@iapmo.org">ttps://www.eticia.gallegos@iapmo.org</a>; Marvelli, Mia@DGS <<a href="https://www.eticia.gallegos@iapmo.org">Marvelli@dgs.ca.gov</a>; Monte Bogatz < monte.bogatz@iapmo.org>; Heather Koffman <Heather.Koffman@iapmo.org> Subject: Re: 2016 California Mechanical & Plumbing Contracts Hi Cynthia! I'm forwarding your request to our in-house legal department of Monte Bogatz and Heather Koffman. I'm confident they can get the info to you quickly as we know you are trying to get these contracts wrapped up. Monte, Heather, please get back to Cynthia... All the best! Russ **GP Russ Chaney** CEO, The IAPMO Group

From: "Biedermann, Cynthia@DGS" <<u>Cynthia.Biedermann@dgs.ca.gov</u>> Date: Tuesday, April 12, 2016 at 10:32 AM To: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Cc: Leticia Gallegos <<u>deticia.gallegos@iapmo.org</u>>, "Marvelli, Mia@DGS" <<u>Mia.Marvelli@dgs.ca.gov</u>> Subject: 2016 California Mechanical & Plumbing Contracts

Dear Mark,

Dear Russ,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of the 2016 CBSC/IAPMO agreement.

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

## CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

## NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

From:	Benny, <katrina@dgs></katrina@dgs>
To:	<u>"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov></u>
	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
Date:	4/14/2016 9:39:22 AM
Subject:	FW: 2016 California Mechanical and Plumbing Code Publication Agreement
Attachments:	HNK 3188106.BSC.2016 California Mechanical and Plumbing Code Publication Agreement.docx

Bruce,

I see in the actual contract – found twice on the first page (the first paragraph and under the project summary) – the error and maybe why the 213 is wrong.

It reads: The International Association of Building and Mechanical Officials (IAPMO)

Should read: The International Association of **Plumbing** and Mechanical Officials (IAPMO)

I can't believe that we ALL have read this over and over and didn't catch it? We might want to resend them another front page of the contract??? I have made the changes in the attached document with track changes.

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Betts, Bruce@DGS Sent: Monday, April 11, 2016 11:04 AM To: Biedermann, Cynthia@DGS; de la Motte, Leann@DGS Cc: Benny, Katrina@DGS; Marvelli, Mia@DGS; Frost, Laura@DGS Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

Good morning,

Please review the attached document with requested changes from IAPMO. Please let me know if you approve these suggested changes. Once you approve these changes, I will prepare the final contract and forward it to IAPMO for signature. Thank you!

Bruce Betts

#### Acquisition Analyst

Contract Services Section (Team 3) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Monday, April 11, 2016 9:24 AM To: Betts, Bruce@DGS Cc: Monte Bogatz; Frost, Laura@DGS Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Please see the attached redline. Of course please let me know ASAP if there are any concerns.

Best,

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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#### Hi Heather,

We generally do not change the language in the CCC-307 document. However, the statement from your employee handbook (highlighted below) meets the intent of the requirement in para 2.b.(1).

Thanks for asking!

#### Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Thursday, April 07, 2016 2:29 PM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Thanks for taking the time to talk to me today.

I want to close the loop on the issue with respect to the CCC-307 regarding Drug Free Workplace requirements.

CCC-307, at Section 2, asks us to affirmatively certify to the following:

2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

2) the person's or organization's policy of maintaining a drug-free workplace;

3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.) I am confident that we accomplish everything besides B(1).

For (A), (B)(2), (B)(4), and (C), we have a drug-free workplace policy in our employee handbook, which specifies that violation can result in discipline up to and including termination — our employees affirmatively acknowledge this. For B(3), we have, and employees are made aware of, an employee assistance program.

I am concerned that we may not have a program that qualifies as "inform[ing] employees about the dangers of drug abuse in the workplace" and that we may not, therefore, meet the requirements of B(1). Our employee handbook states, "The possession, use, or sale of controlled substances...in the workplace, or individuals who are under the influence of these substances, pose unacceptable risks for safe, healthful, and efficient operations. All employees must report to work in a fit condition to perform their jobs safely and well." That is the extent of our program's provision of educational information about the dangers of drug use.

I would suggest — unless you object — that we simply strike through (B)(1) and initial it. Let me know if you anticipate this causing a problem.

Best,

Heather

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 10:29 AM To: Heather Koffman <<u>Heather.Koffman@iapmo.org</u>> Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

Thank you!

Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

**Phone** 916.441-9636

Email	Bruce.Betts	add	<u>qs.ca.gov</u>

From: Heather Koffman [<u>mailto:Heather.Koffman@iapmo.org</u>] Sent: Thursday, April 07, 2016 10:29 AM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

I wanted to get this form to you immediately, per your email to Russ below. It's completed and signed.

Please note that Section 6 remains blank — I wasn't sure exactly what to enter there, so I left that for you to fill out.

Also, the information requested in your email below:

- Name of person signing the contract: <u>G.P. Russ Chaney</u>
- Title of person signing the contract: <u>Chief Executive Officer</u>
- Complete Address of Signer of contract: <u>5001 E. Philadelphia St., Ontario, CA 91761</u>

Can you also indicate the correct information for the project manager for this contract?

Contractor:	IAPMO	
Contact:	Lynne Simnick	
Phone:	(909) 472-4110	
<mark>E-mail:</mark>	Lynne.Simnick@iapmo.org	
Address:	4755 E. Philadelphia St.	
Ontario, CA	91761	

Page 7
Best,
Heather Nicole Koffman
The IAPMO Group
4755 E. Philadelphia St.
Ontario, CA 91761
909-472-4100
909-218-8115 (direct)
Heather.Koffman@iapmo.org
WWW.IAPMO.ORG

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From: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Date: Thursday, April 7, 2016 at 9:28 AM To: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>>, Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>, Heather Koffman < <u>Heather.Koffman@iapmo.org</u>>

Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

I suspect my general counsel, Monte Bogatz, will be able to get you the required info as I know that he and Heather Koffman, our associate general counsel, will be getting back to you today on some of the changes to the contract and our wanting to be sure we are able to comply with all of the provisions of this updated agreement.

Monty, Heather, could one of you get back to Bruce immediately so we don't hold him up for his intended deadline.

All the best!

# Russ

**GP Russ Chaney** CEO, The IAPMO Group

From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 8:38 AM To: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>> Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

Good morning Russ,

Is it possible for you to complete the STD204 form that is attached to this email and return it to me today. There is information I need on the form (you FEIN) to get some processes started here at the State. Waiting beyond today may put our May 1<sup>st</sup> deadline in jeopardy and we want to get the contract executed on that date.

## Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Tuesday, April 05, 2016 7:52 AM To: <u>'Russ.Chaney@iapmo.org</u>' Cc: de la Motte, Leann@DGS Subject: 2016 California Mechanical and Plumbing Code Publication Agreement

Good Morning Russ,

I am the Acquisition Analyst in the Office of Business and Acquisition Services (OBAS) assigned to assist with the execution of Contract #3188106 for the 2016 California Mechanical and Plumbing Code Publication Agreement for the Department of General Services, Building Standards Commission. I have a attached draft copy of the agreement. This drafts will be finalized once I receive the documents and information requested in this communications. So that OBAS

can process this agreement, I will require the following completed documents (Scanned copies are acceptable, but the original document(s) will need to be forwarded with the contract package as described below). Please review the agreement and forward the completed documents no later than COBApril 8<sup>th</sup>, 2016.

Please complete and return the following documents to me via email:

- CCC-307 Contractor Certification Clauses (attached)
- Std 204 Payee Data Record (attached)
- GSPD-05-105 Bidder Declaration (attached)

• Additionally, could please provide me the following information (complete the highlighted sections below) for the person that will be signing the agreement?

- Name of person signing the contract:
- Title of person signing the contract
- Complete Address of Signer of contract:

Can you also indicate the correct information for the project manager for this contract?

Contractor:	IAPMO
Contact:	
Phone:	
E-mail:	
E-IIIdii.	
Address:	
	-

I would like to thank you in advance for getting this information to me so that we can process and execute this as quickly as possible. Please don't hesitate to contact me should you have any questions.

Thank you,

#### Bruce Betts

Acquisition Analyst Contract Services Section(Team 3)

Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

Fro	m: <u>Benny, <katrina@dgs></katrina@dgs></u>	Page 1	
-	Co: <u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>		
Da	te: 4/13/2016 2:42:24 PM		
Subje	ct: RE: Publishing Contracts		
I get it –			

#### Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Marvelli, Mia@DGS Sent: Wednesday, April 13, 2016 2:42 PM To: Benny, Katrina@DGS Subject: FW: Publishing Contracts

This may help explain why Jonette needed the (publishers) contract value amount.

Mia Marvelli, Executive Director California Building Standards Commission www.BSC.ca.gov 916.263.0916

From: Jamison, Brent@DGS Sent: Wednesday, April 13, 2016 2:23 PM To: Marvelli, Mia@DGS Cc: Banzon, Jonette@DGS Subject: Publishing Contracts

Talked to Jonnette. Sounds like they needed to know the value of the contracts so they could figure out who would be the signatory on the contract. If they're over a million, they exceed your delegated authority....

Thanks,

Brent J. Jamison Deputy Director, Interagency Support Division

 State of California » Department of General Services

 Phone
 (916) 376-1784

 Email
 Brent.Jamison@dgs.ca.gov



From:	Jamison, <brent@dgs></brent@dgs>
To:	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
Date:	4/13/2016 2:22:45 PM
Subject:	Publishing Contracts

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Thanks,

Brent J. Jamison Deputy Director, Interagency Support Division

State of California » Department of General ServicesPhone(916) 376-1784EmailBrent.Jamison@dgs.ca.gov



From:	<u>Benny, <katrina@dgs></katrina@dgs></u>
To:	<u>"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov></u>
	<u> "Frost, Laura@DGS" <laura.frost@dgs.ca.gov></laura.frost@dgs.ca.gov></u>
	"Banzon, Jonette@DGS" <jonette.banzon@dgs.ca.gov></jonette.banzon@dgs.ca.gov>
Date:	4/13/2016 9:26:14 AM
Subject:	RE: Publishing contracts
-	-

To all,

Please see the below contracts and their estimate for Consideration of Value. I am still waiting on IAPMO for their costs and will forwards as soon as possible. Additionally, Mia has signed the two ICC contracts and I plan to return them to Bruce soon.

ICC Parts 2, 2.5, 9, 10 - Contract # 3187605 - \$4,000,000+

ICC Parts 1, 6, 8, 11 - Contract # 3187607 - \$600,000+

NFPA Part 3 - Contract # 31888107 - \$690,000+

IAPMO Parts 4, 5 - Contract # 3188106 -

Thank you,

Katrina Benny

Manager, CBSC Phone 916-263-1350

From:	"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org>
To:	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>
	"Dave Walls" <dwalls@iccsafe.org></dwalls@iccsafe.org>
Date:	4/13/2016 8:40:33 AM
Subject:	RE: 2016 California Contracts

You very welcome.

Mark Johnson International Code Council Western Regional Office 3060 Saturn Street, Suite 100 Brea, California 92821 Phone: (888) 422-7233, extension 3248 Cell Phone: (562) 331-4608

From: Benny, Katrina@DGS [mailto:Katrina.Benny@dgs.ca.gov] Sent: Wednesday, April 13, 2016 8:19 AM To: Mark Johnson; Biedermann, Cynthia@DGS; Dave Walls Cc: Casey Thomas; Marvelli, Mia@DGS Subject: RE: 2016 California Contracts

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Mark Johnson [mailto:mjohnson@icc-es.org] Sent: Wednesday, April 13, 2016 8:15 AM To: Benny, Katrina@DGS; Biedermann, Cynthia@DGS; Dave Walls Cc: Casey Thomas; Marvelli, Mia@DGS Subject: RE: 2016 California Contracts

Katrina,

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2013 California Referenced Standards Code, Title 24, Part 12	2,589

Mark Johnson International Code Council Western Regional Office 3060 Saturn Street, Suite 100 Brea, California 92821

#### Phone: (888) 422-7233, extension 3248 Cell Phone: (562) 331-4608

From: Benny, Katrina@DGS [mailto:Katrina.Benny@dgs.ca.gov] Sent: Tuesday, April 12, 2016 3:29 PM To: Mark Johnson; Biedermann, Cynthia@DGS; Dave Walls Cc: Casey Thomas; Marvelli, Mia@DGS Subject: RE: 2016 California Contracts

Hello everyone,

I just got back from the ZIG and have both ICC contracts in my possession. I will have Mia sign them as soon as she gets out of her meeting and then they will be returned to DGS contract unit tomorrow (or today).

I was able to get some clarification from contracts and we don't need to overthink this information, (*i.e., units sold, value of intellectual property, typesetter, editor, printing and distribution costs, marketing*).

Mark or Dave, can you give us a rough estimate on how many sets of 2013 California Code books you have sold? That's it, plain and simple. If you can get this count, I think we can wrap this up in no time at all.

Thanks,

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Mark Johnson [mailto:mjohnson@icc-es.org] Sent: Tuesday, April 12, 2016 3:11 PM To: Biedermann, Cynthia@DGS; Dave Walls Cc: Casey Thomas; Marvelli, Mia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Contracts

Cynthia,

Page 4
Any guidance would be helpful. Do you think this will significantly delay the signing of the contract?
Mark
Mark Johnson
International Code Council
Western Regional Office
3060 Saturn Street, Suite 100
Brea, California 92821
Phone: (888) 422-7233, extension 3248
Cell Phone: (562) 331-4608
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Sent: Tuesday, April 12, 2016 2:11 PM
To: Dave Walls; Mark Johnson
Cc: Casey Thomas; Marvelli, Mia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Contracts
Dear Mark and Dave,
Thank you for the follow-up call and email. We are sitting down with Mia as soon as possible to clarify what the
estimated value of the contract in dollars should be based on (i.e., units sold, value of intellectual property,
typesetter, editor, printing and distribution costs, marketing).
Regards,
Cynthia
CYNTHIA BIEDERMANN   ASSOCIATE GOVERNMENTAL PROGRAM ANALYST   CALIFORNIA BUILDING
STANDARDS COMMISSION   916.263.1330
NEW YEAR, NEW CODE!
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Thanks Cynthia,

Interesting, I went through this same exercise for the 2007 codes. It took quite a while at that time because the State neither pays nor receives money for the publication and that was hard for our attorney/DGS to grasp. Fortunately, 2010 went smoother.

Did they say what part they deemed insufficient or what additional information they need to make it sufficient?

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Tuesday, April 12, 2016 10:46 AM To: Dave Walls; Mark Johnson Cc: Casey Thomas; Marvelli, Mia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Contracts

## Hi Dave...

Katrina forwarded the following 2013 information but it was deemed insufficient:

 The respective publishers (International Code Council, NFPA, and IAPMO) do not charge the State of California for their publication services, nor does the State receive royalties from the sales. The actual cash value of the contract(s) to the State of California is nil and the perceived value is indeterminate, but estimated value could be in excess of \$150,000.

Thanks for the follow-up.

С

CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330 NEW YEAR, NEW CODE! Publication Date: July 1, 2016 Effective Date: January 1, 2017

From: Dave Walls [mailto:dwalls@ICCSafe.ORG] Sent: Tuesday, April 12, 2016 10:31 AM To: Biedermann, Cynthia@DGS; Mark Johnson Cc: Casey Thomas; Marvelli, Mia@DGS Subject: RE: 2016 California Contracts

Hi Cynthia,

By chance, do you have a copy of the estimated dollar values associated with the 2013 codes?

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Tuesday, April 12, 2016 10:27 AM To: Mark Johnson Cc: Dave Walls; Casey Thomas; Marvelli, Mia@DGS Subject: 2016 California Contracts

Dear Mark,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of each of the 2016 CBSC/ICC agreements:

- ICC Parts 2, 2.5, 9, 10
- ICC Parts 1, 6, 8, 11, 12

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

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 "Biedermann, Cynthia@DGS" <Cynthia.Biedermann@dgs.ca.gov>

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 Date:
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12/17/2016

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Cynthia

## CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

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<u>"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org></u>
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Mark Johnson

12/17/2016

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CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

NEW YEAR, NEW CODE!Publication Date: July 1, 2016Effective Date: January 1, 2017

12/17/2016

From: Dave Walls [mailto:dwalls@ICCSafe.ORG] Sent: Tuesday, April 12, 2016 11:21 AM To: Biedermann, Cynthia@DGS; Mark Johnson Cc: Casey Thomas; Marvelli, Mia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Contracts

Thanks Cynthia,

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Did they say what part they deemed insufficient or what additional information they need to make it sufficient?

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Thanks for the follow-up.

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Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

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From:	<u>"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org></u>
To:	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>
	<u>"Dave Walls" <dwalls@iccsafe.org></dwalls@iccsafe.org></u>
Date:	4/12/2016 3:11:19 PM
Subject:	RE: 2016 California Contracts

#### Cynthia,

Any guidance would be helpful. Do you think this will significantly delay the signing of the contract?

#### Mark

Mark Johnson International Code Council Western Regional Office 3060 Saturn Street, Suite 100 Brea, California 92821 Phone: (888) 422-7233, extension 3248 Cell Phone: (562) 331-4608

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Tuesday, April 12, 2016 2:11 PM To: Dave Walls; Mark Johnson Cc: Casey Thomas; Marvelli, Mia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Contracts

## Dear Mark and Dave,

Thank you for the follow-up call and email. We are sitting down with Mia as soon as possible to clarify what the estimated value of the contract in dollars should be based on (i.e., units sold, value of intellectual property, typesetter, editor, printing and distribution costs, marketing).

Regards,

Cynthia

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То:	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u> <u>"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org></u>
Date:	4/12/2016 2:14:30 PM
Subject:	RE: 2016 California Contracts
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Sent: Tuesday, Apri To: Dave Walls; Mar	k Johnson Marvelli, Mia@DGS; Benny, Katrina@DGS
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To:	"Dave Walls" <dwalls@iccsafe.org></dwalls@iccsafe.org>	
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	<u>"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org></u>
Date:	4/12/2016 11:21:29 AM
Subject:	RE: 2016 California Contracts
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From:	Benny, <katrina@dgs></katrina@dgs>
To:	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>
	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
Date:	4/12/2016 10:53:57 AM
Subject:	RE: four publishing contracts
Attachments:	RE: Consideration Value of Code Publications.msg

Mia,

We have been working on this and going round and round with contracts – please see the attached email that we have been involved with.

## Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Marvelli, Mia@DGS Sent: Tuesday, April 12, 2016 10:16 AM To: Biedermann, Cynthia@DGS; de la Motte, Leann@DGS Cc: Nearman, Michael@DGS; Benny, Katrina@DGS Subject: four publishing contracts

I just spoke to Jonette and she asked if we could reach out to the publishers and find out what is the estimated value for each contract. Even though the state does not profit from the sale or pays the publisher for the publication; the estimate is needed to show estimated value of each contract.

Please include the contract numbers for her reference.

ICC Parts 2, 2.5, 9, 10

ICC Parts 1, 6, 8, 11

BNi Part 3

IAPMO Parts 4, 5

Mia Marvelli, Executive Director *California Building Standards Commission* www.BSC.ca.gov 916.263.0916

Page	1	

From:	Frost, <laura@dgs></laura@dgs>
To:	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
	"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov>
	"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov>
Date:	
Subject:	RE: Consideration Value of Code Publications
-	

Hi Katrina,

I followed up on this issue to determine how it might affect the routing for approvals. When OLS reviews the package, one of things they would review is the signature authority of the signer of the 213 and NCB approval authority within PD. Additionally, the value of the contract determines if a second level review in OLS is also required.

In order to confirm those approval levels, all reviewers will need to know the maximum value of this contract to the vendor.

Could you please give an estimated number of books the vendor might sell under this agreement based on historical averages? You may need to call the vendor for this. A ballpark number even would be helpful.

The estimated value will only be included on internal documents to give the approvers an understanding of what level of authority is required.

Thanks,

Laura Frost

**OBAS/Contract Services** 

Ph: (916) 375-4989

From: Benny, Katrina@DGS Sent: Wednesday, April 06, 2016 2:45 PM To: Betts, Bruce@DGS; de la Motte, Leann@DGS Cc: Frost, Laura@DGS; Biedermann, Cynthia@DGS; Day, Kevin@DGS Subject: RE: Consideration Value of Code Publications Bruce,

In reading 7.45, 4.03 and 4.04 of the SCM, even though the contract is a zero dollar amount between the state and the publishers is it is certain that the dollar amount the publishers receive is higher than the threshold specified in the SCM that would require a DGS/OLS review. In addition, it has already been determined that these contracts will be going to OLS for review. So I am submitting the following information in answer to your question. Once we have reports from the publisher we will have a better idea for the next set of contracts.

The respective publishers (International Code Council, NFPA, and IAPMO) do not charge the State of California for their publication services, nor does the State receive royalties from the sales. The actual cash value of the contract(s) to the State of California is nil and the perceived value is indeterminate, but estimated value could be in excess of \$150,000.

## 7.45 CONTRACTS WITH NO DOLLAR AMOUNT

In agreements in which only in kind services are used and in which the performance is other than monetary, the consideration must be valued on a monetary basis for the purpose of determining whether DGS/OLS approval is required.

4.03 CONTRACTS REQUIRING DGS/OLS APPROVAL

(Rev 1/14)

DGS/OLS has statutory authority under several sources to approve contracts. PCC §§ 10295, 10297, 10335 and GC § 11256 are the primary legal authority for DGS/OLS' review and approval of contracts. GC § 14615 is the legal authority for the supervision of the State's financial and business policies, and GC § 14616 is the legal authority for some exemptions from DGS/OLS approval of contracts.

As a general rule, DGS/OLS approval is required on all services contracts over \$50,000; or at \$150,000 and above if an agency has applied for and received this higher exemption level (see SCM 1, section 4.05). The approval requirement applies to all non-IT services contracts, including but not limited to consulting services and  $\frac{12}{17}$ 

interagency agreements, subject to express exemptions identified in section 4.04 below.

Katrina Benny

Manager, CBSC

Phone 916-263-1350

From: Betts, Bruce@DGS Sent: Wednesday, April 06, 2016 8:47 AM To: de la Motte, Leann@DGS Cc: Frost, Laura@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: Consideration Value of Code Publications

Good morning Leann,

SCM Vol 1 7.45 requires that we establish a monetary value of the consideration that agreements provide to the contractors. We will need to estimate, perhaps with the contractors' assistance, the value of consideration each agreement will provide. I have attached information from each vendor's web site indicating the pricing for the 2013 code books. If the 2013 contracts required reporting of sales, we could use this information to estimate the consideration value of the 2016 publications. If not, CBSC will need to estimate the future annual sales. We will need this information early next week prior to the agreements going to OLS. Thank you!

Bruce Betts

Acquisition Analyst

Page 3

Contract Services Section (Team 3)

Office of Business and Acquisition Services » Administration Division

Department of General Services » State of California

707 3rd Street, 2nd Floor, 2-300, West Sacramento, CA 95605

http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636

Email Bruce.Betts@dgs.ca.gov

From:	<u>"Monte Bogatz" <monte.bogatz@iapmo.org></monte.bogatz@iapmo.org></u>
To:	<u>"Russ Chaney" <russ.chaney@iapmo.org></russ.chaney@iapmo.org></u>
	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>
Date:	4/12/2016 10:48:04 AM
Subject:	RE: 2016 California Mechanical & Plumbing Contracts

Cynthia, Russ, IAPMO doesn't assign a \$ value to this agreement because this is a mutual license grant between the parties. The items being given and received are intellectual property rights that are considered intangible assets in so for as they are not physical in nature, are and akin to goodwill, brand recognition, etc., things that you cannot touch or feel and do not explicitly have a monetary value.

Monte H. Bogatz Executive Vice President and General Counsel The IAPMO Group 4755 E. Philadelphia St. Ontario, CA 91761 909-472-4100 909-230-5537 (direct) 909-472-4241 (fax) monte.bogatz@iapmo.org WWW.IAPMO.ORG

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Please consider the environment before printing this email  ${\sf P}$ 

From: Russ Chaney

Sent: Tuesday, April 12, 2016 10:43 AM

To: Biedermann, Cynthia@DGS <Cynthia.Biedermann@dgs.ca.gov>

Cc: Leticia Gallegos Cc: Leticia Galleg

<monte.bogatz@iapmo.org>; Heather Koffman <Heather.Koffman@iapmo.org> 12/17/2016

#### Subject: Re: 2016 California Mechanical & Plumbing Contracts

Hi Cynthia!

I'm forwarding your request to our in-house legal department of Monte Bogatz and Heather Koffman. I'm confident they can get the info to you quickly as we know you are trying to get these contracts wrapped up.

Monte, Heather, please get back to Cynthia...

All the best!

# Russ

*GP Russ Chaney* CEO, The IAPMO Group

From: "Biedermann, Cynthia@DGS" <<u>Cynthia.Biedermann@dgs.ca.gov</u>> Date: Tuesday, April 12, 2016 at 10:32 AM To: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Cc: Leticia Gallegos <<u>leticia.gallegos@iapmo.org</u>>, "Marvelli, Mia@DGS" <<u>Mia.Marvelli@dgs.ca.gov</u>> Subject: 2016 California Mechanical & Plumbing Contracts

Dear Mark,

Dear Russ,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of the 2016 CBSC/IAPMO agreement.

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

## NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

Page	e 1

	0	
From	Biedermann, <cynthia@dgs></cynthia@dgs>	
То	<u>"Dave Walls" <dwalls@iccsafe.org></dwalls@iccsafe.org></u>	
	<u>"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org></u>	
Date	4/12/2016 10:45:43 AM	
Subject	RE: 2016 California Contracts	
Hi Dave		

Katrina forwarded the following 2013 information but it was deemed insufficient:

• The respective publishers (International Code Council, NFPA, and IAPMO) do not charge the State of California for their publication services, nor does the State receive royalties from the sales. The actual cash value of the contract(s) to the State of California is nil and the perceived value is indeterminate, but estimated value could be in excess of \$150,000.

Thanks for the follow-up.

С

## CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

NEW YEAR, NEW CODE! Publication Date: July 1, 2016 Effective Date: January 1, 2017

From: Dave Walls [mailto:dwalls@ICCSafe.ORG] Sent: Tuesday, April 12, 2016 10:31 AM To: Biedermann, Cynthia@DGS; Mark Johnson Cc: Casey Thomas; Marvelli, Mia@DGS Subject: RE: 2016 California Contracts

Hi Cynthia,

By chance, do you have a copy of the estimated dollar values associated with the 2013 codes?

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Tuesday, April 12, 2016 10:27 AM To: Mark Johnson Cc: Dave Walls; Casey Thomas; Marvelli, Mia@DGS Subject: 2016 California Contracts

Dear Mark,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of each of the 2016 CBSC/ICC agreements:

- ICC Parts 2, 2.5, 9, 10
- ICC Parts 1, 6, 8, 11, 12

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

## CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

## NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

<u>Register now</u> for the International Code Council's 2016 Committee Action Hearings, April 17 - 27 in Louisville. Reminder - ICC Governmental Memberships must be current by March 18, to participate in the Online Governmental Consensus Vote which follows the hearings. <u>www.iccsafe.org/EVDS.</u>

From:	Biedermann, <cynthia@dgs></cynthia@dgs>
To:	"Russ Chaney" <russ.chaney@iapmo.org></russ.chaney@iapmo.org>
Date:	4/12/2016 10:44:10 AM
Subject:	RE: 2016 California Mechanical & Plumbing Contracts

Thank you Russ!

Cynthia

CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

NEW YEAR, NEW CODE! Publication Date: July 1, 2016 Effective Date: January 1, 2017

-----Original Message-----From: Russ Chaney [mailto:Russ.Chaney@iapmo.org] Sent: Tuesday, April 12, 2016 10:43 AM To: Biedermann, Cynthia@DGS Cc: Leticia Gallegos; Marvelli, Mia@DGS; Monte Bogatz; Heather Koffman Subject: Re: 2016 California Mechanical & Plumbing Contracts

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Monte, Heather, please get back to Cynthia...

All the best!

Russ GP Russ Chaney CEO, The IAPMO Group

From: "Biedermann, Cynthia@DGS" <Cynthia.Biedermann@dgs.ca.gov<mailto:Cynthia.Biedermann@dgs.ca.gov>> Date: Tuesday, April 12, 2016 at 10:32 AM

To: Russ Chaney <Russ.Chaney@iapmo.org<mailto:Russ.Chaney@iapmo.org>>

Cc: Leticia Gallegos <leticia.gallegos@iapmo.org<mailto:leticia.gallegos@iapmo.org>>, "Marvelli, Mia@DGS" <Mia.Marvelli@dgs.ca.gov<mailto:Mia.Marvelli@dgs.ca.gov>> Subject: 2016 California Mechanical & Plumbing Contracts

Dear Mark,

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CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

NEW YEAR, NEW CODE! Publication Date: July 1, 2016 Effective Date: January 1, 2017

	T dgo T
From:	"Russ Chaney" <russ.chaney@iapmo.org></russ.chaney@iapmo.org>
To:	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>
Date:	4/12/2016 10:42:58 AM
Subject:	Re: 2016 California Mechanical & Plumbing Contracts
	-

Hi Cynthia!

I'm forwarding your request to our in-house legal department of Monte Bogatz and Heather Koffman. I'm confident they can get the info to you quickly as we know you are trying to get these contracts wrapped up.

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All the best!

Russ GP Russ Chaney CEO, The IAPMO Group

From: "Biedermann, Cynthia@DGS" <Cynthia.Biedermann@dgs.ca.gov<mailto:Cynthia.Biedermann@dgs.ca.gov>> Date: Tuesday, April 12, 2016 at 10:32 AM To: Russ Chaney <Russ.Chaney@iapmo.org<mailto:Russ.Chaney@iapmo.org>> Cc: Leticia Gallegos <leticia.gallegos@iapmo.org<mailto:leticia.gallegos@iapmo.org>>, "Marvelli, Mia@DGS" <Mia.Marvelli@dgs.ca.gov<mailto:Mia.Marvelli@dgs.ca.gov>> Subject: 2016 California Mechanical & Plumbing Contracts

Dear Mark,

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NEW YEAR, NEW CODE! Publication Date: July 1, 2016 Effective Date: January 1, 2017

From:	<u>"de la Motte," <leann@dgs></leann@dgs></u>
To:	<u>"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov></u>
	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>
Date:	4/12/2016 10:41:52 AM
Subject:	RE: 2016 California Mechanical and Plumbing Code Publication Agreement

Hi Bruce,

CBSC is ok with the changes made by IAPMO. Please move forward with the final contract.

Thank you!

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Monday, April 11, 2016 11:04 AM To: Biedermann, Cynthia@DGS; de la Motte, Leann@DGS Cc: Benny, Katrina@DGS; Marvelli, Mia@DGS; Frost, Laura@DGS Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

Good morning,

Please review the attached document with requested changes from IAPMO. Please let me know if you approve these suggested changes. Once you approve these changes, I will prepare the final contract and forward it to IAPMO for signature. Thank you!

## **Bruce Betts**

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Monday, April 11, 2016 9:24 AM To: Betts, Bruce@DGS Cc: Monte Bogatz; Frost, Laura@DGS Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Please see the attached redline. Of course please let me know ASAP if there are any concerns.

Best, Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

12/17/2016

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From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 2:48 PM To: Heather Koffman <<u>Heather.Koffman@iapmo.org</u>> Cc: Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>, "Frost, Laura@DGS" <<u>Laura.Frost@dgs.ca.gov</u>> Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

## Hi Heather,

We generally do not change the language in the CCC-307 document. However, the statement from your employee handbook (highlighted below) meets the intent of the requirement in para 2.b.(1).

Thanks for asking!

## **Bruce Betts**

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Thursday, April 07, 2016 2:29 PM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement Bruce,

Thanks for taking the time to talk to me today.

I want to close the loop on the issue with respect to the CCC-307 regarding Drug Free Workplace requirements.

CCC-307, at Section 2, asks us to affirmatively certify to the following:

2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

2) the person's or organization's policy of maintaining a drug-free workplace;

3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.) I am confident that we accomplish everything besides B(1).

For (A), (B)(2), (B)(4), and (C), we have a drug-free workplace policy in our employee handbook, which specifies that violation can result in discipline up to and including termination — our employees affirmatively acknowledge this. For B(3), we have, and employees are made aware of, an employee assistance program.

I am concerned that we may not have a program that qualifies as "inform[ing] employees about the dangers of drug abuse in the Workplace" and that we may not, therefore, meet the requirements of B(1). Our employee handbook states, "The possession, use, or sale of controlled substances...in the Workplace, or individuals who are under the influence of these substances, pose unacceptable risks for safe, healthful, and efficient operations. All employees must report to Work in a fit condition to perform their jobs safely and well." That is the extent of our program's provision of educational information about the dangers of drug use.

I would suggest — unless you object — that we simply strike through (B)(1) and initial it. Let me know if you anticipate this causing a problem.

Best,

Heather

Heather Nicole Koffman
The IAPMO Group
4755 E. Philadelphia St.
Ontario, CA 91761
909-472-4100
909-218-8115 (direct)
Heather.Koffman@iapmo.org
WWW.IAPMO.ORG

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From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 10:29 AM To: Heather Koffman <<u>Heather.Koffman@iapmo.org</u>> Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

Thank you!

**Bruce Betts** 

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Thursday, April 07, 2016 10:29 AM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

I wanted to get this form to you immediately, per your email to Russ below. It's completed and signed.

Please note that Section 6 remains blank — I wasn't sure exactly what to enter there, so I left that for you to fill out.

Also, the information requested in your email below:

- Name of person signing the contract: <u>G.P. Russ Chaney</u>
- Title of person signing the contract: <u>Chief Executive Officer</u>
- Complete Address of Signer of contract: <u>5001 E. Philadelphia St., Ontario, CA 91761</u>

Can you also indicate the correct information for the project manager for this contract?

Contractor:	IAPMO	
Contact:	Lynne Simnick	
Phone:	<mark>(909) 472-4110</mark>	
<mark>E-mail:</mark>	Lynne.Simnick	<u>@iapmo.org</u>

Address: 4755 E. Philadelphia St.

Page 7

Ontario, CA 91761

Best,

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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From: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Date: Thursday, April 7, 2016 at 9:28 AM To: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>>, Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>, Heather Koffman < <u>Heather.Koffman@iapmo.org</u>>

Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

# I suspect my general counsel, Monte Bogatz, will be able to get you the required info as I know that he and Heather Koffman, our associate general counsel, will be getting back to you today on some of the changes to the contract and our wanting to be sure we are able to comply with all of the provisions of this updated agreement.

Monty, Heather, could one of you get back to Bruce immediately so we don't hold him up for his intended deadline.

All the best!

## Russ

**GP Russ Chaney** CEO, The IAPMO Group

From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 8:38 AM To: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>> Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

Good morning Russ,

Is it possible for you to complete the STD204 form that is attached to this email and return it to me today. There is information I need on the form (you FEIN) to get some processes started here at the State. Waiting beyond today may put our May 1<sup>st</sup> deadline in jeopardy and we want to get the contract executed on that date.

## Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Tuesday, April 05, 2016 7:52 AM To: <u>'Russ.Chaney@iapmo.org</u>' Cc: de la Motte, Leann@DGS Subject: 2016 California Mechanical and Plumbing Code Publication Agreement

## Good Morning Russ,

I am the Acquisition Analyst in the Office of Business and Acquisition Services (OBAS) assigned to assist with the execution of Contract #3188106 for the 2016 California Mechanical and Plumbing Code Publication Agreement for the Department of General Services, Building Standards Commission. I have a attached draft copy of the agreement. This drafts will be finalized once I receive the documents and information requested in this communications. So that OBAS can process this agreement, I will require the following completed documents (Scanned copies are acceptable, but the original document(s) will need to be forwarded with the contract package as described below). Please review the agreement and forward the completed documents no later than COBApril 8<sup>th</sup>, 2016.

## Please complete and return the following documents to me via email:

- CCC-307 Contractor Certification Clauses (attached)
- Std 204 Payee Data Record (attached)
- GSPD-05-105 Bidder Declaration (attached)

• Additionally, could please provide me the following information (complete the highlighted sections below) for the person that will be signing the agreement?

- Name of person signing the contract:
- Title of person signing the contract
- Complete Address of Signer of contract:

Can you also indicate the correct information for the project manager for this contract?

Contractor:	IAPMO
Contact:	
Phone:	
<mark>E-mail:</mark>	
Address:	

I would like to thank you in advance for getting this information to me so that we can process and execute this as quickly as possible. Please don't hesitate to contact me should you have any questions.

Thank you,

## Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From:Biedermann, <Cynthia@DGS>To:"Russ Chaney" <Russ.Chaney@iapmo.org>Date:4/12/2016 10:32:41 AMSubject:2016 California Mechanical & Plumbing Contracts

Dear Mark,

Dear Russ,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of the 2016 CBSC/IAPMO agreement.

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

## CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

## NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

		Page 1	
	<u>"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org></u>	raye i	
To:	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>		
Date:	4/12/2016 10:30:53 AM		
Subject:	Re: 2016 California Contracts		
Cynthia,			
I have a couple of questions on this. Am in a meeting currently but will call you when I get out.			
Mark			
Sent from my iPhone			

On Apr 12, 2016, at 10:27 AM, Biedermann, Cynthia@DGS < Cynthia.Biedermann@dgs.ca.gov> wrote:

Dear Mark,

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		Page 1
From:	<u>"Dave Walls" <dwalls@iccsafe.org></dwalls@iccsafe.org></u>	rage i
To:	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>	
	<u>"Mark Johnson" <mjohnson@icc-es.org></mjohnson@icc-es.org></u>	
Date:	4/12/2016 10:30:40 AM	
Subject:	RE: 2016 California Contracts	

#### Hi Cynthia,

By chance, do you have a copy of the estimated dollar values associated with the 2013 codes?

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Tuesday, April 12, 2016 10:27 AM To: Mark Johnson Cc: Dave Walls; Casey Thomas; Marvelli, Mia@DGS Subject: 2016 California Contracts

Dear Mark,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of each of the 2016 CBSC/ICC agreements:

- ICC Parts 2, 2.5, 9, 10
- ICC Parts 1, 6, 8, 11, 12

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

#### NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

From:Biedermann, <Cynthia@DGS><br/>"Berry, Dennis (dberry@NFPA.org)" <dberry@NFPA.org><br/>Date:Date:4/12/2016 10:30:39 AMSubject:2016 California Electrical Code

Dear Mark,

Dear Dennis,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of the CBSC /NFPA agreement for the 2016 California Electrical Code.

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contract until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

## CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

## NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

12/17/2016

From:Biedermann, <Cynthia@DGS>To:"Mark Johnson" <mjohnson@icc-es.org>Date:4/12/2016 10:26:59 AMSubject:2016 California Contracts

Dear Mark,

The Department of General Services- Office of Legal Services is requesting an estimated dollar value of each of the 2016 CBSC/ICC agreements:

- ICC Parts 2, 2.5, 9, 10
- ICC Parts 1, 6, 8, 11, 12

Could you provide an estimate at your earliest convenience? Legal cannot sign off/execute the contracts until they have this information. Please let me know if you have any questions.

Regards,

Cynthia

CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

## NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

12/17/2016

 From:
 "de la Motte," <Leann@DGS>

 To:
 "Marvelli, Mia@DGS" <Mia.Marvelli@dgs.ca.gov>

 Date:
 4/12/2016 9:55:38 AM

 Subject:
 FW: 2016 California Mechanical and Plumbing Code Publication Agreement

 Attachments:
 HNK 3188106.BSC.2016 California Mechanical and Plumbing Code Publication Agreement.docx

Page 1

See attached for the changes made by IAPMO.

Thank you!

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Monday, April 11, 2016 11:04 AM To: Biedermann, Cynthia@DGS; de la Motte, Leann@DGS Cc: Benny, Katrina@DGS; Marvelli, Mia@DGS; Frost, Laura@DGS Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

Good morning,

Please review the attached document with requested changes from IAPMO. Please let me know if you approve these suggested changes. Once you approve these changes, I will prepare the final contract and forward it to IAPMO for signature. Thank you!

## Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » **Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Monday, April 11, 2016 9:24 AM To: Betts, Bruce@DGS Cc: Monte Bogatz; Frost, Laura@DGS Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Please see the attached redline. Of course please let me know ASAP if there are any concerns.

Best,

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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12/17/2016

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From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 2:48 PM To: Heather Koffman <<u>Heather.Koffman@iapmo.org</u>> Cc: Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>, "Frost, Laura@DGS" <<u>Laura.Frost@dgs.ca.gov</u>> Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

## Hi Heather,

We generally do not change the language in the CCC-307 document. However, the statement from your employee handbook (highlighted below) meets the intent of the requirement in para 2.b.(1).

Thanks for asking!

### Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Thursday, April 07, 2016 2:29 PM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Thanks for taking the time to talk to me today.

I want to close the loop on the issue with respect to the CCC-307 regarding Drug Free Workplace requirements.

CCC-307, at Section 2, asks us to affirmatively certify to the following:

2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

2) the person's or organization's policy of maintaining a drug-free workplace;

3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.) I am confident that we accomplish everything besides B(1).

For (A), (B)(2), (B)(4), and (C), we have a drug-free workplace policy in our employee handbook, which specifies that violation can result in discipline up to and including termination — our employees affirmatively acknowledge this. For B(3), we have, and employees are made aware of, an employee assistance program.

I am concerned that we may not have a program that qualifies as "inform[ing] employees about the dangers of drug abuse in the workplace" and that we may not, therefore, meet the requirements of B(1). Our employee handbook states, "The possession, use, or sale of controlled substances...in the workplace, or individuals who are under the influence of these substances, pose unacceptable risks for safe, healthful, and efficient operations. All employees must report to work in a fit condition to perform their jobs safely and well." That is the extent of our program's provision of educational information about the dangers of drug use.

I would suggest — unless you object — that we simply strike through (B)(1) and initial it. Let me know if you anticipate this causing a problem.

Best, Heather

Ч	age 5
Heather Nicole Koffman	
The IAPMO Group	
4755 E. Philadelphia St.	
Ontario, CA 91761	
909-472-4100	
909-218-8115 (direct)	
Heather.Koffman@iapmo.org	
WWW.IAPMO.ORG	

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From: "Betts, Bruce@DGS" <Bruce.Betts@dgs.ca.gov> Date: Thursday, April 7, 2016 at 10:29 AM To: Heather Koffman <a>Heather.Koffman@iapmo.org</a>> Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

Thank you!

## **Bruce Betts**

Acquisition Analyst Contract Services Section(Team 3) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3rd Street, 2nd Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Thursday, April 07, 2016 10:29 AM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

.

.

.

I wanted to get this form to you immediately, per your email to Russ below. It's completed and signed.

Please note that Section 6 remains blank — I wasn't sure exactly what to enter there, so I left that for you to fill out.

Also, the information requested in your email below:

- Name of person signing the contract: <u>G.P. Russ Chaney</u>
- Title of person signing the contract: <u>Chief Executive Officer</u>
- Complete Address of Signer of contract: <u>5001 E. Philadelphia St., Ontario, CA 91761</u>

Can you also indicate the correct information for the project manager for this contract?



<sup>Best,</sup> Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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From: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Date: Thursday, April 7, 2016 at 9:28 AM To: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>>, Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>, Heather Koffman < <u>Heather.Koffman@iapmo.org</u>>

Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

I suspect my general counsel, Monte Bogatz, will be able to get you the required info as I know that he and Heather Koffman, our associate general counsel, will be getting back to you today on some of the changes to the contract and our wanting to be sure we are able to comply with all of the provisions of this updated agreement.

Monty, Heather, could one of you get back to Bruce immediately so we don't hold him up for his intended deadline.

# Russ

**GP Russ Chaney** CEO, The IAPMO Group

From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 8:38 AM To: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>> Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

Good morning Russ,

Is it possible for you to complete the STD204 form that is attached to this email and return it to me today. There is information I need on the form (you FEIN) to get some processes started here at the State. Waiting beyond today may put our May 1<sup>st</sup> deadline in jeopardy and we want to get the contract executed on that date.

## Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Tuesday, April 05, 2016 7:52 AM To: <u>'Russ.Chaney@iapmo.org</u>' Cc: de la Motte, Leann@DGS Subject: 2016 California Mechanical and Plumbing Code Publication Agreement

## Good Morning Russ,

I am the Acquisition Analyst in the Office of Business and Acquisition Services (OBAS) assigned to assist with the execution of Contract #3188106 for the 2016 California Mechanical and Plumbing Code Publication Agreement for the Department of General Services, Building Standards Commission. I have a attached draft copy of the agreement. This 12/17/2016

drafts will be finalized once I receive the documents and information requested in this communications. So that OBAS can process this agreement, I will require the following completed documents (Scanned copies are acceptable, but the original document(s) will need to be forwarded with the contract package as described below). Please review the agreement and forward the completed documents no later than COBApril 8<sup>th</sup>, 2016.

Please complete and return the following documents to me via email:

- CCC-307 Contractor Certification Clauses (attached)
- Std 204 Payee Data Record (attached)
- GSPD–05–105 Bidder Declaration (attached)

• Additionally, could please provide me the following information (complete the highlighted sections below) for the person that will be signing the agreement?

- Name of person signing the contract: \_\_\_\_\_\_
- Title of person signing the contract\_\_\_\_\_\_
- Complete Address of Signer of contract:

Can you also indicate the correct information for the project manager for this contract?

Contractor:	IAPMO
Contact:	
Phone:	
<mark>E-mail:</mark>	
Address:	

I would like to thank you in advance for getting this information to me so that we can process and execute this as quickly as possible. Please don't hesitate to contact me should you have any questions.

Thank you,

Bruce Betts Acquisition Analyst

Contract Services Section(*Team 3*) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

Page	1

From:	Benny, <katrina@dgs></katrina@dgs>	
To:	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>	
	"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov>	
Date:	4/11/2016 11:25:27 AM	
Subject:	RE: 2016 California Mechanical and Plumbing Code Publication Agreement	

CBSC Staff – I reviewed the changes and see nothing to object too. However, we need a couple of eyes reviewing this.

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Betts, Bruce@DGS Sent: Monday, April 11, 2016 11:04 AM To: Biedermann, Cynthia@DGS; de la Motte, Leann@DGS Cc: Benny, Katrina@DGS; Marvelli, Mia@DGS; Frost, Laura@DGS Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

## Good morning,

Please review the attached document with requested changes from IAPMO. Please let me know if you approve these suggested changes. Once you approve these changes, I will prepare the final contract and forward it to IAPMO for signature. Thank you!

## Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

#### Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Monday, April 11, 2016 9:24 AM To: Betts, Bruce@DGS Cc: Monte Bogatz; Frost, Laura@DGS Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Please see the attached redline. Of course please let me know ASAP if there are any concerns.

Best, Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>>

Date: Thursday, April 7, 2016 at 2:48 PM

To: Heather Koffman <<u>Heather.Koffman@iapmo.org</u>>

Cc: Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>, "Frost, Laura@DGS" <<u>Laura.Frost@dgs.ca.gov</u>>

Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

## Hi Heather,

We generally do not change the language in the CCC-307 document. However, the statement from your employee handbook (highlighted below) meets the intent of the requirement in para 2.b.(1).

Thanks for asking!

## Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dqs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [<u>mailto:Heather.Koffman@iapmo.org</u>] Sent: Thursday, April 07, 2016 2:29 PM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

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I want to close the loop on the issue with respect to the CCC-307 regarding Drug Free Workplace requirements.

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I would suggest — unless you object — that we simply strike through (B)(1) and initial it. Let me know if you anticipate this causing a problem.

Best, Heather

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

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Thank you!

Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

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- Name of person signing the contract: <u>G.P. Russ Chaney</u>
- Title of person signing the contract: <u>Chief Executive Officer</u>
- Complete Address of Signer of contract: <u>5001 E. Philadelphia St., Ontario, CA 91761</u>

Can you also indicate the correct information for the project manager for this contract?

Contractor:	IAPMO
Contact:	Lynne Simnick
Phone:	(909) 472-4110
<mark>E-mail:</mark>	Lynne.Simnick@iapmo.org
<mark>Address:</mark>	4755 E. Philadelphia St.
Ontario, CA	<u> 91761</u>

Best, Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

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All the best!

# Russ

**GP Russ Chaney** CEO, The IAPMO Group

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Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>>

Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

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# Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Tuesday, April 05, 2016 7:52 AM To: <u>'Russ.Chaney@iapmo.org</u>' Cc: de la Motte, Leann@DGS Subject: 2016 California Mechanical and Plumbing Code Publication Agreement

# Good Morning Russ,

I am the Acquisition Analyst in the Office of Business and Acquisition Services (OBAS) assigned to assist with the execution of Contract #3188106 for the 2016 California Mechanical and Plumbing Code Publication Agreement for the Department of General Services, Building Standards Commission. I have a attached draft copy of the agreement. This drafts will be finalized once I receive the documents and information requested in this communications. So that OBAS can process this agreement, I will require the following completed documents (Scanned copies are acceptable, but the original document(s) will need to be forwarded with the contract package as described below). Please review the agreement and forward the completed documents no later than COBApril 8<sup>th</sup>, 2016.

# Please complete and return the following documents to me via email:

• CCC-307 Contractor Certification Clauses (attached)

- Std 204 Payee Data Record (attached)
- GSPD-05-105 Bidder Declaration (attached)

• Additionally, could please provide me the following information (complete the highlighted sections below) for the person that will be signing the agreement?

- Name of person signing the contract:
- Title of person signing the contract
- Complete Address of Signer of contract:

Can you also indicate the correct information for the project manager for this contract?

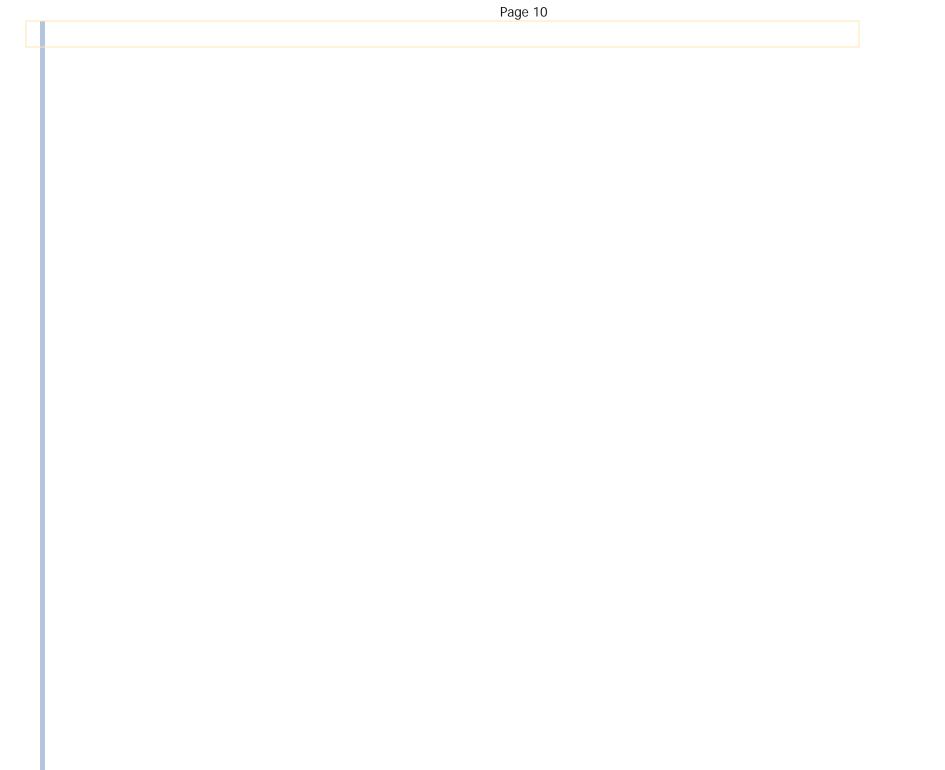
Contractor:	IAPMO
Contact:	
Phone:	
<mark>E-mail:</mark>	
Address:	

I would like to thank you in advance for getting this information to me so that we can process and execute this as quickly as possible. Please don't hesitate to contact me should you have any questions.

Thank you,

#### Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>



From:	Betts, <bruce@dgs></bruce@dgs>
To:	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>
	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
Date:	4/11/2016 11:04:28 AM
Subject:	FW: 2016 California Mechanical and Plumbing Code Publication Agreement
Attachments:	HNK 3188106.BSC.2016 California Mechanical and Plumbing Code Publication Agreement.docx

#### Good morning,

Please review the attached document with requested changes from IAPMO. Please let me know if you approve these suggested changes. Once you approve these changes, I will prepare the final contract and forward it to IAPMO for signature. Thank you!

#### **Bruce Betts**

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Monday, April 11, 2016 9:24 AM To: Betts, Bruce@DGS Cc: Monte Bogatz; Frost, Laura@DGS Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Please see the attached redline. Of course please let me know ASAP if there are any concerns.

Best,

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 2:48 PM To: Heather Koffman <<u>Heather.Koffman@iapmo.org</u>> Cc: Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>, "Frost, Laura@DGS" <<u>Laura.Frost@dgs.ca.gov</u>> Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

Hi Heather,

We generally do not change the language in the CCC-307 document. However, the statement from your employee handbook (highlighted below) meets the intent of the requirement in para 2.b.(1).

Thanks for asking!

#### Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

#### Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [mailto:Heather.Koffman@iapmo.org] Sent: Thursday, April 07, 2016 2:29 PM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

Thanks for taking the time to talk to me today.

I want to close the loop on the issue with respect to the CCC-307 regarding Drug Free Workplace requirements.

#### CCC-307, at Section 2, asks us to affirmatively certify to the following:

2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

2) the person's or organization's policy of maintaining a drug-free workplace;

3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

I am confident that we accomplish everything besides B(1).

For (A), (B)(2), (B)(4), and (C), we have a drug-free workplace policy in our employee handbook, which specifies that violation can result in discipline up to and including termination — our employees affirmatively acknowledge this. For B(3), we have, and employees are made aware of, an employee assistance program.

I am concerned that we may not have a program that qualifies as "inform[ing] employees about the dangers of drug abuse in the workplace" and that we may not, therefore, meet the requirements of B(1). Our employee handbook states, "The possession, use, or sale of controlled substances...in the workplace, or individuals who are under the influence of these substances, pose unacceptable risks for safe, healthful, and efficient operations. All employees must report to work in a fit condition to perform their jobs safely and well." That is the extent of our program's provision of educational information about the dangers of drug use.

I would suggest — unless you object — that we simply strike through (B)(1) and initial it. Let me know if you anticipate this causing a problem.

Best,

Heather

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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12/17/2016

From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 10:29 AM To: Heather Koffman <<u>Heather.Koffman@iapmo.org</u>> Subject: RE: 2016 California Mechanical and Plumbing Code Publication Agreement

# Thank you!

### Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Heather Koffman [<u>mailto:Heather.Koffman@iapmo.org</u>] Sent: Thursday, April 07, 2016 10:29 AM To: Betts, Bruce@DGS Cc: Monte Bogatz Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

Bruce,

.

I wanted to get this form to you immediately, per your email to Russ below. It's completed and signed.

Please note that Section 6 remains blank — I wasn't sure exactly what to enter there, so I left that for you to fill out.

Also, the information requested in your email below:

Name of person signing the contract: <u>G.P. Russ Chaney</u>

Title of person signing the contract: Chief Executive Officer

Complete Address of Signer of contract: <u>5001 E. Philadelphia St., Ontario, CA 91761</u>

Can you also indicate the correct information for the project manager for this contract?

Contractor:	IAPMO
Contact:	Lynne Simnick
Phone:	<mark>(909) 472-4110</mark>
<mark>E-mail:</mark>	Lynne.Simnick@iapmo.org
Address:	4755 E. Philadelphia St.
Ontario, CA	<mark>\ 91761 \</mark>

Best,

.

Heather Nicole Koffman

The IAPMO Group

4755 E. Philadelphia St.

Ontario, CA 91761

909-472-4100

909-218-8115 (direct)

Heather.Koffman@iapmo.org

WWW.IAPMO.ORG

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Date: Thursday, April 7, 2016 at 9:28 AM

To: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>>

Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>>, Monte BogatZ <<u>monte.bogatZ@iapmo.org</u>>, Heather Koffman < <u>Heather.Koffman@iapmo.org</u>>

Subject: Re: 2016 California Mechanical and Plumbing Code Publication Agreement

I suspect my general counsel, Monte Bogatz, will be able to get you the required info as I know that he and Heather Koffman, our associate general counsel, will be getting back to you today on some of the changes to the contract and our wanting to be sure we are able to comply with all of the provisions of this updated agreement.

Monty, Heather, could one of you get back to Bruce immediately so we don't hold him up for his intended deadline.

All the best!

# Russ

*GP Russ Chaney* CEO, The IAPMO Group

From: "Betts, Bruce@DGS" <<u>Bruce.Betts@dgs.ca.gov</u>> Date: Thursday, April 7, 2016 at 8:38 AM To: Russ Chaney <<u>Russ.Chaney@iapmo.org</u>> Cc: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>> Subject: FW: 2016 California Mechanical and Plumbing Code Publication Agreement

Good morning Russ,

Is it possible for you to complete the STD204 form that is attached to this email and return it to me today. There is information I need on the form (you FEIN) to get some processes started here at the State. Waiting beyond today may put our May 1<sup>st</sup> deadline in jeopardy and we want to get the contract executed on that date.

# Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Tuesday, April 05, 2016 7:52 AM To: <u>'Russ.Chaney@iapmo.org</u>' Cc: de la Motte, Leann@DGS Subject: 2016 California Mechanical and Plumbing Code Publication Agreement

Good Morning Russ,

I am the Acquisition Analyst in the Office of Business and Acquisition Services (OBAS) assigned to assist with the execution of Contract #3188106 for the 2016 California Mechanical and Plumbing Code Publication Agreement for the Department of General Services, Building Standards Commission. I have a attached draft copy of the agreement. This drafts will be finalized once I receive the documents and information requested in this communications. So that OBAS can process this agreement, I will require the following completed documents (Scanned copies are acceptable, but the original document(s) will need to be forwarded with the contract package as described below). Please review the agreement and forward the completed documents no later than COBApril 8<sup>th</sup>, 2016.

#### Please complete and return the following documents to me via email:

- CCC-307 Contractor Certification Clauses (attached)
- Std 204 Payee Data Record (attached)
- GSPD-05-105 Bidder Declaration (attached)

• Additionally, could please provide me the following information (complete the highlighted sections below) for the person that will be signing the agreement?

•	Name of person signing the contract:		
	Title of person signing the contract		
	Complete Address of Signer of contra	act:	
Can y	you also indicate the correct information	for the project manager for this contract?	
	Contractor: IAPMO		
	Contact:		

Phone:	
E-mail:	
Address:	

I would like to thank you in advance for getting this information to me so that we can process and execute this as quickly as possible. Please don't hesitate to contact me should you have any questions.

Thank you,

Bruce Betts

Acquisition Analyst **Contract Services Section**(*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 EmailBruce.Betts@dgs.ca.gov 

 From:
 Betts, <Bruce@DGS>

 To:
 "Biedermann, Cynthia@DGS" <Cynthia.Biedermann@dgs.ca.gov>

 Date:
 4/11/2016 10:59:39 AM

 Subject:
 RE: CBSC Contract

Heather just sent the proposed edits to me. I forward that on to you for approval shortly.

### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Biedermann, Cynthia@DGS Sent: Monday, April 11, 2016 10:11 AM To: Betts, Bruce@DGS Cc: Benny, Katrina@DGS; Day, Kevin@DGS; Marvelli, Mia@DGS; de la Motte, Leann@DGS Subject: FW: CBSC Contract

Bruce,

Please see IAPMO's response below to my email requesting an update on the contract. Separately, I received an out-of-office message from Debra Rose at NFPA. She's apparently out until next Monday, 4/18. I'm going to follow-up with other staffers to see where things stand.

Regards,

Cynthia

# NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

From: Monte Bogatz [<u>mailto:monte.bogatz@iapmo.org</u>] Sent: Monday, April 11, 2016 9:59 AM To: Biedermann, Cynthia@DGS Cc: Leticia Gallegos; Heather Koffman Subject: RE: CBSC Contract

Hi Cynthia, Our Associate General Counsel, Heather Koffman, is working with Bruce Betts to finish things up. Russ wants to sign and send the contract up at the soonest and I think we are just about there- Heather may be awaiting one or two final clarifications from Bruce. Let me know any other questions or concerns. Many thanks. Monte

Monte H. BogatZ Executive Vice President and General Counsel The IAPMO Group 4755 E. Philadelphia St. Ontario, CA 91761 909-472-4100 909-230-5537 (direct) 909-472-4241 (fax) monte.bogatZ@iapmo.org WWW.IAPMO.ORG

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Do you have an update?

Thank you,

Leticia Gallegos Executive Assistant

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# Please consider the environment before printing this email b

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Monday, April 11, 2016 9:53 AM To: Leticia Gallegos <a href="mailto:eticia.gallegos@iapmo.org">teticia.gallegos@iapmo.org</a> Subject: CBSC Contract

Good morning,

Could you provide us with an update on the contract review? Russ indicated that he would try to sign it on Friday (4/8).

Thank you!

Cynthia

Page 4

CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

#### NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

Page 1 From: Biedermann, <Cynthia@DGS> "Betts, Bruce@DGS" <Bruce.Betts@dgs.ca.gov> To: 4/11/2016 10:10:53 AM Date: **Subject:** FW: CBSC Contract Bruce, Please see IAPMO's response below to my email requesting an update on the contract. Separately, I received an out-of-office message from Debra Rose at NFPA. She's apparently out until next Monday, 4/18. I'm going to follow-up with other staffers to see where things stand. Regards, Cynthia CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330 **NEW YEAR. NEW CODE!** Publication Date: July 1, 2016 Effective Date: January 1, 2017 From: Monte Bogatz [mailto:monte.bogatz@iapmo.org] Sent: Monday, April 11, 2016 9:59 AM To: Biedermann, Cynthia@DGS Cc: Leticia Gallegos; Heather Koffman Subject: RE: CBSC Contract

Hi Cynthia, Our Associate General Counsel, Heather Koffman, is working with Bruce Betts to finish things up. Russ wants to sign and send the contract up at the soonest and I think we are just about there- Heather may be awaiting one or two final clarifications from Bruce. Let me know any other questions or concerns. Many thanks. Monte

Monte H. BogatZ Executive Vice President and General Counsel The IAPMO Group 4755 E. Philadelphia St. Ontario, CA 91761 909-472-4100 909-230-5537 (direct) 909-472-4241 (fax) monte.bogatZ@iapmo.org WWW.IAPMO.ORG

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Please consider the environment before printing this email  ${\sf P}$ 

From: Leticia Gallegos Sent: Monday, April 11, 2016 9:55 AM To: Monte Bogatz <<u>monte.bogatz@iapmo.org</u>>; Heather Koffman <<u>Heather.Koffman@iapmo.org</u>> Subject: FW: CBSC Contract

Do you have an update?

Thank you,

Leticia Gallegos Executive Assistant

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# Please consider the environment before printing this email b

From: Biedermann, Cynthia@DGS [mailto:Cynthia.Biedermann@dgs.ca.gov] Sent: Monday, April 11, 2016 9:53 AM To: Leticia Gallegos <a href="mailto:eticia.gallegos@iapmo.org">teticia.gallegos@iapmo.org</a> Subject: CBSC Contract

Good morning,

Could you provide us with an update on the contract review? Russ indicated that he would try to sign it on Friday (4/8).

Thank you!

Cynthia

### CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

#### NEW YEAR, NEW CODE! Publication Date: July 1, 2016 Effective Date: January 1, 2017

12/17/2016

From:	Benny, <katrina@dgs></katrina@dgs>
To:	"Betts, Bruce@DGS" <bruce.betts@dgs.ca.gov></bruce.betts@dgs.ca.gov>
	"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov>
Date:	4/4/2016 4:41:05 PM
Subject:	RE: NEPA & IAPMO Agreements

Hi Bruce,

Leann had already left for the day, however, we noticed one typo of the zip code of NFPA it should be 0269-747.

#### Katrina Benny

Manager, CBSC Phone 916-263-1350

From: Betts, Bruce@DGS Sent: Monday, April 04, 2016 4:36 PM To: de la Motte, Leann@DGS Cc: Benny, Katrina@DGS; Marvelli, Mia@DGS; Frost, Laura@DGS Subject: FW: NFPA & IAPMO Agreements

Hi Leann,

Just to confirm our discussion from the conference call, these are the versions of the agreements that I will be sending to NFPA & IAPMO tomorrow. I also want to confirm that the vendor's contract administrator listed in the

agreements are correct. That is the POC I will be working with. Thank you!

Bruce Betts

Acquisition Analyst Contract Services Section (Team 3) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3rd Street, 2rd Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/adv/basa.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Betts, Bruce@DGS Sent: Wednesday, March 30, 2016 7:55 AM To: de la Motte, Leann@DGS Subject: NFPA & IAPMO Agreements

Good morning Leann,

As discussed on our conference call Monday, I have attached the final versions of the NFPA and IAPMO agreements. Please confirm that it is ok to send these agreements to the contractors. Also, please verify that the

contractor's contract administrator listed on page 2 of the agreements is the correct POC. This is who I will be contacting for review and gathering of the required documents. Thanks!

#### Bruce Betts

Acquisition Analyst

Contract Services Section (Team 3) Office of Business and Acquisition Services \* Administration Division Department of General Services \* State of California 707 3rd Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

12/17/2016

Page 1

From:	Benny, <katrina@dgs></katrina@dgs>
To:	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>
Date:	4/4/2016 10:28:37 AM
Subject:	RE: 2016 California Building Standards Code Publication Agreements
-	

Works for me – add Kevin to the meeting too.

# Katrina Benny

Manager, CBSC Phone 916-263-1350

From: de la Motte, Leann@DGS Sent: Monday, April 04, 2016 10:28 AM To: Marvelli, Mia@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Building Standards Code Publication Agreements

How about 11:00? I can put it on everyone's calendar?

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Marvelli, Mia@DGS Sent: Monday, April 04, 2016 8:40 AM To: de la Motte, Leann@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Building Standards Code Publication Agreements

I have a full afternoon, so let's discuss this am. I have some questions.

I printed a copy for myself

Mia Marvelli, Executive Director *California Building Standards Commission* www.BSC.ca.gov 916.263.0916

From: de la Motte, Leann@DGS Sent: Monday, April 04, 2016 8:18 AM To: Marvelli, Mia@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: FW: 2016 California Building Standards Code Publication Agreements

Please see ICC's edits and the Email below.

Thank you!

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Monday, April 04, 2016 7:36 AM To: de la Motte, Leann@DGS Subject: FW: 2016 California Building Standards Code Publication Agreements

#### Hi Leann,

ICC has returned contracts #3187607 and #3187605 with their edits. I don't have any concerns with the edits they have made and I need CSBC to review/approve the edits. Once I have your approval, I will finalize the agreements, prepare the 213 and 215 and we can get the agreements signed and forwarded to OLS for approval. Their current approval timeline is 10-15 business days so I would like to get this done this week to keep on track for a May 1 execution date. Thank you!

#### **Bruce Betts**

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Casey Thomas [mailto:CThomas@icc-es.org] Sent: Friday, April 01, 2016 9:02 AM To: Betts, Bruce@DGS Cc: Mark Johnson Subject: FW: 2016 California Building Standards Code Publication Agreements

Good Morning Bruce,

Attached are the Contracts with ICC's changes indicated in track changes.

In addition, I have attached the following signed by Mark Johnson:

- Commercially Useful Function Evaluation Form
- CCC-307
- Payee Data Record

We did have a question on the bidder declaration. We will be sending the content out to be printed and also another party will make the binders. However, we do not know who either of those parties will be at this juncture.

Can you please advise as to how we should fill out that form?

Thank you and if you have any questions, feel free to contact me.

Casey L. Thomas Executive Assistant International Code Council 3060 Saturn Street, Suite 100 Brea, CA 92821 888-422-7233 x3389 cthomas@iccsafe.org

From: Betts, Bruce@DGS [<u>mailto:Bruce.Betts@dgs.ca.gov</u>] Sent: Monday, February 22, 2016 10:07 AM To: Mark Johnson Subject: 2016 California Building Standards Code Publication Agreements

Hello Mr. Johnson,

I am the Acquisition Analyst in the Office of Business and Acquisition Services (OBAS) assigned to assist with the execution of Contract # 3187607 and # 3187605 for the 2016 California Building Standards Code Publication Agreements for the Department of General Services, Building Standards Commission. I have attached draft copies of those agreements. These drafts will be finalized once I receive the documents and information requested in this communications. So that OBAS can process this agreement, I will require the following completed documents (Scanned

copies are acceptable, but the original document(s) will need to be forwarded with the contract package as described below). Please send the documents as soon as possible.

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- Std 204 Payee Data Record (attached)
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• Additionally, could please provide me the following information (complete the highlighted sections below) for the person that will be signing the agreement?

- Name of person signing the contract: <u>Mark A. Johnson</u>.
- Title of person signing the contract <u>Executive Vice President & Director of Business Development</u>
- Complete Address of Signer of contract: \_\_\_\_3060 Saturn Street, Suite 100, Brea, CA 92821\_\_\_\_\_

Can you also indicate the correct information for the project manager for this contract?

Contractor: ICC	
Contact:	
Mark A. Johnson	
Phone: 888-422-7233 x3248	
E-mail: <u>mjohnson@iccsafe.org</u>	
Address:	
3060 Saturn Street, Suite 100	
Brea, CA 92821	

I would like to thank you in advance for getting this information to me so that we can process and execute this as quickly as possible. Please don't hesitate to contact me should you have any questions.

Thank you,

Bruce Betts Acquisition Analyst Contract Services Section (Team 3) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

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From:	<u>"de la Motte," <leann@dgs></leann@dgs></u>
To:	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>
	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
Date:	4/4/2016 10:28:06 AM
Subject:	RE: 2016 California Building Standards Code Publication Agreements
=	

How about 11:00? I can put it on everyone's calendar?

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Marvelli, Mia@DGS Sent: Monday, April 04, 2016 8:40 AM To: de la Motte, Leann@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: RE: 2016 California Building Standards Code Publication Agreements

I have a full afternoon, so let's discuss this am. I have some questions.

I printed a copy for myself

Mia Marvelli, Executive Director *California Building Standards Commission* <u>www.BSC.ca.gov</u> 916.263.0916 From: de la Motte, Leann@DGS Sent: Monday, April 04, 2016 8:18 AM To: Marvelli, Mia@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: FW: 2016 California Building Standards Code Publication Agreements

Please see ICC's edits and the Email below.

Thank you!

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Casey L. Thomas Executive Assistant International Code Council 3060 Saturn Street, Suite 100 Brea, CA 92821 From: Betts, Bruce@DGS [<u>mailto:Bruce.Betts@dgs.ca.gov</u>] Sent: Monday, February 22, 2016 10:07 AM To: Mark Johnson Subject: 2016 California Building Standards Code Publication Agreements

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Date:	4/4/2016 9:41:22 AM
Subject:	RE: 2016 California Building Standards Code Publication Agreements
-	

Ok, Looks like everyone's schedule is clear for 10:00 will that work?

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12/17/2016

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Contact:			
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<mark>E-mail: <u>n</u></mark>	njohnson@iccsafe.org		
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#### STATE OF CALIFORNIA-DEPARTMENT OF FINANCE

#### PAYEE DATA RECORD

(Required when receiving payment from the State of California in lieu of IRS W-9) STD, 204 (Rev. 6-2003)

1	<b>INSTRUCTIONS:</b> Complete all information on this form. Sign, date, and return to the State agency (department/office) address shown at the bottom of this page. Prompt return of this <b>fully completed</b> form will prevent delays when processing payments. Information provided in this form will be used by State agencies to prepare Information Returns (1099). See reverse side for more information and Privacy Statement. <b>NOTE:</b> Governmental entities, federal, State, and local (including school districts), are not required to submit this form.					
	PAYEE'S LEGAL BUSINESS NAME (Type or Print) International Code Council					
2	SOLE PROPRIETOR - ENTER NAME AS SHOWN ON SSN (La	et First MI)	E-MAIL ADDRESS			
	mjohnson@iccsafe.org					
	MAILING ADDRESS	BUSINESS ADDR				
	3060 Saturn Street, Suite 100	500 New Jersey	Avenue, NW, Sixth Floor			
	CITY, STATE, ZIP CODE	CITY, STATE, ZIF	P CODE			
	Brea, CA 92821	Washington, DC	C 20001			
3 PAYEE ENTITY TYPE	ENTER FEDERAL EMPLOYER IDENTIFICATION NUMBER (FEIN):       3       6       -       3       9       9       0       0       4         PARTNERSHIP       CORPORATION:       Image: Corporation in the processed without an intervent in the processed without an intervent in the processed without an intervent interve					
CHECK ONE BOX ONLY	INDIVIDUAL OR SOLE PROPRIETOR ENTER SOCIAL SECURITY NUMBER: (SSN required by a	authority of California F	Revenue and Tax Code Section 18646)			
4 PAYEE RESIDENCY STATUS	<ul> <li>California resident - Qualified to do business in California or maintains a permanent place of business in California.</li> <li>California nonresident (see reverse side) - Payments to nonresidents for services may be subject to State income tax withholding.</li> <li>No services performed in California.</li> <li>Copy of Franchise Tax Board waiver of State withholding attached.</li> </ul>					
5	I hereby certify under penalty of perjury that the information provided on this document is true and correct. Should my residency status change, I will promptly notify the State agency below.					
	AUTHORIZED PAYEE REPRESENTATIVE'S NAME (Type or F	Print)	TITLE			
	Mark A. Johnson		Executive Vice Pre	sident		
	SIGNATURE	DATE	TELEPHONE			
	Manha Jh	03/31/2016	(888) 422-7233	3		
6	Please return completed form to: Department/Office:					
	Unit/Section:					
	Mailing Address:					
	City/State/Zip:					
	Telephone: () Fax: ()					
	E-mail Address:					

1	Requirement to Complete Payee Data Record, SID. 204					
1	A completed Payee Data Record, STD. 204, is required for payments to all non-governmental entities and will be kept on file at State agency. Since each State agency with which you do business must have a separate STD. 204 on file, it is possible for a p to receive this form from various State agencies.					
	Payees who do not wish to complete the STD. 204 may elect to not do business with the State. If the payee does not complete the STD. 204 and the required payee data is not otherwise provided, payment may be reduced for federal backup withholding and nonresident State income tax withholding. Amounts reported on Information Returns (1099) are in accordance with the Internal Revenue Code and the California Revenue and Taxation Code.					
2	Enter the payee's legal business name. Sole proprietorships must also include the owner's full name. An individual must list his/her full name. The mailing address should be the address at which the payee chooses to receive correspondence. Do not enter payment address or lock box information here.					
3	Check the box that corresponds to the payee business type. Check only one box. Corporations must check the box that identifies the type of corporation. The State of California requires that all parties entering into business transactions that may lead to payment(s) from the State provide their Taxpayer Identification Number (TIN). The TIN is required by the California Revenue and Taxation Code Section 18646 to facilitate tax compliance enforcement activities and the preparation of Form 1099 and other information returns as required by the Internal Revenue Code Section 6109(a).					
	The TIN for individuals and sole proprietorships is the Social Security Number (SSN). Only partnerships, estates, trusts, and corporations will enter their Federal Employer Identification Number (FEIN).					
	Are you a California resident or nonresident?					
4	A corporation will be defined as a "resident" if it has a permanent place of business in California or is qualified through the Secretary of State to do business in California.					
	A partnership is considered a resident partnership if it has a permanent place of business in California. An estate is a resident if the decedent was a California resident at time of death. A trust is a resident if at least one trustee is a California resident.					
	For individuals and sole proprietors, the term "resident" includes every individual who is in California for other than a temporary or transitory purpose and any individual domiciled in California who is absent for a temporary or transitory purpose. Generally, an individual who comes to California for a purpose that will extend over a long or indefinite period will be considered a resident. However, an individual who comes to perform a particular contract of short duration will be considered a nonresident.					
	Payments to all nonresidents may be subject to withholding. Nonresident payees performing services in California or receiving rent, lease, or royalty payments from property (real or personal) located in California will have 7% of their total payments withheld for State income taxes. However, no withholding is required if total payments to the payee are \$1,500 or less for the calendar year.					
	For information on Nonresident Withholding, contact the Franchise Tax Board at the numbers listed below: Withholding Services and Compliance Section:1-888-792-4900E-mail address: wscs.gen@ftb.ca.govFor hearing impaired with TDD, call:1-800-822-6268Website:www.ftb.ca.gov					
5	Provide the name, title, signature, and telephone number of the individual completing this form. Provide the date the form was completed.					
6	This section must be completed by the State agency requesting the STD. 204.					
	Privacy Statement					
	Section 7(b) of the Privacy Act of 1974 (Public Law 93-579) requires that any federal, State, or local governmental agency, which requests an individual to disclose their social security account number, shall inform that individual whether that disclosure is mandatory or voluntary, by which statutory or other authority such number is solicited, and what uses will be made of it.					
	It is mandatory to furnish the information requested. Federal law requires that payment for which the requested information is not provided is subject to federal backup withholding and State law imposes noncompliance penalties of up to \$20,000.					
	You have the right to access records containing your personal information, such as your SSN. To exercise that right, please contact the business services unit or the accounts payable unit of the State agency(ies) with which you transact that business.					
	All questions should be referred to the requesting State agency listed on the bottom front of this form.					

Contractor Name: International Code Council

On January 1, 2004, Chapter 623, Statutes of 2003, became effective and requires all Small Businesses. Micro-Businesses and Disabled Veteran Business Enterprises to perform a "commercially useful function" in any contract they perform for the State.

Per GC 14837, Section 1: A business that is performing a commercially useful function is one that does ALL of the following:

- 1) Is responsible for execution of a distinct element of the work of the contract.
- 2) Carries out its obligation by actually performing, managing, or supervising the work involved.
- 3) Performs work that is normal for its business, services and function
- 4) Is responsible, with respect to products, inventories, materials, and supplies required for the contract, for negotiating price, determining quality and quantity, ordering, installing, if applicable, and making payment.
- 5) Is not further subcontracting a portion of the work that is greater than that expected to be subcontracted by normal industry practices.

Note: A contractor, subcontractor, or supplier will not be considered to perform a commercially useful function if the contractor's, subcontractor's, or supplier's role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of small business or microbusiness participation.

Contractor's Certification: I certify that my company meets all five of the criteria listed above.

Authorized Representati

03/31/2016 Date

Acquisition Analyst's Certification: Analyst has determined that a CUF will be performed by this Contractor.

Acquisition Analyst

Date

#### CCC-307

#### **CERTIFICATION**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Contractor/Bidder Firm Name (Printed)		Federal ID Number			
International Code Council, Inc.		36-3999004			
By (Authorized Signature) Much U. Yhnn					
Printed Name and Title of Person Signing					
Mark A. Johnson					
Date Executed	Executed in the County of				
March 31, 2016	Orange				

#### **CONTRACTOR CERTIFICATION CLAUSES**

1. <u>STATEMENT OF COMPLIANCE</u>: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)

2. <u>DRUG-FREE WORKPLACE REQUIREMENTS</u>: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

1) the dangers of drug abuse in the workplace;

- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has

occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. <u>NATIONAL LABOR RELATIONS BOARD CERTIFICATION</u>: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

# 4. <u>CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO</u> <u>REQUIREMENT:</u> Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. <u>EXPATRIATE CORPORATIONS</u>: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

#### 6. <u>SWEATFREE CODE OF CONDUCT:</u>

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at <u>www.dir.ca.gov</u>, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. <u>DOMESTIC PARTNERS</u>: For contracts over \$100,000 executed or amended after January 1, 2007, the contractor certifies that contractor is in compliance with Public Contract Code section 10295.3.

#### DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. <u>CONFLICT OF INTEREST</u>: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. <u>LABOR CODE/WORKERS' COMPENSATION</u>: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. <u>AMERICANS WITH DISABILITIES ACT</u>: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. <u>CONTRACTOR NAME CHANGE</u>: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

#### 5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. <u>RESOLUTION</u>: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. <u>AIR OR WATER POLLUTION VIOLATION</u>: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. <u>PAYEE DATA RECORD FORM STD. 204</u>: This form must be completed by all contractors that are not another state agency or other governmental entity.

# EXHIBIT A

# SCOPE OF WORK

The California Building Code (California Code of Regulations, Title 24, Part 2), California Residential Code (California Code of Regulations, Title 24, Part 2.5), California Fire Code (California Code of Regulations, Title 24, Part 9), and California Existing Building Code (California Code of Regulations, Title 24, Part 10), are based on four model codes, the International Building Code, International Residential Code, International Fire Code and the International Existing Building Code, respectively. The California codes include both provisions of the model codes and amendments to those codes adopted by California. The International Code Council (ICC) owns the copyrights to these model codes; therefore, ICC must be the publisher of these California codes, if they are each going to contain model code provisions and California amendments to the model codes.

# 1. PROJECT SUMMARY

Pursuant to Health and Safety Code Sections 18928.1 and 18942, the California Building Standards Commission (CBSC) and the International Code Council (ICC) will coordinate the publication of these parts of the 2016 California Building Standards Code (Title 24, California Code of Regulations).

- A. WHEREAS, ICC is the sole owner of the copyrights to the 2015 International Building Code, 2015 International Residential Code, 2015 International Fire Code and 2015 International Existing Building Code and trade names and/or marks "International Building Code", "International Residential Code", "International Fire Code", "International Existing Building Code", and acronyms "IBC", "IRC", "IFC", "IEBC"; and
- B. WHEREAS, CBSC periodically adopts, approves and codifies building code standards and amendments, which may be based on an existing model building code, and publishes them as part of the California Building Standards Code (hereinafter referred to as the "SBC"), Title 24, Parts 2, 2.5, 9, & 10 California Code of Regulations (CCR), pursuant to Section 18928.1 of the California Health and Safety Code; and
- C. WHEREAS, CBSC desires to publish a 2016 edition of the SBC (hereinafter referred to as the "2016 SBC") on or before July 1, 2016 or at a later date as set by the CBSC containing those portions of the first published editions (as adopted by CBSC) of the 2015 IBC, 2015 IRC, 2015 IFC and 2015 IEBC that have been approved and codified by CBSC, including those portions of the 2015 IBC, 2015 IRC, 2015 IFC, and 2015 IEBC that have been modified by CBSC.
- D. WHEREAS, ICC and CBSC desire to facilitate the publication of the 2016 SBC on or before July 1, 2016 in a pre-assembled format that integrates portions of the 2015 IBC, 2015 IRC, 2015 IFC, and 2015 IEBC that have been approved and codified by CBSC, including those portions of the 2015 IBC, 2015 IRC, 2015 IFC, and 2015 IEBC that have been modified by CBSC, as well as the State amendments; and

Building Standards Commission 2016 California Building Standards Code Publication Agreement Contract #; Exhibit A; Page **2** of **7** 

E. WHEREAS, ICC and CBSC desire to make available as necessary supplements and errata to the 2016 SBC, to be codified in Title 24, Parts 2, 2.5, 9, & 10 CCR (hereinafter referred to as the "2016 SBC Supplements"), as well as supplements to the 2016 SBC in response to emergency regulations.

## 2. <u>TERM</u>

A. The term of this agreement is four years.

# 3. PROJECT RESPRESENTATIVES

A. The Project Representatives during the term of this agreement will be:

BSC Contract Administrator Kevin Day 2525 Natomas Park Drive #130 Sacramento, CA 95833 (916) 263-0355 <u>kevin.day@dgs.ca.gov</u> Contractor's Contract Administrator Mark Johnson International Code Council 3060 Saturn Street, Suite 100 Brea, CA 92821 5360 Workman Mill Road Whittier, CA 90601-2298 Fax No. : (562) 699-9721

B. Any changes in Contractor's project representatives must be reported to the BSC Contract Administrator immediately, in writing.

# 4. PERFORMANCE DETAILS

A. Grant of License for SBC; Ownership.

ICC hereby grants CBSC a nonexclusive license to use and copy all or any portion of the 2015 IBC, 2015 IRC, 2015 IFC, and 2015 IEBC, ICC supplements and revisions to the 2015 IBC, 2015 IRC, 2015 IFC, and 2015 IEBC, (hereinafter "Licensed Property"), in whole or in part, solely to create and publish the 2016 SBC, as well as the 2016 SBC Supplements, supplements to the 2016 SBC in response to emergency regulations, and all related errata sheets (hereinafter referred to collectively as the "2016 Code"), including the right to (a) make such changes to the Licensed Property as CBSC determines is required for the 2016 Code, and (b) affix copyright notices to the 2016 Code as displayed in Attachment D.

- 1. All Licensed Property shall remain the property of ICC, and nothing contained in this Agreement shall be deemed to transfer any ownership of the Licensed Property to CBSC.
- 2. All California amendments prepared by CBSC (or any agency or other instrumentality of the State of California) in connection with the 2016 Code shall remain the property of CBSC, and nothing contained in this Agreement shall be deemed to transfer any ownership of CBSC Property to ICC.

- 3. Amendments that contain material prepared by the CBSC combined with ICC Licensed Property shall be jointly owned by the CBSC and ICC.
- 4. The ownership rights defined in Paragraph 1 above shall survive the termination of this Agreement.
- 5. CBSC herein grants to ICC an exclusive, royalty-free, perpetual, worldwide license to copy, print, publish, distribute, sublicense and sell the 2016 Code, in any format, including print and electronic, in accordance with the terms of the Agreement.
- 6. In the event ICC breaches its obligations under this agreement, or this agreement is terminated by either party, or ICC otherwise discontinues to publish or fails to make available for sale the 2016 SBC, then CBSC may print and publish or authorize the printing of and publication of the 2016 SBC for its own use and for sale to the public until publishing thereof is recommenced by ICC or until one hundred and eighty (180) days after the publication of the next edition of the Title 24 (viz., 2016 edition), whichever occurs first, without compensating ICC. If at any time prior to the discontinuation of publication by ICC, said 2016 Code, for any reason, becomes temporarily out of print or unavailable so that copies thereof cannot be furnished within thirty (30) days after they are requested for purchase by any person or entity, then the CBSC may print and publish, or authorize the printing of and publication of any of the unavailable documents for its own use and for sale to the public in quantities to meet immediate demand, until ICC recommences furnishing copies or until one hundred and eighty (180) days after the publication of the 2016 Code, whichever occurs first, without compensating ICC.

# 5. SERVICE DETAILS

- A. <u>Technical Services.</u> Immediately after the execution of this Agreement, ICC shall commence the following services:
  - 1. ICC shall print, publish and make available for sale to the State, local governmental agencies, and to the general public, copies of the 2016 SBC in a loose-leaf format wherein all portions of the 2015 IBC, 2015 IRC, 2015 IFC, and 2015 IEBC that have been approved and codified by CBSC, including those portions of the 2015 IBC, 2015 IRC, 2015 IFC and 2015 IEBC that have been modified by CBSC, as well as the State amendments thereto, are fully merged and integrated into a contiguous and pre-assembled document.
  - ICC shall also provide CBSC with three (3) copies of the final text corresponding to the printed version of the 2015 IBC, the 2015 IRC and the 2015 IFC, Chapters 1-4, 15, 16 & A1 & A3 of the 2015 IEBC, and three (3) copies of all 2013 SBC Supplements, supplements to the 2013 SBC in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes.

# 6. WORK DETAILS

- A. ICC shall, in accordance with the specific formatting requirements and editorial and publication timetable set forth herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public on or before July 1, 2016 or at a later date as set by the CBSC, copies of the 2016 SBC in a loose -leaf format wherein all portions of the Licensed Property that have been approved and codified by the CBSC, including those portions of the Licensed Property that have been modified by the CBSC, as well as California amendments thereto, are fully merged and integrated into a contiguous and pre -assembled form and not provided as separate sheets. To meet the July 1, 2016 publication date, CBSC must approve the final edited draft manuscript deliver to ICC the proofed and approved final text on or before May 15, 2016. In the event CBSC fails to deliver to ICC the proofed and approved final text on or before May 15, 2016, ICC shall be provided with a period of 35 days from receipt of delivery of said final text from CBSC in which to print, publish and make available for sale the 2016 SBC.
- B. All those portions of the Licensed Property, be it chapters, sections, provisions, tables, appendices, references, etc., which the CBSC or any authorized agency does not adopt as a part of Title 24 shall not be printed in the 2016 SBC.
- C. All Errata and/or Supplements to the 2015 Licensed Property as originally adopted by the CBSC as the 2016 SBC which are thereafter generated by the ICC shall not be printed in the 2016 SBC unless expressly approved by the CBSC.
- D. CBSC shall submit to ICC its modifications to the 2015 Licensed Property. Within a reasonable time after the receipt thereof from CBSC, ICC shall furnish to CBSC a copy of the initial draft of the 2016 SBC for review by CBSC.
- E. WITHIN a reasonable time after receipt of the initial draft of the 2016 SBC from ICC, CBSC shall submit its revisions to the initial draft to ICC. Within a reasonable time after receipt of the CBSC's revisions to the initial draft, ICC shall make any further modifications and submit to CBSC a second draft.
- F. WITHIN a reasonable time after receipt of the second draft of the 2016 SBC from ICC, CBSC shall submit its revisions to the second draft to ICC. Within a reasonable time after receipt of the CBSC's revisions to the second draft, ICC shall make any further modifications and submit to CBSC a final draft.
- G. Upon approval of the final draft blueline, ICC shall thereafter publish and make available for sale to the State of California, and to the general public, copies of the 2016 SBC. If CBSC promptly delivers to ICC the submissions, ICC shall ensure that the 2016 SBC is available for sale on or before July 1, 2016.
- H. Time is of the essence:
  - 1. Because the 2016 Code may have significant effects on the safety of the State 's built environment and its citizens, time is of the essence in performing the herein duties.
  - 2. The July 1, 2016, date to publish the 2016 Code is of critical importance. Publication is defined as the documents being printed and available for delivery.

- 3. The CBSC and the ICC shall commit staff and resources to expedite their diligent work and cooperate toward the within Publication schedules and goals.
- 4. Due to the variations of their size and complexity, it is impossible to herein establish schedules for Publication of any Supplements or Errata. Therefore, when the CBSC submits any Supplements or errata to ICC, a Publication Schedule of milestone dates, including a Publication and Effective date, shall be mutually determined. That Publication Schedule, due to possible coordination with other contractors, is of critical importance. ICC shall notify the CBSC within 15 calendar days following creation of the Publication Schedule if they, for valid reason(s) given, cannot meet the Schedule and include a proposed Schedule which they can meet. The CBSC must concur in the acceptance of any new Schedule. Without such notification, or concurrence with a new Publication Schedule, the CBSC shall expect the ICC to abide by the proposed Schedule.
- 5. Neither ICC nor CBSC shall be held liable or responsible for any times or dates set out in this publication agreement if such failure to meet that time or date comes about as a result of any strike, unauthorized work stoppage, civil unrest, legal requirement or impediment, storm, fire, flood or other act of God for which the ICC or the CBSC is not otherwise responsible.
- I. Formatting, layout and other requirements not contained herein for publication of the 2016 Code will be set forth and/or confirmed in subsequent correspondence between the Parties. Said formatting, layout and other requirements include, but are not limited to, the following:
  - 1. Code
    - a. A "pre-assembled code" numbered consecutively, printed on 20 lb. or 24 lb. stock (depending on page count), 84 or higher brightness, 8-1/2" by 11" white paper in a seven-hole loose-leaf format configuration the same configuration as that used for the 2013 SBC.
    - b. The black body copy font shall be 10 pt. Times Roman with bold section numbers. Headings shall be 10 pt. Helvetica bold.
    - c. Each binder volume that has a ring metal greater than 2" shall be equipped with front and back heavy-duty page lifters and, when containing more than one Part of Title 24, a heavy-weight reinforced tab divider shall be included.

Volume binders shall conform to the design and colors selected by the CBSC and sized appropriately for the contents which shall be shrink wrapped to ensure completeness.

- d. ICC will integrate existing 2015 Licensed Property language with California amendments to be reviewed and approved by the CBSC. First review and schedule will be agreed upon by the parties.
- e. ICC and CBSC will work out a schedule for completion of the code to ensure publication and its availability on or before July 1, 2016. Attachment E, 2015 California Triennial Code Adoption Cycle Schedule will be used as the basis for developing this schedule.

- 2. Index will remain as it appears in the 2015 Licensed Property with California amendments as necessary.
- 3. Italics shall not be used to identify defined terms.
- 4. The front of each volume shall contain the following documents. This information will be provided to ICC by CBSC no later than March 1, 2016:
  - a. Cover Art
  - b. Copyright Notice
  - c. Preface
  - d. Acknowledgement
  - e. California Agency Information Contact List
  - f. How to Distinguish Between Model Code Language and California Amendments
- 5. California language will be in italics or other form as specified by codification.
- 6. All chapters will begin on a right hand page.
- 7. Running heads Footers will read "2016 California Building Code" etc.
- 8. State-agency acronyms will be used throughout the 2016 Code.
- 9. ICC will submit binder specifications for approval by CBSC.
- 10. The Matrix Adoption Table will be in the front of each correlating chapter of the 2016 Code and available as a supplement so it can be inserted in the front of each 2016 Code.
- 11. ICC shall supply a postage-paid return card for each purchaser to apply for complimentary automatic receipt of all supplements and errata sheets. ICC will maintain the mailing list, and print, mail and verify delivery of supplements and errata sheets.
- 12. A card may be placed in the back of the 2016 Code advertising other ICC publications.
- J. Supplements and Errata
  - 1. Supplements to the 2016 code shall be printed on 20 lb. light blue paper and be individually shrink-wrapped.
  - 2. Supplements shall be printed to insert by page substitution and contain a publication, effective date, and 'Blue' written on the bottom of each page.
  - 3. Regular supplements shall be published as needed.
  - 4. Emergency supplements shall be published on an "as needed basis."
  - 5. Errata sheets shall be printed on 20 lb. Buff paper and be individually shrink-wrapped
  - 6. Errata sheets shall be printed to insert by page substitution and contain a publication, effective date, and 'Buff' written on the bottom of each page

- 7. The number of the supplements shall be the number of the page where the section and paragraph resides followed by .1, .2, .3 etc.
- K. Distribution
  - 1. ICC shall establish a distribution method in consultation with the CBSC.
  - 2. ICC shall distribute a minimum of 200 complimentary copies of the 2016 Code as per the Attachment C.
  - 3. ICC may wholesale the 2016 Code to other resellers in California.
  - 4. ICC reserves the right to include advertising material of related support products/services in distribution of the 2016 Code, provided said material is not a part of the official text of the California State Building Standards Code. Any advertising would be clearly denoted and included as a separate insert at the back.

# <u>EXHIBIT B</u>

### PAYMENT AND COMPENSATION PROVISIONS

- A. <u>Compensation</u>. In consideration of the opportunity provided to ICC by CBSC to make sales of the 2016 Code, no fees, royalties or other payments of any nature shall be required to be paid by CBSC to ICC with respect to this Agreement or the licenses granted hereunder.
  - B. <u>Sale of Code.</u> ICC agrees to furnish the 2016 Code in loose-leaf format on the following basis:
- ICC shall make the 2016 Code, described in Exhibit A Paragraphs 5 A 1. and 5 A 2., available to the State, local governmental agencies, and the general public at a price established by ICC that will entitle the purchaser to receive all 2016 SBC Supplements, supplements to the 2016 SBC in response to emergency regulations, and all related Errata sheets from ICC at no additional charge.
- Any bookstore or other vendor may purchase the 2016 Code from ICC for resale and may resell the same at any price which will entitle the purchaser to receive all subsequent 2016 SBC Supplements, supplements to the 2016 SBC in response to emergency regulations, and all related errata sheets from ICC at no additional charge.
- ICC shall provide to CBSC the electronic files in word format of the 2016 Code including all subsequent Supplements and Errata in order that the CBSC may make the 2016 Code available internally on the CBSC intranet or internal network for use solely by CBSC staff. If

ICC develops an enhancedfee-based electronic subscription serviceCD with additional features, such as Boolean search capabilities, annotation feature, global search feature or hyperlinking capabilities, ICC shall also make such enhanced CDelectronic subscription service available to the CBSC for internal use as provided in this subparagraph.

- 4. ICC may include references to ICC-ES Evaluation Reports and other supplemental support material in any electronic version of the 2016 Code (separate from the actual 2016 Code language). Necessary language will be added to differentiate ICC language/materials will be differentiated from the 2016 Code. CBSC reserves the right to review such materials in advance and shall be provided with such materials at least thirty (30) days prior to publication.
- 5. ICC may sell the 2016 Code in any formats it so chooses, including but not limited to: print, eBooks, and subscription service.
- 6. ICC will send the State a quarterly report, commencing on July 1, 2016, which will provide information on itemize all orders processed from the previous quarter. The report will include business name, the total number of copies distributed, orderedand total revenues, amount charged, date order received and date order shipped. If no orders are processed, the Contractor will send a report indicating no orders were processed. Reports are due by the 15<sup>th</sup> of the month following the end of a quarter. Reports must be mailed to:

*BSC Contract Administrator* 2525 Natomas Park Drive #130 Sacramento, CA 95833

- C. **State Code Distribution.** The Library Distribution Act (LDA) established a system to distribute California State agency publications to libraries in order to make such publications widely available to residents of the State of California. During the term of this Agreement, ICC shall deliver complimentary copies of the 2016 SBC, and automatically, any 2016 SBC Supplements, supplements to the 2016 SBC in response to emergency regulations, and all related errata sheets, directly to the Deposit Libraries listed in Attachment B hereto in the quantities set forth therein, and one copy each to the Selective Depository Libraries listed in Attachment C hereto and copies to the State agencies listed in Attachment D hereto in the quantities specified therein. ICC shall obtain and retain proof of delivery of all materials distributed in accordance with this provision and make copies of such proof of delivery available to CBSC upon written request until one year after termination of this Agreement or be willing to ship additional complimentary copies upon request.
  - ICC shall deliver up to 30 complimentary copies of the 2016 Code to CBSC and then deliver one complimentary copy for each new Commissioner appointed after June 1, 2016 to CBSC, in addition to the delivery of the original 30 copies until one hundred and eighty (180) days after the Publication of the next edition of 2016 Code. CBSC shall provide ICC with names and delivery addresses of the current and any new Commissioners as well as notifying ICC of any terminations.

- 2. ICC shall deliver up to 200 complimentary copies of the 2016 Code, any 2016 SBC Supplements and Errata, to be coordinated between CBSC and ICC.
- D. <u>Subscription Service.</u> In order to ensure that the 2016 SBC purchased from ICC or any bookstore or other vendor is accurate and up to date, beginning from the time of the initial publication, ICC shall make available to all purchasers of the 2016 SBC, all 2016 SBC Supplements, all supplements to the 2016 SBC in response to emergency regulations, and all related errata sheets, at no additional cost. ICC shall provide all purchasers with a subscription registration card which enables them to automatically receive such updates to the 2016 SBC in either print or electronic format, whichever the purchaser chooses. Electronic versions shall clearly distinguish and identify errata and supplement sheets with footer notes on each page as follows: '[Effective date], Supplement, blue', '[Effective date], Errata buff'. Subscription to this service shall result in the subscriber being provided with an entire, up-to-date 2016 Code.
- E. <u>Funding.</u> ICC understands and agrees that under certain provisions of California law, CBSC may not obligate itself by contract to an extent in excess of an amount appropriated therefore by the State Legislature as approved by the Governor.

#### EXHIBIT C

#### GENERAL TERMS AND CONDITIONS

1. <u>APPROVAL</u>: This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.

2. <u>AMENDMENT</u>: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

3. <u>ASSIGNMENT</u>: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.

4. <u>AUDIT</u>: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

5. <u>INDEMNIFICATION</u>: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.

6. <u>DISPUTES</u>: Contractor shall continue with the responsibilities under this Agreement during any dispute.

7. <u>TERMINATION FOR CAUSE</u>: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. <u>INDEPENDENT CONTRACTOR</u>: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. <u>RECYCLING CERTIFICATION</u>: The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

10. <u>NON-DISCRIMINATION CLAUSE</u>: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40 ), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

11. <u>CERTIFICATION CLAUSES</u>: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

12. <u>TIMELINESS</u>: Time is of the essence in this Agreement.

13. <u>COMPENSATION</u>: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

14. <u>GOVERNING LAW</u>: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

15. <u>ANTITRUST CLAIMS</u>: The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.

a. The Government Code Chapter on Antitrust claims contains the following definitions:

1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.

2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.

d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. <u>CHILD SUPPORT COMPLIANCE ACT</u>: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. <u>UNENFORCEABLE PROVISION</u>: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. <u>PRIORITY HIRING CONSIDERATIONS</u>: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code \$10353.

#### 19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS :

a. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)

b. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department:
(1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

#### 20. LOSS LEADER:

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

# ATTACHMENT A

#### **Depository Libraries**

Under the provisions of the Library Distribution Act (Government Code sections 14905, 14906, and 14907), the libraries listed below have contracted with the Department of General Services to serve as complete or selective depositories. They agree to provide adequate facilities for the shelving and use of the publications deposited with them render reasonable service without charge to qualified patrons, and retain all publications received until authorized to dispose of them.

#### Complete Depository Libraries

One copy of each state publication as defined in Government Code section 14902 must be placed on deposit with each complete depository. In addition, provisions of the State Administrative Manual authorize those libraries marked with an asterisk in the list below to receive the number of copies recorded after the entry.

California State Archives, 1020 O Street, Sacramento, CA 95814

- California State Library, Information Resources and Government Publications, P.O. Box 942837, Sacramento, CA 94237-0001 (IMS: 900 N Street, E-29) (two copies)
- California State University, Chico, Merriam Library, Government Publications Dept., Chico, CA 95929-0295
- Fresno County Free Library, Government Publications, 2420 Mariposa St., Fresno, CA 93721-2285
- Library of Congress, Anglo-American Acquisition Division, Government Documents Section, 101 Independence Ave S.E., Washington, D.C., 20540-4172
- Los Angeles Public Library, Serials Division, 630 W. Fifth St., Los Angeles, CA 90071-2002
- Dr. Martin Luther King Jr. Library, Government Publications, San José State University, One Washington Square, San Jose, CA 95192-0008
- San Diego Public Library, Government Publications Unit, 330 Park Blvd., San Diego, CA 92101 San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division, 5500 Campanile Drive, San Diego, CA 92182-8050
- San Francisco Public Library, Government Information Center, 100 Larkin St., San Francisco, CA 94102 Green Library, Receiving, Stanford University Libraries, 557 Escondido Mall, Stanford, CA 94305
- University of California, Berkeley, Government Documents Technical Services, 250 Moffitt Library, Berkeley, CA 94720-6000
- University of California, Davis, Shields Library, Government Information and Maps Department, 100 North West Quad, Davis, CA 95616-5292
- University of California, Los Angeles, Charles E. Young Research Library A4510 Government Information, Box 951575, Los Angeles, CA 90095-1575
- University of California, San Diego, Government Documents Unit, 9500 Gilman Drive 0175P, La Jolla, CA 92093-0175
- University of California, Santa Barbara, Library, Serials Receiving, Santa Barbara, CA 93106-9010

# ATTACHMENT B

# Selective Depository Libraries – Page 1 of 4

Selective depositories receive one copy of each printed publication distributed by the Office of State Publishing. They also receive publications distributed directly by issuing agencies. Special subsets of the Selective Depository Libraries are the Law Libraries. They receive one copy of each printed publication from OSP and a single copy from issuing agencies. Law library depositories differ in having special document retention requirements pursuant to section 14909 of the California Government Code. Law Libraries are indicated in this list by the term "Law" before their entry.

A.K. Smiley Public Library, 125 West Vine St., Redlands, CA 92373

 Law Bernard E. Witkin Alameda County Law Library, 125 - 12 St., Oakland, CA 94607-4912 Alhambra Public Library, 101 South First Street, Alhambra, CA 91801 Butte County Library, 1820 Mitchell Avenue, Oroville, CA 95966-5387 California Polytechnic State University, Library-Government Documents Section, 1 Grand Avenue, San Luis Obispo, CA 93407
 California State Polytechnic University, Pomona, Library-Serials Unit, Building 15, Suite 1919, 3801 W. Temple Ave., Pomona, CA 91768-2557
 California State University, Bakersfield, Walter Stiern Library, Library-Documents Section, 9001

Stockdale Highway, Bakersfield, CA 93311-1099

- California State University, Dominguez Hills, Library-Government Documents, 800 E. Victoria St., Carson, CA 90747
- California State University, Fresno, Henry Madden Library, Government Documents Dept., 5200 N. Barton, M/S ML34, Fresno, CA 93740-8014
- California State University, Fullerton, Library-Documents State, P.O. Box 4150, Fullerton, CA 92834-4150
- California State University, East Bay, Library-Documents, 25800 Carlos Bee Blvd. Hayward, CA 94542
- California State University, Long Beach, Library Government Documents, 1250 Bellflower Blvd., Long Beach, CA 90840-1901
- California Štate University, Los Angeles, John F. Kennedy Library, Government Information Services, 5151 State University Dr., Los Angeles, CA 90032-8300
- California State University, Northridge, Oviatt Library Government Documents, 18111 Nordhoff St., Northridge, CA 91330-8328
- California State University, Sacramento, Library-Documents, 2000 State University Dr., East, Sacramento, CA 95819-6039
- California State University, San Bernardino, Library, 5500 University Parkway, San Bernardino, CA 92407-2397
- California State University San Marcos, Library, State Documents, 333 S. Twin Oaks Valley Road, San Marcos, CA 92096-0001
- California State University, Stanislaus, Library, Documents Dept., One University Circle, Turlock, CA 95382-0299
- California State University, Board of Trustees, Office of Governmental Affairs, CA 915 L Street, Suite 1160, Sacramento, CA 95814
- Law California Judicial Center Library, 455 Golden Gate Avenue, Room 4617, San Francisco, CA 94102
- Law California Western School of Law Library, 225 Cedar St., San Diego, CA 92101-3090 Chula Vista Public Library, 365 F Street, Chula Vista, CA 91910 Contra Costa County Library, Documents Section, 1750 Oak Park Boulevard, Pleasant Hill, CA 94523-4497

# Law Court of Appeal Library, Second Appellate District, 300 S. Spring St., Los Angeles, CA 90013

El Centro Public Library, 1140 N. Imperial Ave., El Centro, CA 92243

Fremont Main Library, 2400 Stevenson Blvd., Fremont, CA 94538-2326

Garden Grove Regional Branch, Orange County Public Library, 11200 Stanford Avenue, Garden Grove, CA 92840

Law Golden Gate University Law Library, 536 Mission St., San Francisco, CA 94105

- Law Hastings College of the Law Library, 200 McAllister St., San Francisco, CA 94102 Honnold/Mudd Library, Government Publications Dept., Claremont Colleges, 800 N. Dartmouth Ave., Claremont, CA 91711-3907 Humboldt County Library, Public Services Division, 1313 Third Street, Eureka, CA 95501- 0533 Humboldt State University, Government Documents Section, 1 Harpst Street, Arcata, CA 95521 Inglewood Public Library, 101 West Manchester Boulevard, Inglewood, CA 90301-1771
- Law Kern County Law Library, 1415 Truxtun, Room 301, Bakersfield, CA 93301 Kern County Library, California Documents Dept., 701 Truxtun Avenue, Bakersfield, CA 93301-4517

- Law Kings County Law Library, 1400 West Lacey Boulevard, Hanford, CA 93230 Long Beach Public Library, 101 Pacific Avenue, Long Beach, CA 90822-1097
- Law Los Angeles County Law Library, 301 W. First St., Los Angeles, CA 90012-3100 County of Los Angeles Public Library, Lancaster Library, 601 W. Lancaster Blvd., Lancaster, CA 93534

County of Los Angeles Public Library, Norwalk Library, 12350 Imperial Highway, Norwalk,CA 90650

Law McGeorge School of Law, Library, Documents Dept., 3282 Fifth Ave., Sacramento, CA 95817 Monterey County Free Libraries, Seaside Branch Library, 550 Harcourt Avenue, Seaside, CA 93955

Napa City-County Library, 580 Coombs Street, Napa, CA 94559-3340 Oakland Public Library, 125 Fourteenth St., Oakland, CA 94612

- Law Orange County Public Law Library, 515 N. Flower, Santa Ana, CA 92703-2354 Pasadena Public Library, 285 East Walnut Street, Pasadena, CA 91101-1556 Redding Library, Attn. Government Documents, 1100 Parkview Ave., Redding, CA 96001
  - Redwood City Public Library, Documents, 1044 Middlefield Road, Redwood City, CA 94063-1868

- Law Riverside County Law Library, 3989 Lemon St., Riverside, CA 92501-4203
- Law Sacramento County Public Law Library, 609 Ninth St., Sacramento, CA 95814 Sacramento Public Library, Central Library-Reference Department, 828 I Street, Sacramento, CA 95814-2508
- Law San Bernardino County Law Library, 402 North "D" Street, San Bernardino, CA 92401 San Bernardino Public Library, Documents, 555 W. Sixth St., San Bernardino, CA 92410 San Bernardino Valley College Library, 701 South Mt. Vernon Avenue, San Bernardino, CA 92410
- Law San Diego County Law Library, 1105 Front St., San Diego, CA 92101-3999 San Francisco State University, Government Publications Dept., J. Paul Leonard Library, 1630 Holloway Ave., San Francisco 94132-4030
- Law San Joaquin County Law Library, Kress Legal Center, 1st floor, 20 N. Sutter St., Stockton, CA 95202
  - San Luis Obispo City-County Library, P.O. Box 8107, San Luis Obispo, CA 93403
- Law San Luis Obispo County Law Library, 1050 Monterey St., Room 125, San Luis Obispo, CA 93408
- Law San Mateo County Law Library, 710 Hamilton St., Redwood City, CA 94063 San Mateo Public Library, 55 West Third Avenue, San Mateo, CA 94402-1592
- Law Santa Barbara County Law Library, Courthouse, 1100 Anacapa St., Second Floor, Santa Barbara, CA 93101
- Santa Barbara Public Library, P.O. Box 1019, Santa Barbara, CA 93102-1019
- Law Santa Clara County Law Library, 360 N. First St., San Jose, CA 95113-1004 Santa Clara University, Government Documents Dept., Michel Orradre Library, 500 El Camino Real, Santa Clara, CA 95053-0500
- Law Santa Cruz County Law Library, 701 Ocean St., Rm. 070, Santa Cruz, CA 95060 Santa Cruz Public Library, Documents Section, 224 Church Street, Santa Cruz, CA 95060 Santa Maria Public Library, Reference Dept., 421 S. McClelland, Santa Maria, CA 93454 Santa Monica Public Library, 601 Santa Monica Blvd., Santa Monica, CA 90401

Richmond Public Library, Government Documents Dept., 325 Civic Center Plaza, Richmond, CA 94804

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- Law Sonoma County Law Library, 2604 Ventura Ave., Santa Rosa, CA 95403 Sonoma County Library, 211 E Street, Santa Rosa, CA 95404
- Law Southwestern University School of Law Library, Government Documents, 3050 Wilshire Blvd., Los Angeles, CA 90010-1106
- Law Stanford University, Law Library, 559 Nathan Abbott Way, Stanford, CA 94305-8612 Stanislaus County Free Library, 1500 I Street, Modesto, CA 95354-1166 Stockton-San Joaquin County Public Library, 605 North El Dorado Street, Stockton, CA 95202

Thousand Oaks Library, Technical Services Dept., 2331 Borchard Rd., Newbury Park, CA 91320

- Law University of California, Berkeley, Law Library, Boalt Hall, Berkeley, CA 94720-7210
- Law Library Documents Dept., University of California, 400 Mrak Hall Drive, Davis, CA 95616-5203

University of California, Irvine Libraries, Government Information Department, Building 520, Ayala Science Library Receiving Dock, Irvine, CA 92697

Law University of California, Los Angeles, Law Library, 1106 Law Building., 405 Hilgard Ave.,Los Angeles, CA 90095-1458

University of California, Riverside, Rivera Library, Government Publications, P.O. Box 5900, Riverside, CA 92517-5900

University of California, Santa Cruz, University Library, Government Publications, 1156 High Street, Santa Cruz, CA 95064

- Law University of La Verne College of Law Library, 320 East D St., Ontario, CA 91764
- Law University of San Diego, School of Law Library, 5998 Alcala Park, San Diego, CA 92110-2492
- Law University of San Francisco, Zies Law Library, Kendrick Hall, 2130 Fulton St., San Francisco, CA 94117
- Law University of Southern California, Law Library, 699 Exposition Blvd., Los Angeles, CA 90089-0072 University of Southern California, Government Documents, Von Kleinsmid Library, 3518 Trousdale Parkway, Los Angeles, CA 90089-0048
- Law Ventura County Law Library, Courthouse, 800 S. Victoria Ave., Ventura, CA 93009-2020
- Law Whittier College School of Law, Library, 3333 Harbor Blvd., Costa Mesa, CA 92626

# ATTACHMENT C

# State Agencies

A List of State Agencies will be sent on or before July 1, 2016 under separate cover.

#### **ATTACHMENT D: COPRIGHT NOTICES**

For Title 24, Part 2, Volume 1

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# EXHIBIT A

# SCOPE OF WORK

The California Administrative Code (California Code of Regulations, Title 24, Part 1), California Energy Code (California Code of Regulations, Title 24, Part 6), California Historical Building Code (California Code of Regulations, Title 24, Part 8), California Green Building Standards Code (California Code of Regulations, Title 24, Part 11), and California Referenced Standards Code (California Code of Regulations, Title 24, Part 12)

# 1. PROJECT SUMMARY

Pursuant to Health and Safety Code Sections 18928.1 and 18942, the California Building Standards Commission (CBSC) and the International Code Council (ICC) will coordinate the publication of the above referenced parts of the 2016 California Building Standards Code (Title 24, California Code of Regulations).

- A. WHEREAS, CBSC is the sole owner of the copyrights to the 2013 California Administrative Code (Title 24, Part 1), 2013 California Energy Code (Title 24, Part 6), 2013 California Historical Building Code (Title 24, Part 8), 2013 California Green Building Standards Code (Title 24, Part 11) and 2013 California Referenced Standards Code (Title 24, Part 12) (collectively, "Licensed Property"); and
- B. WHEREAS, CBSC periodically adopts, approves and codifies building code standards and amendments pursuant to Section 18928.1 of the <u>California Health and Safety Code</u>; and
- C. **WHEREAS,** CBSC desires to publish, on or before July 1, 2016, 2016 editions of the Licensed Property, as part of the 2016 State Building Code (SBC); and
- D. **WHEREAS,** ICC and CBSC desire to facilitate the publication of the 2016 editions of the Licensed Property in a pre-assembled loose leaf format; and
- E. WHEREAS, ICC and CBSC desire to make available necessary annual amendments from time to time to the 2016 editions of the Licensed Property, as well as supplements to the 2016 editions of the Licensed Property in response to emergency regulations (the 2016 editions of the Licensed Property, amendments thereto, supplements thereto in response to emergency regulations, and all related errata sheets, collectively referred to herein as "the 2016 Code")

# <u>2.</u> TERM

A. The term of this agreement is four years.

# 3. PROJECT RESPRESENTATIVES

A. The Project Representatives during the term of this agreement will be:

BSC Contract Administrator Kevin Day 2525 Natomas Park Drive #130 Sacramento, CA 95833 (916) 263-0355 <u>kevin.day@dgs.ca.gov</u> Contractor's Contract Administrator Mark Johnson International Code Council 3060 Saturn Street, Suite 100 Brea, CA 92821 5360 Workman Mill Road Whittier, CA 90601-2298 Fax No. : (562) 699-9721

B. Any changes in Contractor's project representatives must be reported to the BSC Contract Administrator immediately, in writing.

# 4. PERFORMANCE DETAILS

### A. Grant of License; Ownership.

CBSC grants to ICC an exclusive, royalty-free, perpetual, worldwide license to copy, print, publish, distribute, sublicense and sell the 2016 Code in any format, including print and electronic, in accordance with the terms of the Agreement. CBSC shall retain the right to create and distribute derivative or secondary works (such as handbooks, checklists, training materials and study guides) that incorporate 2016 Code text.

- 1. The 2016 Code shall remain the property of the CBSC, and nothing contained in this Agreement shall be deemed to transfer any ownership of the 2016 Code to ICC.
- 2. The ownership rights defined in paragraph 1 above shall survive the termination of this Agreement.
- 3. In the event ICC breaches its obligations provided in Paragraphs 2, 3, 4, 5 or 6, or terminates this Agreement, or otherwise discontinues to publish or fails to make available for sale the 2016 Code, then CBSC may print and publish or authorize the printing of and publication of the 2016 Code for its own use and for sale to the public until publishing thereof is recommenced by ICC or until one hundred and eighty (180) days after the publication of the next edition of the Title 24 (viz., 2016 edition), whichever occurs first, without compensating ICC. If at any time prior to the discontinuation of publication by ICC, said 2016 Code, for any reason, becomes temporarily out of print or unavailable so that copies thereof cannot be furnished within thirty (30) days after they are requested for purchase by any person or entity, then the CBSC may print and publish, or authorize the printing of and publication of any of the unavailable documents for its own use and for sale to the public in quantities to meet immediate demand, until ICC recommences furnishing copies or until one hundred and eighty (180) days after the publication of the next edition of the 2016 Code, whichever occurs first, without compensating ICC.

# 5. SERVICE DETAILS

- A. <u>Technical Services.</u> Immediately after the execution of this Agreement, ICC shall commence the following services:
  - 1. ICC shall print, publish and make available for sale to the State, local governmental agencies, and to the general public, copies of the 2016 Code in a loose-leaf format on or before July 1, 2016.
  - 2. ICC shall also provide CBSC with three (3) copies of the final text corresponding to the printed version of the 2013 Code, and three (3) copies of all 2013 supplements, supplements in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes.

# 6. WORK DETAILS

- A. ICC shall, in accordance with the specific formatting requirements and editorial and publication timetable set forth herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public on or before July 1, 2016 or at a later date as set by the CBSC, copies of the 2016 SBC in a loose -leaf format wherein all portions of the Licensed Property that have been approved and codified by the CBSC, including those portions of the Licensed Property that have been modified by the CBSC, as well as California amendments thereto, are fully merged and integrated into a contiguous and pre -assembled form and not provided as separate sheets. To meet the July 1, 2016 publication date, CBSC must approve the final edited draft manuscript deliver to ICC the proofed and approved final text on or before May 15, 2016. In the event CBSC fails to deliver to ICC the proofed and approved final text on or before May 15, 2016, ICC shall be provided with a period of 35 days from receipt of delivery of said final text from CBSC in which to print, publish and make available for sale the 2016 SBC.
- B. All those portions of the Licensed Property, be it chapters, sections, provisions, tables, appendices, references, etc., which the CBSC or any authorized agency does not adopt as a part of Title 24 shall not be printed in the 2016 SBC.
- C. All Errata and/or Supplements to the 2015 Licensed Property as originally adopted by the CBSC as the 2016 SBC which are thereafter generated by the ICC shall not be printed in the 2016 SBC unless expressly approved by the CBSC.
- D. CBSC shall submit to ICC its modifications to the 2015 Licensed Property. Within a reasonable time after the receipt thereof from CBSC, ICC shall furnish to CBSC a copy of the initial draft of the 2016 SBC for review by CBSC.
- E. WITHIN a reasonable time after receipt of the initial draft of the 2016 SBC from ICC, CBSC shall submit its revisions to the initial draft to ICC. Within a reasonable time after receipt of the CBSC's revisions to the initial draft, ICC shall make any further modifications and submit to CBSC a second draft.
- F. WITHIN a reasonable time after receipt of the second draft of the 2016 SBC from ICC, CBSC shall submit its revisions to the second draft to ICC. Within a reasonable time after receipt of

the CBSC's revisions to the second draft, ICC shall make any further modifications and submit to CBSC a final draft.

- G. Upon approval of the final draft blueline, ICC shall thereafter publish and make available for sale to the State of California, and to the general public, copies of the 2016 SBC. If CBSC promptly delivers to ICC the submissions, ICC shall ensure that the 2016 SBC is available for sale on or before July 1, 2016.
- H. Time is of the essence:
  - 1. Because the 2016 Code may have significant effects on the safety of the State 's built environment and its citizens, time is of the essence in performing the herein duties.
  - 2. The July 1, 2016, date to publish the 2016 Code is of critical importance. Publication is defined as the documents being printed and available for delivery.
  - 3. The CBSC and the ICC shall commit staff and resources to expedite their diligent work and cooperate toward the within Publication schedules and goals.
  - 4. Due to the variations of their size and complexity, it is impossible to herein establish schedules for Publication of any Supplements or Errata. Therefore, when the CBSC submits any Supplements or errata to ICC, a Publication Schedule of milestone dates, including a Publication and Effective date, shall be mutually determined. That Publication Schedule, due to possible coordination with other contractors, is of critical importance. ICC shall notify the CBSC within 15 calendar days following creation of the Publication Schedule if they, for valid reason(s) given, cannot meet the Schedule and include a proposed Schedule which they can meet. The CBSC must concur in the acceptance of any new Schedule. Without such notification, or concurrence with a new Publication Schedule, the CBSC shall expect the ICC to abide by the proposed Schedule.
  - 5. Neither ICC nor CBSC shall be held liable or responsible for any times or dates set out in this publication agreement if such failure to meet that time or date comes about as a result of any strike, unauthorized work stoppage, civil unrest, legal requirement or impediment, storm, fire, flood or other act of God for which the ICC or the CBSC is not otherwise responsible.
- I. Formatting, layout and other requirements not contained herein for publication of the 2016 Code will be set forth and/or confirmed in subsequent correspondence between the Parties. Said formatting, layout and other requirements include, but are not limited to, the following:
  - 1. Code
    - a. A "pre-assembled code" numbered consecutively, printed on 20 lb. or 24 lb. stock (depending on page count), 84 or higher brightness, 8-1/2" by 11" white paper in a seven-hole loose-leaf format configuration the same configuration as that used for the 2013 SBC.
    - b. The black body copy font shall be 10 pt. Times Roman with bold section numbers. Headings shall be 10 pt. Helvetica bold.
    - c. Each binder volume that has a ring metal greater than 2" shall be equipped with front and back heavy-duty page lifters and, when containing more than one Part of Title 24, a heavy-weight reinforced tab divider shall be included.

- d. Volume binders shall conform to the design and colors selected by the CBSC and sized appropriately for the contents which shall be shrink wrapped to ensure completeness.
- e. ICC will integrate existing 2015 Licensed Property language with California amendments to be reviewed and approved by the CBSC. First review and schedule will be agreed upon by the parties.
- f. ICC and CBSC will work out a schedule for completion of the code to ensure publication and its availability on or before July 1, 2016. Attachment E, 2015 California Triennial Code Adoption Cycle Schedule will be used as the basis for developing this schedule.
- 2. Index will remain as it appears in the 2015 Licensed Property with California amendments as necessary.
- 3. Italics shall not be used to identify defined terms.
- 4. The front of each volume shall contain the following documents. This information will be provided to ICC by CBSC no later than March 1, 2016:
  - a. Cover Art
  - b. Copyright Notice
  - c. Preface
  - d. Acknowledgement
  - e. California Agency Information Contact List
  - f. How to Distinguish Between Model Code Language and California Amendments
- 5. California language will be in italics or other form as specified by codification.
- 6. All chapters will begin on a right hand page.
- 7. Running heads Footers will read "2016 California Building Code" etc.
- 8. State-agency acronyms will be used throughout the 2016 Code.
- 9. ICC will submit binder specifications for approval by CBSC.
- 10. The Matrix Adoption Table will be in the front of each correlating chapter of the 2016 Code and available as a supplement so it can be inserted in the front of each 2016 Code.
- 11. ICC shall supply a postage-paid return card for each purchaser to apply for complimentary automatic receipt of all supplements and errata sheets. ICC will maintain the mailing list, and print, mail and verify delivery of supplements and errata sheets.
- 12. A card may be placed in the 2016 Code advertising other ICC publications.
- J. Supplements and Errata

- 1. Supplements to the 2016 code shall be printed on 20 lb. light blue paper and be individually shrink-wrapped.
- 2. Supplements shall be printed to insert by page substitution and contain a publication, effective date and 'Blue' on the bottom of each page.
- 3. Regular supplements shall be published as needed.
- 4. Emergency supplements shall be published on an "as needed basis."
- 5. Errata Sheets shall be printed on 20 lb. buff paper and be individually shrink-wrapped.
- 6. Errata Sheets shall be printed to insert by page substitution and contain a publication, effective date and 'Buff' on the bottom of each page.
- 7. The number of the supplements shall be the number of the page where the section and paragraph resides followed by .1, .2, .3 etc.

### K. Distribution

- 1. ICC shall establish a distribution method in consultation with the CBSC.
- 2. ICC shall distribute a minimum of 200 complimentary copies of the 2016 Code as per the Attachment C.
- 3. ICC may wholesale the 2016 Code to other resellers in California.
- 4. ICC reserves the right to include advertising material of related support products/services in distribution of the 2016 Code, provided said material is not a part of the official text of the California State Building Standards Code. Any advertising would be clearly denoted and included as a separate insert at the back.

# <u>EXHIBIT B</u>

### PAYMENT AND COMPENSATION PROVISIONS

- A. <u>Compensation.</u> In consideration of the opportunity provided to ICC by CBSC to make sales of the 2016 Code, no fees, royalties or other payments of any nature shall be required to be paid by CBSC to ICC with respect to this Agreement or the licenses granted hereunder.
  - B. <u>Sale of Code.</u> ICC agrees to furnish the 2016 Code in loose-leaf format on the following basis:
- 1. ICC shall make the 2016 Code, described in Exhibit A Paragraphs 5 A 1. and 5 A 2., available to the State, local governmental agencies, and the general public at a price established by ICC that will entitle the purchaser to receive all 2016 SBC Supplements, supplements to the 2016

SBC in response to emergency regulations, and all related Errata sheets from ICC at no additional charge.

- Any bookstore or other vendor may purchase the 2016 Code from ICC for resale and may resell the same at any price which will entitle the purchaser to receive all subsequent 2016 SBC Supplements, supplements to the 2016 SBC in response to emergency regulations, and all related errata sheets from ICC at no additional charge.
- 3. ICC shall provide to CBSC the electronic files in word format of the 2016 Code including all subsequent Supplements and Errata in order that the CBSC may make the 2016 Code available internally on the CBSC intranet or internal network for use solely by CBSC staff. If ICC develops an enhanced fee based electronic subscription service CD with additional features, such as Boolean search capabilities, annotation feature, global search feature or hyperlinking capabilities, ICC shall also make such enhanced CDelectronic subscription service available to the CBSC for internal use as provided in this subparagraph.
  - 4. ICC may include references to ICC-ES Evaluation Reports and other supplemental support material in any electronic version of the 2016 Code (separate from the actual 2016 Code language). Necessary language will be added to differentiate ICC language/materials will be differentiated from the 2016 Code. CBSC reserves the right to review such materials in advance and shall be provided with such materials at least thirty (30) days prior to publication.
  - 5. ICC may sell the 2016 Code in any formats it so chooses, including but not limited to: print, eBooks, and subscription service.
  - 6. ICC will send the State a quarterly report commencing on July 1, 2016, which will provide information on itemize all orders processed from the previous quarter. The report will include the total business name, number of copies distributedordered, ad total revenues amount charged, date order received. and date order shipped. If no orders are processed, the Contractor will send a report indicating no orders were processed. Reports are due by the 15<sup>th</sup> of the month following the end of a quarter. Reports must be mailed to:

BSC Contract Administrator		
2525 Natomas Park Drive #130		
Sacramento, CA 95833		

C. <u>State Code Distribution.</u> The Library Distribution Act (LDA) established a system to distribute California State agency publications to libraries in order to make such publications widely available to residents of the State of California. During the term of this Agreement, ICC shall deliver complimentary copies of the 2016 SBC, and automatically, any 2016 SBC Supplements, supplements to the 2016 SBC in response to emergency regulations, and all related errata sheets, directly to the Deposit Libraries listed in Attachment B hereto in the quantities set forth therein, and one copy each to the Selective Depository Libraries listed in Attachment C hereto and copies to the State agencies listed in Attachment D hereto in the

quantities specified therein. ICC shall obtain and retain proof of delivery of all materials distributed in accordance with this provision and make copies of such proof of delivery available to CBSC upon written request until one year after termination of this Agreement or be willing to ship additional complimentary copies upon request.

- ICC shall deliver up to 30 complimentary copies of the 2016 Code to CBSC and then deliver one complimentary copy for each new Commissioner appointed after June 1, 2016 to CBSC, in addition to the delivery of the original 30 copies until one hundred and eighty (180) days after the Publication of the next edition of 2016 Code. CBSC shall provide ICC with names and delivery addresses of the current and any new Commissioners as well as notifying ICC of any terminations.
- 2. ICC shall deliver up to 200 complimentary copies of the 2016 Code, together with any 2016 SBC Supplements, directly to the following state agencies in the quantities specified by Attachment D.
- D. <u>Subscription Service.</u> In order to ensure that the 2016 SBC purchased from ICC or any bookstore or other vendor is accurate and up to date, beginning from the time of the initial publication, ICC shall make available to all purchasers of the 2016 SBC, all 2016 SBC Supplements, all supplements to the 2016 SBC in response to emergency regulations, and all related errata sheets, at no additional cost. ICC shall provide all purchasers with a subscription registration card which enables them to automatically receive such updates to the 2016 SBC in either print or electronic format, whichever the purchaser chooses. Electronic versions shall clearly distinguish and identify errata and supplement sheets with footer notes on each page as follows: '[effective date], Supplement, Blue', '[Effective date], Errata, Buff'. Subscription to this service shall result in the subscriber being provided with an entire, up-to-date 2016 Code.
- E. **Funding.** ICC understands and agrees that under certain provisions of California law, CBSC may not obligate itself by contract to an extent in excess of an amount appropriated therefore by the State Legislature as approved by the Governor.

### EXHIBIT C

### GENERAL TERMS AND CONDITIONS

1. <u>APPROVAL</u>: This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.

2. <u>AMENDMENT</u>: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

3. <u>ASSIGNMENT</u>: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.

4. <u>AUDIT</u>: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

5. <u>INDEMNIFICATION</u>: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.

6. <u>DISPUTES</u>: Contractor shall continue with the responsibilities under this Agreement during any dispute.

7. <u>TERMINATION FOR CAUSE</u>: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. <u>INDEPENDENT CONTRACTOR</u>: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. <u>RECYCLING CERTIFICATION</u>: The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

10. <u>NON-DISCRIMINATION CLAUSE</u>: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

11. <u>CERTIFICATION CLAUSES</u>: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

12. TIMELINESS: Time is of the essence in this Agreement.

13. <u>COMPENSATION</u>: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

14. <u>GOVERNING LAW</u>: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

15. <u>ANTITRUST CLAIMS</u>: The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.

a. The Government Code Chapter on Antitrust claims contains the following definitions:

1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.

2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.

d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. <u>CHILD SUPPORT COMPLIANCE ACT</u>: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. <u>UNENFORCEABLE PROVISION</u>: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. <u>PRIORITY HIRING CONSIDERATIONS</u>: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

#### 19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS :

a. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)

b. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

#### 20. LOSS LEADER:

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

# ATTACHMENT A

#### **Depository Libraries**

Under the provisions of the Library Distribution Act (Government Code sections 14905, 14906, and 14907), the libraries listed below have contracted with the Department of General Services to serve as complete or selective depositories. They agree to provide adequate facilities for the shelving and use of the publications deposited with them render reasonable service without charge to qualified patrons, and retain all publications received until authorized to dispose of them.

### **Complete Depository Libraries**

One copy of each state publication as defined in Government Code section 14902 must be placed on deposit with each complete depository. In addition, provisions of the State Administrative Manual authorize those libraries marked with an asterisk in the list below to receive the number of copies recorded after the entry.

California State Archives, 1020 O Street, Sacramento, CA 95814

- California State Library, Information Resources and Government Publications, P.O. Box 942837, Sacramento, CA 94237-0001 (IMS: 900 N Street, E-29) (two copies)
- California State University, Chico, Merriam Library, Government Publications Dept., Chico, CA 95929-0295

Fresno County Free Library, Government Publications, 2420 Mariposa St., Fresno, CA 93721-2285

Library of Congress, Anglo-American Acquisition Division, Government Documents Section, 101 Independence Ave S.E., Washington, D.C., 20540-4172

Los Angeles Public Library, Serials Division, 630 W. Fifth St., Los Angeles, CA 90071-2002

- Dr. Martin Luther King Jr. Library, Government Publications, San José State University, One Washington Square, San Jose, CA 95192-0008
- San Diego Public Library, Government Publications Unit, 330 Park Blvd., San Diego, CA 92101 San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division, 5500 Campanile Drive, San Diego, CA 92182-8050
- San Francisco Public Library, Government Information Center, 100 Larkin St., San Francisco, CA 94102 Green Library, Receiving, Stanford University Libraries, 557 Escondido Mall, Stanford, CA 94305 University of California, Berkeley, Government Documents Technical Services, 250 Moffitt Library,

Berkeley, CA 94720-6000

- University of California, Davis, Shields Library, Government Information and Maps Department, 100 North West Quad, Davis, CA 95616-5292
- University of California, Los Angeles, Charles E. Young Research Library A4510 Government Information, Box 951575, Los Angeles, CA 90095-1575
- University of California, San Diego, Government Documents Unit, 9500 Gilman Drive 0175P, La Jolla, CA 92093-0175

University of California, Santa Barbara, Library, Serials Receiving, Santa Barbara, CA 93106-9010

# ATTACHMENT B

### Selective Depository Libraries – Page 1 of 4

Selective depositories receive one copy of each printed publication distributed by the Office of State Publishing. They also receive publications distributed directly by issuing agencies. Special subsets of the Selective Depository Libraries are the Law Libraries. They receive one copy of each printed publication from OSP and a single copy from issuing agencies. Law library depositories differ in having special document retention requirements pursuant to section 14909 of the California Government Code. Law Libraries are indicated in this list by the term "Law" before their entry.

A.K. Smiley Public Library, 125 West Vine St., Redlands, CA 92373 Law Bernard E. Witkin Alameda County Law Library, 125 - 12 St., Oakland, CA 94607-4912 Building Standards Commission 2016 California Building Standards Code Publication Agreement Contract #; Exhibit A; Page **13** of **6** 

Alhambra Public Library, 101 South First Street, Alhambra, CA 91801 Butte County Library, 1820 Mitchell Avenue, Oroville, CA 95966-5387 California Polytechnic State University, Library-Government Documents Section, 1 Grand Avenue, San Luis Obispo, CA 93407 California State Polytechnic University, Pomona, Library-Serials Unit, Building 15, Suite 1919, 3801 W. Temple Ave., Pomona, CA 91768-2557 California State University, Bakersfield, Walter Stiern Library, Library-Documents Section, 9001 Stockdale Highway, Bakersfield, CA 93311-1099 California State University, Dominguez Hills, Library-Government Documents, 800 E. Victoria St., Carson, CA 90747 California State University, Fresno, Henry Madden Library, Government Documents Dept., 5200 N. Barton, M/S ML34, Fresno, CA 93740-8014 California State University, Fullerton, Library-Documents State, P.O. Box 4150, Fullerton, CA 92834-4150 California State University, East Bay, Library-Documents, 25800 Carlos Bee Blvd. Hayward, CA 94542 California State University, Long Beach, Library Government Documents, 1250 Bellflower Blvd., Long Beach, CA 90840-1901 California State University, Los Angeles, John F. Kennedy Library, Government Information Services, 5151 State University Dr., Los Angeles, CA 90032-8300 California State University, Northridge, Oviatt Library Government Documents, 18111 Nordhoff St., Northridge, CA 91330-8328 California State University, Sacramento, Library-Documents, 2000 State University Dr., East, Sacramento, CA 95819-6039 California State University, San Bernardino, Library, 5500 University Parkway, San Bernardino, CA 92407-2397 California State University San Marcos, Library, State Documents, 333 S. Twin Oaks Valley Road, San Marcos, CA 92096-0001 California State University, Stanislaus, Library, Documents Dept., One University Circle, Turlock, CA 95382-0299 California State University, Board of Trustees, Office of Governmental Affairs, CA 915 L Street, Suite 1160, Sacramento, CA 95814 California Judicial Center Library, 455 Golden Gate Avenue, Room 4617, San Francisco, CA Law 94102 California Western School of Law Library, 225 Cedar St., San Diego, CA 92101-3090 Law Chula Vista Public Library, 365 F Street, Chula Vista, CA 91910 Contra Costa County Library, Documents Section, 1750 Oak Park Boulevard, Pleasant Hill, CA 94523-4497 Court of Appeal Library, Second Appellate District, 300 S. Spring St., Los Angeles, CA Law 90013 El Centro Public Library, 1140 N. Imperial Ave., El Centro, CA 92243 Fremont Main Library, 2400 Stevenson Blvd., Fremont, CA 94538-2326 Garden Grove Regional Branch, Orange County Public Library, 11200 Stanford Avenue, Garden Grove, CA 92840 Golden Gate University Law Library, 536 Mission St., San Francisco, CA 94105 Law Hastings College of the Law Library, 200 McAllister St., San Francisco, CA 94102 Law

Honnold/Mudd Library, Government Publications Dept., Claremont Colleges, 800 N. Dartmouth Ave., Claremont, CA 91711-3907 Humboldt County Library, Public Services Division, 1313 Third Street, Eureka, CA 95501-0533 Humboldt State University, Government Documents Section, 1 Harpst Street, Arcata, CA 95521 Inglewood Public Library, 101 West Manchester Boulevard, Inglewood, CA 90301-1771

- Law Kern County Law Library, 1415 Truxtun, Room 301, Bakersfield, CA 93301 Kern County Library, California Documents Dept., 701 Truxtun Avenue, Bakersfield, CA 93301-4517
- Law Kings County Law Library, 1400 West Lacey Boulevard, Hanford, CA 93230 Long Beach Public Library, 101 Pacific Avenue, Long Beach, CA 90822-1097
- Law Los Angeles County Law Library, 301 W. First St., Los Angeles, CA 90012-3100 County of Los Angeles Public Library, Lancaster Library, 601 W. Lancaster Blvd., Lancaster, CA 93534

County of Los Angeles Public Library, Norwalk Library, 12350 Imperial Highway, Norwalk, CA 90650

Law McGeorge School of Law, Library, Documents Dept., 3282 Fifth Ave., Sacramento, CA 95817 Monterey County Free Libraries, Seaside Branch Library, 550 Harcourt Avenue, Seaside, CA 93955

Napa City-County Library, 580 Coombs Street, Napa, CA 94559-3340 Oakland Public Library, 125 Fourteenth St., Oakland, CA 94612

Law Orange County Public Law Library, 515 N. Flower, Santa Ana, CA 92703-2354 Pasadena Public Library, 285 East Walnut Street, Pasadena, CA 91101-1556 Redding Library, Attn. Government Documents, 1100 Parkview Ave., Redding, CA 96001

Redwood City Public Library, Documents, 1044 Middlefield Road, Redwood City, CA 94063-1868

Richmond Public Library, Government Documents Dept., 325 Civic Center Plaza, Richmond, CA 94804

- Law Riverside County Law Library, 3989 Lemon St., Riverside, CA 92501-4203
- Law Sacramento County Public Law Library, 609 Ninth St., Sacramento, CA 95814 Sacramento Public Library, Central Library-Reference Department, 828 I Street, Sacramento, CA 95814-2508
- Law San Bernardino County Law Library, 402 North "D" Street, San Bernardino, CA 92401 San Bernardino Public Library, Documents, 555 W. Sixth St., San Bernardino, CA 92410 San Bernardino Valley College Library, 701 South Mt. Vernon Avenue, San Bernardino, CA 92410
- Law San Diego County Law Library, 1105 Front St., San Diego, CA 92101-3999
   San Francisco State University, Government Publications Dept., J. Paul Leonard Library, 1630
   Holloway Ave., San Francisco 94132-4030
- Law San Joaquin County Law Library, Kress Legal Center, 1st floor, 20 N. Sutter St., Stockton, CA 95202

San Luis Obispo City-County Library, P.O. Box 8107, San Luis Obispo, CA 93403

- Law San Luis Obispo County Law Library, 1050 Monterey St., Room 125, San Luis Obispo, CA 93408
- Law San Mateo County Law Library, 710 Hamilton St., Redwood City, CA 94063 San Mateo Public Library, 55 West Third Avenue, San Mateo, CA 94402-1592
- Law Santa Barbara County Law Library, Courthouse, 1100 Anacapa St., Second Floor, Santa Barbara, CA 93101

Santa Barbara Public Library, P.O. Box 1019, Santa Barbara, CA 93102-1019

- Law Santa Clara County Law Library, 360 N. First St., San Jose, CA 95113-1004 Santa Clara University, Government Documents Dept., Michel Orradre Library, 500 El Camino Real, Santa Clara, CA 95053-0500
- Law Santa Cruz County Law Library, 701 Ocean St., Rm. 070, Santa Cruz, CA 95060 Santa Cruz Public Library, Documents Section, 224 Church Street, Santa Cruz, CA 95060 Santa Maria Public Library, Reference Dept., 421 S. McClelland, Santa Maria, CA 93454 Santa Monica Public Library, 601 Santa Monica Blvd., Santa Monica, CA 90401
- Law Sonoma County Law Library, 2604 Ventura Ave., Santa Rosa, CA 95403 Sonoma County Library, 211 E Street, Santa Rosa, CA 95404
- Law Southwestern University School of Law Library, Government Documents, 3050 Wilshire Blvd., Los Angeles, CA 90010-1106
- Law Stanford University, Law Library, 559 Nathan Abbott Way, Stanford, CA 94305-8612 Stanislaus County Free Library, 1500 I Street, Modesto, CA 95354-1166 Stockton-San Joaquin County Public Library, 605 North El Dorado Street, Stockton, CA 95202

Thousand Oaks Library, Technical Services Dept., 2331 Borchard Rd., Newbury Park, CA 91320

- Law University of California, Berkeley, Law Library, Boalt Hall, Berkeley, CA 94720-7210
- Law Library Documents Dept., University of California, 400 Mrak Hall Drive, Davis, CA 95616-5203

University of California, Irvine Libraries, Government Information Department, Building 520, Ayala Science Library Receiving Dock, Irvine, CA 92697

Law University of California, Los Angeles, Law Library, 1106 Law Building., 405 Hilgard Ave.,Los Angeles, CA 90095-1458

University of California, Riverside, Rivera Library, Government Publications, P.O. Box 5900, Riverside, CA 92517-5900

University of California, Santa Cruz, University Library, Government Publications, 1156 High Street, Santa Cruz, CA 95064

- Law University of La Verne College of Law Library, 320 East D St., Ontario, CA 91764
- Law University of San Diego, School of Law Library, 5998 Alcala Park, San Diego, CA 92110-2492
- Law University of San Francisco, Zies Law Library, Kendrick Hall, 2130 Fulton St., San Francisco, CA 94117
- Law University of Southern California, Law Library, 699 Exposition Blvd., Los Angeles, CA 90089-0072

University of Southern California, Government Documents, Von Kleinsmid Library, 3518 Trousdale Parkway, Los Angeles, CA 90089-0048

- Law Ventura County Law Library, Courthouse, 800 S. Victoria Ave., Ventura, CA 93009-2020
- Law Whittier College School of Law, Library, 3333 Harbor Blvd., Costa Mesa, CA 92626

# ATTACHMENT C

### State Agencies

A List of State Agencies will be sent on or before July 1, 2016 under separate cover.

Excellent. Thanks Mia.

Mark Johnson International Code Council Western Regional Office 3060 Saturn Street, Suite 100 Brea, California 92821 Phone: (888) 422-7233, extension 3248 Cell Phone: (562) 331-4608

From: Marvelli, Mia@DGS [mailto:Mia.Marvelli@dgs.ca.gov] Sent: Monday, April 04, 2016 8:16 AM To: Mark Johnson Cc: de la Motte, Leann@DGS; Biedermann, Cynthia@DGS; Day, Kevin@DGS Subject: RE: CA Contracts

Thank you Mark. Much appreciated. CBSC will follow up with DGS this week to get these finalized.

Mia Marvelli, Executive Director California Building Standards Commission www.BSC.ca.gov 916.263.0916

From: Mark Johnson[mailto:mjohnson@icc-es.org] Sent: Monday, April 04, 2016 8:04 AM To: Marvelli, Mia@DGS Subject: CA Contracts

Mia,

I wanted to follow up and let you know the contracts were submitted with ICC edits last Friday. Let me know if you have any questions.

Mark

Mark Johnson International Code Council Western Regional Office 3060 Saturn Street, Suite 100 Brea, California 92821 Phone: (888) 422-7233, extension 3248 Cell Phone: (562) 331-4608

Register now for the International Code Council's 2016 Committee Action Hearings, April 17 - 27 in Louisville. Reminder - ICC Governmental Memberships must be current by March 18, to participate in the Online Governmental Consensus Vote which follows the hearingswww.iccsafe.org/EVDS.

Notice: This message is intended only for the individual or entity to which it is addressed and may be confidential and/or privileged. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify the sender by return e-mail, and delete the original message and all copies from your system. Thank you.

Register now for the International Code Council's 2016 Committee Action Hearings, April 17 - 27 in Louisville. Reminder - ICC Governmental Memberships must be current by March 18, to participate in the Online Governmental Consensus Vote which follows the hearings/www.iccsafe.org/EVDS.

Notice: This message is intended only for the individual or entity to which it is addressed and may be confidential and/or privileged. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify the sender by return e-mail, and delete the original message and all copies from your system. Thank you.

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	Page 1
From:	Biedermann, <cynthia@dgs></cynthia@dgs>
To:	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
Date:	4/1/2016 1:57:16 PM
Subject:	ICC Contracts

FYI- Casey with ICC called to say that they returned the contracts to Bruce Betts. They have a few questions for him but he's out until Monday. According to Casey, Mark made a few changes but nothing that will affect the integrity of the contracts.

С

#### CYNTHIA BIEDERMANN | ASSOCIATE GOVERNMENTAL PROGRAM ANALYST | CALIFORNIA BUILDING STANDARDS COMMISSION | 916.263.1330

#### NEW YEAR, NEW CODE!

Publication Date: July 1, 2016 Effective Date: January 1, 2017

Page 1

From:	"de la Motte," <leann@dgs></leann@dgs>
To:	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
	"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov>
	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
Date:	3/30/2016 8:25:30 AM
Subject:	RE: NFPA & IAPMO Agreements

I believe Bruce has training from 9:00-5:00 so it probably won't go out until Friday or Monday. (If we don't get back to him before 9:00)

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Marvelli, Mia@DGS Sent: Wednesday, March 30, 2016 8:23 AM To: de la Motte, Leann@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: RE: NFPA & IAPMO Agreements

Leann, can this wait until after 11:00? I'm prepping for a meeting with Secretary.

Mia Marvelli, Executive Director *California Building Standards Commission* <u>www.BSC.ca.gov</u> 916.263.0916 From: de la Motte, Leann@DGS Sent: Wednesday, March 30, 2016 8:21 AM To: Marvelli, Mia@DGS; Biedermann, Cynthia@DGS; Benny, Katrina@DGS Subject: FW: NFPA & IAPMO Agreements

FYI: Bruce has the contracts ready to go. We just need to decide on the TERM so he can send them out to the Publishers.

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Wednesday, March 30, 2016 7:55 AM To: de la Motte, Leann@DGS Subject: NFPA & IAPMO Agreements

Good morning Leann,

As discussed on our conference call Monday, I have attached the final versions of the NFPA and IAPMO agreements. Please confirm that it is ok to send these agreements to the contractors. Also, please verify that the contractor's contract administrator listed on page 2 of the agreements is the correct POC. This is who I will be contacting for review and gathering of the required documents. Thanks!

#### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services » Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 <u>http://inside.dgs.ca.gov/ad/obas.aspx</u>

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

	Page 7	
From:	"de la Motte," <leann@dgs></leann@dgs>	
To:	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>	
	<u>"Biedermann, Cynthia@DGS" <cynthia.biedermann@dgs.ca.gov></cynthia.biedermann@dgs.ca.gov></u>	
	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>	
Date:	3/30/2016 8:20:41 AM	
Subject:	FW: NFPA & IAPMO Agreements	
Attachments:	NFPA 2016 PT 3 SOW - OBAS.docx	
	IAPMO 2016 SOW - OBAS.docx	

FYI: Bruce has the contracts ready to go. We just need to decide on the TERM so he can send them out to the Publishers.

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Wednesday, March 30, 2016 7:55 AM To: de la Motte, Leann@DGS Subject: NFPA & IAPMO Agreements

#### Good morning Leann,

As discussed on our conference call Monday, I have attached the final versions of the NFPA and IAPMO agreements. Please confirm that it is ok to send these agreements to the contractors. Also, please verify that the contractor's contract administrator listed on page 2 of the agreements is the correct POC. This is who I will be contacting for review and gathering of the required documents. Thanks!

#### Bruce Betts

Acquisition Analyst Contract Services Section (*Team 3*) Office of Business and Acquisition Services » Administration Division Department of General Services » State of California 707 3rd Street, 2nd Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

# EXHIBIT A

# SCOPE OF WORK

The California Mechanical Code (California Code of Regulations, Title 24, Part 4) and the California Plumbing Code (California Code of Regulations, Title 24, Part 5) are based on two model codes, the Uniform Mechanical Code and the Uniform Plumbing Code, respectively. The California codes include both provisions of the model codes and amendments to those codes adopted by California. The International Association of Building and Mechanical Officials (IAPMO) owns the copyrights to these model codes; therefore, IAPMO must be the publisher of these California codes, if they are each going to contain model code provisions and California amendments.

# <u>1.</u> PROJECT SUMMARY

Pursuant to Health and Safety Code Sections 18928.1 and 18942, the California Building Standards Commission (CBSC) and International Association of Building and Mechanical Officials (IAPMO) will coordinate the publication of the 2016 California Mechanical Code (Title 24, Part 4) and the California Plumbing Code (Title 24, Part 5).

- A. **WHEREAS,** IAPMO is the sole owner of the copyright to the 2015 Uniform Mechanical Code and the 2015 Uniform Plumbing Code (hereinafter referred to as "UMC/UPC "); and
- B. WHEREAS, CBSC periodically adopts, approves and codifies plumbing and mechanical code standards and amendments, which may be based on the Uniform Mechanical Code and the Uniform Plumbing Code and publishes them as the California Mechanical Code Title 24, Part 4, and California Plumbing Code Title 24, Part 5, (hereinafter referred to as the "CMC/CPC"), California Code of Regulations (CCR); and
- C. WHEREAS, CBSC desires to publish a 2016 edition of the CMC/CPC (hereinafter referred to as the "2016 CMC/CPC") on or before July 1, 2016 or at a later date as set by CBSC containing portions of the 2015 UMC/UPC that have been approved and codified by CBSC, including those portions of the 2015 UMC/UPC that have been modified by CBSC, as well containing California amendments thereto; and
- D. WHEREAS, IAPMO and CBSC desire to publish the 2016 CMC/CPC in a pre -assembled loose-leaf and fully integrated format on or before July 1, 2016; and
- E. **WHEREAS**, IAPMO and CBSC desire to make necessary for annual amendments to the 2016 CMC/CPC (hereinafter referred to as the "2016 CMC/CPC Supplements"), errata supplements, and emergency supplements; and

# <u>2.</u> <u>TERM</u>

A. The term of this agreement is May 1, 2016 through December 31, 2019 (44 Months).

# 3. PROJECT RESPRESENTATIVES

A. The Project Representatives during the term of this agreement will be:

CBSC Contract Administrator Kevin Day 2525 Natomas Park Drive #130 Sacramento, CA 95833 (916) 263-0355 <u>kevin.day@dgs.ca.gov</u> Contractor's Contract Administrator International Association of Plumbing and Mechanical Officials 5001 East Philadelphia Street Ontario, CA 91767-2816 Attn: G.P. Russ Chaney, Ex. Director (909) 472-4100 Russ.Chaney@iapmo.org

B. Any changes in Contractor's project representatives must be reported to the BSC Contract Administrator immediately, in writing.

# 4. PERFORMANCE DETAILS

### Grant of License for CMC/CPC.

- A. <u>By IAPMO.</u> Subject to the limitation of section 1 below, IAPMO, hereby grants CBSC a non-exclusive, non-transferable sublicense to use and copy all or any portion of the 2015 UPC/UMC, supplements and revisions to the 2015 UMC/UPC (hereinafter referred to as "Licensed Property"), solely to create and publish the 2016 CMC/CPC, as well as the 2016 CMC/CPC supplements, errata and emergency supplements (hereinafter referred to collectively as the "Code"). The grant of the foregoing sublicense is limited to the right to:
  - 1. Publish, print, reprint and distribute all or any pages of the Licensed Property that have been modified by CBSC upon the following terms and conditions: In the event that IAPMO breaches its obligation or terminates this Agreement, or otherwise discontinues to publish or fail to make available for sale the Code, then CBSC may cause to print and publish all or any pages of the Code that have been modified by CBSC for its use and for sale to the public until publishing thereof is recommenced by IAPMO or until one hundred and eighty (180) days after publication of the next edition of the CMC/CPC, whichever occurs first, without compensating IAPMO. If at any time prior to the discontinuation of publication by IAPMO the Code becomes temporarily out of print or unavailable so that copies thereof cannot be furnished within thirty (30) days after they are requested for purchase by any person or entity, the CBSC may without being in violation of the non-transferable license provision hereof, cause to print and publish any of the unavailable documents for its own use and for sale to the public in quantities to meet immediate demand, until IAPMO recommences furnishing copies or until one hundred and eighty (180) days after publication of the next edition of the CMC/CPC, whichever occurs first, without compensating IAPMO.

- 2. Make such changes to the Licensed Property as CBSC determines are required for the Code.
- 3. Affix copyright notices to the Code as directed by CBSC.
- 4. Post all supplements which may contain material copyrighted by IAPMO on the IAPMO website in "read-only" format.
- B. **<u>By CBSC.</u>** CBSC hereby grants to IAPMO an exclusive, worldwide license to copy, print, publish, distribute and sell the 2016 CMC/CPC and all 2016 CMC/CPC Supplements in accordance with the terms of this Agreement.

# 5. SERVICE DETAILS

- A. <u>**Technical Services.**</u> Immediately after the execution of this Agreement, IAPMO shall commence the following services:
  - 1. IAPMO shall, in accordance with the specific formatting requirements and editorial and publication timetable more fully set forth in Attachment A hereto, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public, copies of the Code in a loose-leaf format. The Code shall be printed and published in a fully integrated format that incorporates, into a fully merged, contiguous and pre-assembled document, all portions of the 2014 UMC/UPC approved and codified by the CBSC, those portions of the 2014 UMC/UPC that have been modified by the CBSC, and all California amendments
  - 2. IAPMO shall print and publish all 2016 CMC/CPC Supplements on a regular basis so that all customers have an accurate and up-to-date Code, provided, however, that IAPMO shall not be required to print, publish and distribute special supplements for minor or inconsequential errors or changes. Special supplements for minor or inconsequential errors and/or changes shall be posted on IAPMO's website and shall be provided to CBSC for posting on CBSC's website in a "read-only" format.
  - 3. IAPMO shall also provide CBSC with three (3) copies of the final text corresponding to the printed version of the 2014 Code, and three (3) copies of all 2014 supplements, supplements in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes.

# 6. WORK DETAILS

A. IAPMO shall, in accordance with the specific formatting requirements and editorial and publication timetable set forth herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public on or before July 1, 2016 or at a later date as set by the CBSC, copies of the 2016 CMC/CPC in a loose -leaf format

wherein all portions of the Licensed Property that have been approved and codified by CBSC, including those portions of the Licensed Property that have been modified by CBSC, as well as California amendments thereto, are fully merged and integrated into a contiguous and pre-assembled form and not provided as separate sheets. To meet the July 1, 2016 publication date, CBSC must deliver to IAPMO the proofed and approved final text on or before May 15, 2016. In the event CBSC fails to deliver to IAPMO the proofed and approved final text on or before May 15, 2016, IAPMO shall be provided with a period of 35 days from receipt of delivery of said final text from CBSC in which to print, publish and make available for sale the 2016 CMC/CPC.

- B. All those portions of the Licensed Property, be it chapters, sections, provisions, tables, appendices, references, etc., which CBSC or any authorized agency does not adopt as a part of Title 24 shall not be printed in the 2016 CMC/CPC. In the event IAPMO insists on including such non-adopted language, it shall be highlighted and clearly noted as not adopted by the State on each page on which it appears.
- C. All Errata and/or Supplements to the 2015 Licensed Property as originally adopted by CBSC as the 2016 CMC/CPC which are thereafter generated by IAPMO shall not be printed in the 2016 CMC/CPC unless expressly approved by CBSC.
- D. CBSC shall submit to IAPMO its modifications to the 2015 Licensed Property. Within a reasonable time after the receipt thereof from CBSC, IAPMO shall furnish to CBSC a copy of the initial draft of the 2016 CMC/CPC for review by CBSC.
- E. WITHIN a reasonable time after receipt of the initial draft of the 2016 CMC/CPC from IAPMO, CBSC shall submit its revisions to the initial draft to IAPMO. Within a reasonable time after receipt of the CBSC's revisions to the initial draft, IAPMO shall make any further modifications and submit to CBSC a second draft.
- F. WITHIN a reasonable time after receipt of the second draft of the 2016 CMC/CPC from IAPMO, CBSC shall submit its revisions to the second draft to IAPMO. Within a reasonable time after receipt of CBSC's revisions to the second draft, IAPMO shall make any further modifications and submit to CBSC a final draft.
- G. IAPMO shall thereafter publish and make available for sale to the State of California, and to the general public, copies of the 2016 CMC/CPC. If CBSC promptly delivers to IAPMO the submissions from CBSC as set forth in paragraphs above, IAPMO shall ensure that the 2016 CMC/CPC is available for sale within thirty-five (35) days of receipt from the CBSC of fully proofed and approved final text in either electronic format or camera ready copy.
- H. Time is of the essence:
  - 1. Because the 2016 Code may have significant effects on the safety of the State 's built environment and its citizens, time is of the essence in performing the herein duties.
  - 2. The July 1, 2016, date to publish the 2016 Code is of critical importance. Publication is defined as the documents being printed and available for delivery.
  - 3. CBSC and IAPMO shall commit staff and resources to expedite their diligent work and cooperate toward the within Publication schedules and goals.

- 4. Due to the variations of their size and complexity, it is impossible to herein establish schedules for Publication of any Supplements or Errata. Therefore, when CBSC submits any Supplements or Errata to IAPMO, a Publication Schedule of milestone dates, including a Publication and Effective date, shall be mutually determined. That Publication Schedule, due to possible coordination with other contractors, is of critical importance. IAPMO shall notify CBSC within 15 calendar days following creation of the Publication Schedule if they, for valid reason(s) given, cannot meet the Schedule and include a proposed Schedule which they can meet. CBSC must concur in the acceptance of any new Schedule. Without such notification, or concurrence with a new Publication Schedule, CBSC shall expect IAPMO to abide by the proposed Schedule.
- 5. Neither IAPMO nor CBSC shall be held liable or responsible for any times or dates set out in this publication agreement if such failure to meet that time or date comes about as a result of any strike, unauthorized work stoppage, civil unrest, legal requirement or impediment, storm, fire, flood or other act of God for which the IAPMO or the CBSC is not otherwise responsible.
- I. Formatting, layout and other requirements not contained herein for publication of the 2016 Code will be set forth and/or confirmed in subsequent correspondence between the Parties. Said formatting, layout and other requirements include, but are not limited to, the following:
  - 1. Code
    - a. A "pre-assembled code" numbered consecutively, printed on 20 lb. or 24 lb. stock (depending on page count), 8 or higher brightness, 8-1/2" by 11" white paper in a seven-hole loose-leaf format configuration the same configuration as that used for the 2013 CMC/CPC.
    - b. The black body copy font shall be 10 pt. Times Roman with bold section numbers. Headings shall be 10 pt. Helvetica bold.
    - c. Each binder volume that has a ring metal greater than 2" shall be equipped with front and back heavy-duty page lifters and, when containing more than one Part of Title 24, a heavy-weight reinforced tab divider shall be included.
    - d. Volume binders shall conform to the design and colors selected by CBSC and sized appropriately for the contents which shall be shrink wrapped to ensure completeness.
    - e. IAPMO will integrate existing 2015 Licensed Property language with California amendments to be reviewed and approved by CBSC. First review and schedule will be agreed upon by the parties.
    - f. IAPMO and CBSC will work out a schedule for completion of the code to ensure publication and its availability on or before July 1, 2016. Attachment C, California Triennial Code Adoption Cycle Schedule will be used as the basis for developing this schedule.
  - 2. Index will remain as it appears in the 2015 Licensed Property with California amendments as necessary.
  - 3. Italics shall not be used to identify defined terms.

- 4. The front of each volume shall contain the following documents. This information will be provided to IAPMO by CBSC no later than April 1, 2016:
  - a. Cover Art
  - b. Copyright Notice
  - c. Preface
  - d. Acknowledgement
  - e. California Agency Information Contact List
  - f. How to Distinguish Between Model Code Language and California Amendments
- 5. California language will be in italics or other form as specified by codification.
- 6. All chapters will begin on a right hand page.
- 7. Running heads will read "2016 California Mechanical Code" and "2016 California Plumbing Code" respectively.
- 8. State-agency acronyms will be used throughout the 2016 Code.
- 9. IAPMO will submit binder specifications for approval by CBSC.
- 10. The Matrix Adoption Table will be in the front of each correlating chapter of the 2016 Code and available as a supplement so it can be inserted in the front of each 2016 Code.
- 11. IAPMO shall supply a postage-paid return card for each purchaser to apply for complimentary automatic receipt of all supplements and errata sheets. IAPMO will maintain the mailing list, and print, mail and verify delivery of supplements and errata sheets.
- 12. A card may be placed in the 2016 Code advertising other IAPMO publications.
- J. Supplements and Errata
  - 1. Supplements to the 2016 code shall be printed on 20 lb. light blue paper, seven-hole drilled and be individually shrink-wrapped.
  - 2. Supplements shall be printed to insert by page substitution and contain a publication, effective date, and 'Blue' on the bottom of each page.
  - 3. Regular supplements shall be published as needed.
  - 4. Emergency supplements shall be published on an "as needed basis."
  - 5. Errata Sheets shall be printed on 20 lb. buff paper, seven-hole drilled and be individually shrink-wrapped.
  - 6. Errata Sheets shall be printed to insert by page substitution and contain a publication, effective date and 'Buff' on the bottom of each page.
  - 7. The number of the supplements shall be the number of the page where the section and paragraph resides followed by .1, .2, .3 etc.

- K. Distribution
  - 1. IAPMO shall establish a distribution method in consultation with the CBSC.
  - 2. IAPMO shall distribute a minimum of 200 complimentary copies of the 2016 Code as directed by CBSC.
  - 3. IAPMO may wholesale the 2016 Code to other resellers in California.
  - 4. IAPMO reserves the right to include advertising material of related support products/services in distribution of the 2016 Code, provided said material is not a part of the official text of the California State Building Standards Code. Any advertising would be clearly denoted and included as a separate insert.

# <u>EXHIBIT B</u>

### PAYMENT AND COMPENSATION PROVISIONS

- A. <u>Compensation</u>. In consideration of the opportunity provided to IAPMO by CBSC to make sales of the 2016 Code, no fees, royalties or other payments of any nature shall be required to be paid by CBSC to IAPMO with respect to this Agreement or the licenses granted hereunder.
  - B. <u>Sale of Code.</u> IAPMO agrees to furnish the 2016 Code in loose-leaf format on the following basis:
- IAPMO shall make the 2016 Code, described in Exhibit A Paragraphs 5 A 1. and 5 A 2., available to the State, local governmental agencies, and the general public at a price established by IAPMO that will entitle the purchaser to receive all 2016 CMC/CPC Supplements, supplements to the 2016 CMC/CPC in response to emergency regulations, and all related Errata sheets from IAPMO at no additional charge.
- Any bookstore or other vendor may purchase the 2016 Code from IAPMO for resale and may resell the same at any price which will entitle the purchaser to receive all subsequent 2016 CMC/CPC Supplements, supplements to the 2016 CMC/CPC in response to emergency regulations, and all related errata sheets from IAPMO at no additional charge.
- 3. IAPMO shall provide to CBSC the electronic files of the 2016 Code including all subsequent Supplements and Errata in order that the CBSC may make the 2016 Code available internally on the CBSC intranet or internal network for use solely by CBSC staff. If IAPMO develops an enhanced CD with additional features, such as Boolean search capabilities, annotation feature, global search feature or hyperlinking capabilities, IAPMO shall also make such enhanced CD available to the CBSC for internal use as provided in this subparagraph.

- 4. IAPMO may include references to IAPMO-ES Evaluation Reports and other supplemental support material in any electronic version of the 2016 Code (separate from the actual 2016 Code language). Necessary language will be added to differentiate IAPMO materials from the 2016 Code. CBSC reserves the right to review such materials in advance and shall be provided with such materials at least thirty (30) days prior to publication.
- 5. IAPMO may sell the 2016 Code in any formats it so chooses, including but not limited to: print, eBooks, and subscription service.
- 6. IAPMO will send the State a quarterly report, which will itemize all orders processed from the previous quarter. The report will include business name, number of copies ordered, amount charged, date order received and date order shipped. If no orders are processed, the Contractor will send a report indicating no orders were processed. Reports are due by the 15<sup>th</sup> of the month following the end of a quarter. Reports must be mailed to:

CBSC Contract Administrator		
Kevin Day		
2525 Natomas Park Drive #130		
Sacramento, CA 95833		

- C. <u>State Code Distribution.</u> The Library Distribution Act (LDA) established a system to distribute California State agency publications to libraries in order to make such publications widely available to residents of the State of California. During the term of this Agreement, IAPMO shall deliver complimentary copies of the 2016 CMC/CPC, and automatically, any 2016 CMC/CPC Supplements, supplements to the 2016 CMC/CPC in response to emergency regulations, and all related errata sheets, directly to the Depository Libraries listed in Attachment A hereto in the quantities set forth therein, and one copy each to the Selective Depository Libraries listed in Attachment B hereto and copies to the State agencies as directed by CBSC hereto in the quantities specified therein. IAPMO shall obtain and retain proof of delivery of all materials distributed in accordance with this provision and make copies of such proof of delivery available to CBSC upon written request until one year after termination of this Agreement or be willing to ship additional complimentary copies upon request.
  - IAPMO shall deliver up to 30 complimentary copies of the 2016 Code to CBSC and then deliver one complimentary copy for each new Commissioner appointed after June 1, 2016 to CBSC, in addition to the delivery of the original 30 copies until one hundred and eighty (180) days after the Publication of the next edition of 2016 Code. CBSC shall provide IAPMO with names and delivery addresses of the current and any new commissioners as well as notifying IAPMO of any terminations.
  - IAPMO shall deliver up to 200 complimentary copies of the 2016 Code, together with any 2016 CMC/CPC Supplements, directly to the following state agencies in the quantities specified by CBSC.

- D. Subscription Service. In order to ensure that the 2016 CMC/CPC purchased from IAPMO or any bookstore or other vendor is accurate and up to date, beginning from the time of the initial publication, IAPMO shall make available to all purchasers of the 2016 CMC/CPC, all 2016 CMC/CPC Supplements, all supplements to the 2016 CMC/CPC in response to emergency regulations, and all related errata sheets, at no additional cost. IAPMO shall provide all purchasers with a subscription registration card which enables them to automatically receive such updates to the 2016 CMC/CPC in either print or electronic format, whichever the purchaser chooses. Electronic versions shall clearly distinguish and identify errata and supplement sheets with footer notes on each page as follows: '[Effective date], Supplement, Blue', '[Effective date], Errata, Buff'. Subscription to this service shall result in the subscriber being provided with an entire, up-to-date 2016 Code.
- E. **Funding.** IAPMO understands and agrees that under certain provisions of California law, CBSC may not obligate itself by contract to an extent in excess of an amount appropriated therefore by the State Legislature as approved by the Governor.

#### EXHIBIT C

#### **GENERAL TERMS AND CONDITIONS**

1. <u>APPROVAL</u>: This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.

2. <u>AMENDMENT</u>: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

3. <u>ASSIGNMENT</u>: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.

4. <u>AUDIT</u>: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

5. <u>INDEMNIFICATION</u>: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or

supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.

6. <u>DISPUTES</u>: Contractor shall continue with the responsibilities under this Agreement during any dispute.

7. <u>TERMINATION FOR CAUSE</u>: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. <u>INDEPENDENT CONTRACTOR</u>: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. <u>RECYCLING CERTIFICATION</u>: The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

10. <u>NON-DISCRIMINATION CLAUSE</u>: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40 ), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

11. <u>CERTIFICATION CLAUSES</u>: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

12. <u>TIMELINESS</u>: Time is of the essence in this Agreement.

13. <u>COMPENSATION</u>: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

14. <u>GOVERNING LAW</u>: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

15. <u>ANTITRUST CLAIMS</u>: The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.

a. The Government Code Chapter on Antitrust claims contains the following definitions:

1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.

2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.

d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. <u>CHILD SUPPORT COMPLIANCE ACT</u>: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. <u>UNENFORCEABLE PROVISION</u>: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. <u>PRIORITY HIRING CONSIDERATIONS</u>: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

#### 19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS :

a. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)

b. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

#### 20. LOSS LEADER:

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

# ATTACHMENT A

### **Depository Libraries**

Under the provisions of the Library Distribution Act (Government Code sections 14905, 14906, and 14907), the libraries listed below have contracted with the Department of General Services to serve as complete or selective depositories. They agree to provide adequate facilities for the shelving and use of the publications deposited with them render reasonable service without charge to qualified patrons, and retain all publications received until authorized to dispose of them.

#### Complete Depository Libraries

One copy of each state publication as defined in Government Code section 14902 must be placed on deposit with each complete depository. In addition, provisions of the State Administrative Manual authorize those libraries marked with an asterisk in the list below to receive the number of copies recorded after the entry.

California State Archives, 1020 O Street, Sacramento, CA 95814

- California State Library, Information Resources and Government Publications, P.O. Box 942837, Sacramento, CA 94237-0001 (IMS: 900 N Street, E-29) (two copies)
- California State University, Chico, Merriam Library, Government Publications Dept., Chico, CA 95929-0295
- Fresno County Free Library, Government Publications, 2420 Mariposa St., Fresno, CA 93721-2285

Library of Congress, Anglo-American Acquisition Division, Government Documents Section, 101 Independence Ave S.E., Washington, D.C., 20540-4172

Los Angeles Public Library, Serials Division, 630 W. Fifth St., Los Angeles, CA 90071-2002

Dr. Martin Luther King Jr. Library, Government Publications, San José State University, One Washington Square, San Jose, CA 95192-0008

San Diego Public Library, Government Publications Unit, 330 Park Blvd., San Diego, CA 92101 San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division, 5500 Campanile Drive, San Diego, CA 92182-8050

- San Francisco Public Library, Government Information Center, 100 Larkin St., San Francisco, CA 94102 Green Library, Receiving, Stanford University Libraries, 557 Escondido Mall, Stanford, CA 94305
- University of California, Berkeley, Government Documents Technical Services, 250 Moffitt Library, Berkeley, CA 94720-6000
- University of California, Davis, Shields Library, Government Information and Maps Department, 100 North West Quad, Davis, CA 95616-5292
- University of California, Los Angeles, Charles E. Young Research Library A4510 Government Information, Box 951575, Los Angeles, CA 90095-1575
- University of California, San Diego, Government Documents Unit, 9500 Gilman Drive 0175P, La Jolla, CA 92093-0175

University of California, Santa Barbara, Library, Serials Receiving, Santa Barbara, CA 93106-9010

# ATTACHMENT B

# Selective Depository Libraries – Page 1 of 4

Selective depositories receive one copy of each printed publication distributed by the Office of State Publishing. They also receive publications distributed directly by issuing agencies. Special subsets of the Selective Depository Libraries are the Law Libraries. They receive one copy of each printed publication from OSP and a single copy from issuing agencies. Law library depositories differ in having special document retention requirements pursuant to section 14909 of the California Government Code. Law Libraries are indicated in this list by the term "Law" before their entry.

A.K. Smiley Public Library, 125 West Vine St., Redlands, CA 92373

- Law Bernard E. Witkin Alameda County Law Library, 125 12 St., Oakland, CA 94607-4912
  - Alhambra Public Library, 101 South First Street, Alhambra, CA 91801
    - Butte County Library, 1820 Mitchell Avenue, Oroville, CA 95966-5387
      - California Polytechnic State University, Library-Government Documents Section, 1 Grand Avenue, San Luis Obispo, CA 93407
      - California State Polytechnic University, Pomona, Library-Serials Unit, Building 15, Suite 1919, 3801 W. Temple Ave., Pomona, CA 91768-2557
      - California State University, Bakersfield, Walter Stiern Library, Library-Documents Section, 9001 Stockdale Highway, Bakersfield, CA 93311-1099
      - California State University, Dominguez Hills, Library-Government Documents, 800 E. Victoria St., Carson, CA 90747

- California State University, Fresno, Henry Madden Library, Government Documents Dept., 5200 N. Barton, M/S ML34, Fresno, CA 93740-8014
- California State University, Fullerton, Library-Documents State, P.O. Box 4150, Fullerton, CA 92834-4150
- California State University, East Bay, Library-Documents, 25800 Carlos Bee Blvd. Hayward, CA 94542
- California State University, Long Beach, Library Government Documents, 1250 Bellflower Blvd., Long Beach, CA 90840-1901
- California Štate University, Los Angeles, John F. Kennedy Library, Government Information Services, 5151 State University Dr., Los Angeles, CA 90032-8300
- California State University, Northridge, Oviatt Library Government Documents, 18111 Nordhoff St., Northridge, CA 91330-8328
- California State University, Sacramento, Library-Documents, 2000 State University Dr., East, Sacramento, CA 95819-6039
- California State University, San Bernardino, Library, 5500 University Parkway, San Bernardino, CA 92407-2397
- California State University San Marcos, Library, State Documents, 333 S. Twin Oaks Valley Road, San Marcos, CA 92096-0001
- California State University, Stanislaus, Library, Documents Dept., One University Circle, Turlock, CA 95382-0299
- California State University, Board of Trustees, Office of Governmental Affairs, CA 915 L Street, Suite 1160, Sacramento, CA 95814
- Law California Judicial Center Library, 455 Golden Gate Avenue, Room 4617, San Francisco, CA 94102

Law California Western School of Law Library, 225 Cedar St., San Diego, CA 92101-3090 Chula Vista Public Library, 365 F Street, Chula Vista, CA 91910 Contra Costa County Library, Documents Section, 1750 Oak Park Boulevard, Pleasant Hill, CA 94523-4497

- Law Court of Appeal Library, Second Appellate District, 300 S. Spring St., Los Angeles, CA 90013 El Centro Public Library, 1140 N. Imperial Ave., El Centro, CA 92243 Fremont Main Library, 2400 Stevenson Blvd., Fremont, CA 94538-2326 Garden Grove Regional Branch, Orange County Public Library, 11200 Stanford Avenue, Garden Grove, CA 92840
- Law Golden Gate University Law Library, 536 Mission St., San Francisco, CA 94105
- Law Hastings College of the Law Library, 200 McAllister St., San Francisco, CA 94102 Honnold/Mudd Library, Government Publications Dept., Claremont Colleges, 800 N. Dartmouth Ave., Claremont, CA 91711-3907 Humboldt County Library, Public Services Division, 1313 Third Street, Eureka, CA 95501- 0533

Humboldt County Library, Public Services Division, 1913 Third Street, Edreka, CA 95501-0555 Humboldt State University, Government Documents Section, 1 Harpst Street, Arcata, CA 95521 Inglewood Public Library, 101 West Manchester Boulevard, Inglewood, CA 90301-1771

- Law Kern County Law Library, 1415 Truxtun, Room 301, Bakersfield, CA 93301 Kern County Library, California Documents Dept., 701 Truxtun Avenue, Bakersfield, CA 93301-4517
- Law Kings County Law Library, 1400 West Lacey Boulevard, Hanford, CA 93230 Long Beach Public Library, 101 Pacific Avenue, Long Beach, CA 90822-1097
- Law Los Angeles County Law Library, 301 W. First St., Los Angeles, CA 90012-3100

County of Los Angeles Public Library, Lancaster Library, 601 W. Lancaster Blvd., Lancaster, CA 93534

County of Los Angeles Public Library, Norwalk Library, 12350 Imperial Highway, Norwalk,CA 90650

Law McGeorge School of Law, Library, Documents Dept., 3282 Fifth Ave., Sacramento, CA 95817 Monterey County Free Libraries, Seaside Branch Library, 550 Harcourt Avenue, Seaside, CA 93955

Napa City-County Library, 580 Coombs Street, Napa, CA 94559-3340 Oakland Public Library, 125 Fourteenth St., Oakland, CA 94612

Law Orange County Public Law Library, 515 N. Flower, Santa Ana, CA 92703-2354 Pasadena Public Library, 285 East Walnut Street, Pasadena, CA 91101-1556 Redding Library, Attn. Government Documents, 1100 Parkview Ave., Redding, CA 96001 Redwood City Public Library, Documents, 1044 Middlefield Road, Redwood City, CA 94063-1868 Richmond Public Library, Government Documents Dept., 325 Civic Center Plaza, Richmond, CA 94804

Law Riverside County Law Library, 3989 Lemon St., Riverside, CA 92501-4203

- Law Sacramento County Public Law Library, 609 Ninth St., Sacramento, CA 95814 Sacramento Public Library, Central Library-Reference Department, 828 I Street, Sacramento, CA 95814-2508
- Law San Bernardino County Law Library, 402 North "D" Street, San Bernardino, CA 92401 San Bernardino Public Library, Documents, 555 W. Sixth St., San Bernardino, CA 92410 San Bernardino Valley College Library, 701 South Mt. Vernon Avenue, San Bernardino, CA 92410
- Law San Diego County Law Library, 1105 Front St., San Diego, CA 92101-3999 San Francisco State University, Government Publications Dept., J. Paul Leonard Library, 1630 Holloway Ave., San Francisco 94132-4030
- Law San Joaquin County Law Library, Kress Legal Center, 1st floor, 20 N. Sutter St., Stockton, CA 95202

San Luis Obispo City-County Library, P.O. Box 8107, San Luis Obispo, CA 93403

- Law San Luis Obispo County Law Library, 1050 Monterey St., Room 125, San Luis Obispo, CA 93408
- Law San Mateo County Law Library, 710 Hamilton St., Redwood City, CA 94063 San Mateo Public Library, 55 West Third Avenue, San Mateo, CA 94402-1592
- Law Santa Barbara County Law Library, Courthouse, 1100 Anacapa St., Second Floor, Santa Barbara, CA 93101

Santa Barbara Public Library, P.O. Box 1019, Santa Barbara, CA 93102-1019

- Law Santa Clara County Law Library, 360 N. First St., San Jose, CA 95113-1004 Santa Clara University, Government Documents Dept., Michel Orradre Library, 500 El Camino Real, Santa Clara, CA 95053-0500
- Law Santa Cruz County Law Library, 701 Ocean St., Rm. 070, Santa Cruz, CA 95060 Santa Cruz Public Library, Documents Section, 224 Church Street, Santa Cruz, CA 95060 Santa Maria Public Library, Reference Dept., 421 S. McClelland, Santa Maria, CA 93454 Santa Monica Public Library, 601 Santa Monica Blvd., Santa Monica, CA 90401
- Law Sonoma County Law Library, 2604 Ventura Ave., Santa Rosa, CA 95403 Sonoma County Library, 211 E Street, Santa Rosa, CA 95404
- **Law** Southwestern University School of Law Library, Government Documents, 3050 Wilshire Blvd., Los Angeles, CA 90010-1106
- Law Stanford University, Law Library, 559 Nathan Abbott Way, Stanford, CA 94305-8612

Stanislaus County Free Library, 1500 I Street, Modesto, CA 95354-1166 Stockton-San Joaquin County Public Library, 605 North El Dorado Street, Stockton, CA 95202 Thousand Oaks Library, Technical Services Dept., 2331 Borchard Rd., Newbury Park, CA 91320

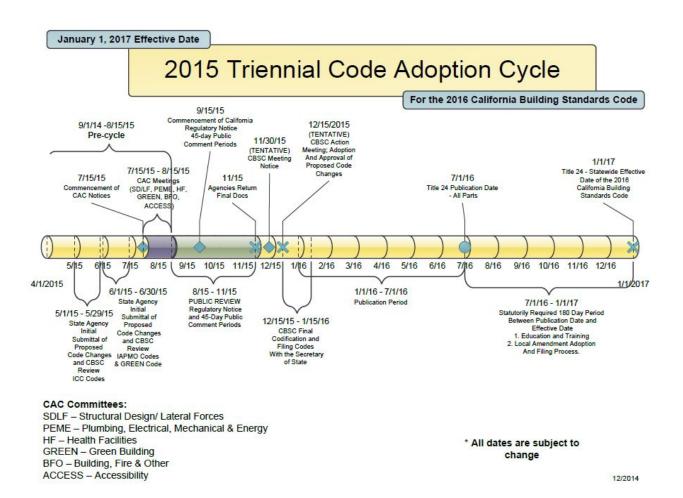
- Law University of California, Berkeley, Law Library, Boalt Hall, Berkeley, CA 94720-7210
- Law Library Documents Dept., University of California, 400 Mrak Hall Drive, Davis, CA 95616-5203

University of California, Irvine Libraries, Government Information Department, Building 520, Ayala Science Library Receiving Dock, Irvine, CA 92697

- Law University of California, Los Angeles, Law Library, 1106 Law Building., 405 Hilgard Ave.,Los Angeles, CA 90095-1458
  - University of California, Riverside, Rivera Library, Government Publications, P.O. Box 5900, Riverside, CA 92517-5900
  - University of California, Santa Cruz, University Library, Government Publications, 1156 High Street, Santa Cruz, CA 95064
- Law University of La Verne College of Law Library, 320 East D St., Ontario, CA 91764
- Law University of San Diego, School of Law Library, 5998 Alcala Park, San Diego, CA 92110-2492
- Law University of San Francisco, Zies Law Library, Kendrick Hall, 2130 Fulton St., San Francisco, CA 94117
- Law University of Southern California, Law Library, 699 Exposition Blvd., Los Angeles, CA 90089-0072 University of Southern California, Government Documents, Von Kleinsmid Library, 3518 Trousdale Parkway, Los Angeles, CA 90089-0048
- Law Ventura County Law Library, Courthouse, 800 S. Victoria Ave., Ventura, CA 93009-2020
- Law Whittier College School of Law, Library, 3333 Harbor Blvd., Costa Mesa, CA 92626

# ATTACHMENT C

## 2016 Intervening Code Adoption Cycle Timeline – Page 1 of 1



# EXHIBIT A

# **SCOPE OF WORK**

The California Electrical Code (California Code of Regulations, Title 24, Part 3) is based on the National Electrical Code. The California code includes both provisions of the model code and amendments to the code adopted by California. The National Fire Protection Association, Inc. (NFPA) owns the copyright to the National Electrical Code and the acronym (NEC).

# 1. PROJECT SUMMARY

Pursuant to Health and Safety Code Sections 18928.1 and 18942, the California Building Standards Commission (CBSC) and National Fire Protection Association (NFPA) will coordinate the publication of the 2016 California Electrical Code (Title 24, Part 3)

- A. WHEREAS, NFPA is the sole owner of the copyright to the NFPA 70® National Electrical Code® 2014 Edition, as well as the trademark to the title National Electrical Code ® and the acronym NEC® (hereinafter referred to as "2014 NEC"); and
- B. WHEREAS, CBSC periodically adopts, approves and codifies electrical code standards and amendments, which may be based on an existing model electrical code, and publishes them as the California Electrical Code, California Code of Regulations, Title 24, Part 3 (hereinafter referred to as the "CEC"); and
- C. WHEREAS, CBSC desires to publish a 2016 edition of the CEC containing those portions of the 2014 NEC, first printing as adopted by CBSC, that have been approved and codified by CBSC, those portions of the 2014 NEC that have been modified by CBSC, and the California amendments thereto (hereinafter referred to as the "2016 CEC"); and
- D. WHEREAS, NFPA and CBSC desire to publish the 2016 CEC in a pre-assembled loose-leaf and fully integrated format on or before July 1, 2016; and
- E. **WHEREAS**, NFPA and CBSC desire to make arrangements for annual amendments to the 2016 CEC (hereinafter referred to as the "2016 CEC Supplements"), errata supplements, and emergency supplements; and

# <u>2.</u> <u>TERM</u>

A. The term of this agreement is May 1, 2016 through December 31, 2019 (44 Months).

# 3. PROJECT RESPRESENTATIVES

A. The Project Representatives during the term of this agreement will be:

CBSC Contract Administrator Kevin Day 2525 Natomas Park Drive #130 Sacramento, CA 95833 (916) 263-0355 <u>kevin.day@dgs.ca.gov</u>

Contractor's Contract Administrator
Debra Rose, NFPA
1 Batterymarch Park
Quincy, MA 02269
(617) 984-7595
drose@nfpa.org

B. Any changes in Contractor's project representatives must be reported to the CBSC Contract Administrator immediately, in writing.

# 4. PERFORMANCE DETAILS

## Grant of License for CEC.

- A. <u>By NFPA.</u> Subject to the limitation of section 1 below, NFPA, hereby grants CBSC a non-exclusive, non-transferable sublicense to use and copy all or any portion of the 2014 NEC, NFPA supplements and revisions to the 2014 NEC (hereinafter referred to as "Licensed Property"), solely to create and publish the 2016 CEC, as well as the 2016 CEC supplements, errata and emergency supplements (hereinafter referred to collectively as the "Code"). The grant of the foregoing sublicense is limited to the right to:
  - 1. Publish, print, reprint and distribute all or any pages of the Licensed Property that have been modified by CBSC upon the following terms and conditions: In the event that NFPA breaches its obligation or terminates this Agreement, or otherwise discontinues to publish or fails to make available for sale the Code, then CBSC may cause to print and publish all or any pages of the Code that have been modified by CBSC for its use and for sale to the public until publishing thereof is recommenced by NFPA or until one hundred and eighty days (180) after publication of the next edition of the CEC, whichever occurs first, without compensating NFPA. If at any time prior to the discontinuation of publication by NFPA the Code becomes temporarily out of print or unavailable so that copies thereof cannot be furnished within thirty (30) days after they are requested for purchase by any person or entity, CBSC may without being in violation of the unavailable documents for its own use and for sale to the public in quantities to meet immediate demand, until NFPA recommences furnishing copies or until one hundred and eighty (180) days after publication of the next edition of the CEC, whichever occurs first, without compensating NFPA. \_\_
  - 2. Make such changes to the Licensed Property as CBSC determines are required for the Code.
  - 3. Affix copyright notices to the Code as directed by CBSC.
  - 4. Post all supplements which may contain material copyrighted by NFPA on the NFPA and/or Authorized Agent and Licensee's website in "read-only" format.

B. **<u>By CBSC.</u>** CBSC hereby grants to NFPA an exclusive, worldwide license to copy, print, publish, distribute and sell the 2016 CEC and all 2016 CEC Supplements in accordance with the terms of this Agreement.

# 5. SERVICE DETAILS

- A. <u>Technical Services.</u> Immediately after the execution of this Agreement, NFPA shall commence the following services:
  - 1. NFPA shall, in accordance with the specific formatting requirements and editorial and publication timetable set forth in hereto, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public, copies of the Code in a loose-leaf format. The Code shall be printed and published in a fully integrated format that incorporates, into a fully merged, contiguous and pre -assembled document, all portions of the 2014 NEC approved and codified by the CBSC, those portions of the 2014 NEC that have been modified by the CBSC, and all California amendments
  - 2. NFPA shall print and publish all 2016 CEC Supplements on a regular basis so that all customers have an accurate and up-to-date Code, provided, however, that NFPA shall not be required to print, publish and distribute special supplements for minor or inconsequential errors or changes. Special supplements for minor or inconsequential errors and/or changes shall be posted on NFPA and/or Authorized Agent and Licensee 's website and shall be provided to CBSC for posting on CBSC's website in a "read-only" format.
  - 3. NFPA shall also provide CBSC with three (3) copies of the final text corresponding to the printed version of the 2013 Code, and three (3) copies of all 2013 supplements, supplements in response to emergency regulations, and all related errata sheets, in an electronic version in electronic format that allows editing of text solely for code development purposes

# 6. WORK DETAILS

A. NFPA and/or Authorized Agent and Licensee shall, in accordance with the specific formatting requirements and editorial and publication timetable set forth herein, print, publish and make available for sale to the State of California, local governmental agencies, and to the general public on or before July 1, 2016 or at a later date as set by CBSC, copies of the 2016 CEC in a loose-leaf format wherein all portions of the Licensed Property that have been approved and codified by CBSC, including those portions of the Licensed Property that have been modified by CBSC, as well as California amendments thereto, are fully merged and integrated into a contiguous and pre-assembled form and not provided as separate sheets. To meet the July 1, 2016 publication date, CBSC must deliver to NFPA and/or Authorized Agent and Licensee the proofed and approved final text on or before May 15, 2016. In the event CBSC fails to deliver to NFPA and/or Authorized Agent and Licensee the proofed and approved final text on or before May 15, 2016. NFPA and/or Authorized Agent and Licensee the provided with a

period of 35 days from receipt of delivery of said final text from CBSC in which to print, publish and make available for sale the 2016 CEC.

- B. All those portions of the Licensed Property, be it chapters, sections, provisions, tables, appendices, references, etc., which CBSC or any authorized agency does not adopt as a part of Title 24 shall not be printed in the 2016 CEC. In the event NFPA and/or Authorized Agent and Licensee insists on including such non-adopted language, it shall be highlighted and clearly noted as not adopted by the State on each page on which it appears.
- C. All Errata and/or Supplements to the 2014 Licensed Property as originally adopted by CBSC as the 2016 CEC which are thereafter generated by NFPA and/or Authorized Agent and Licensee shall not be printed in the 2016 CEC unless expressly approved by the CBSC.
- D. CBSC shall submit to NFPA and/or Authorized Agent and Licensee its modifications to the 2014 Licensed Property. Within a reasonable time after the receipt thereof from CBSC, NFPA and/or Authorized Agent and Licensee shall furnish to CBSC a copy of the initial draft of the 2016 CEC for review by CBSC.
- E. WITHIN a reasonable time after receipt of the initial draft of the 2016 CEC from NFPA and/or Authorized Agent and Licensee, CBSC shall submit its revisions to the initial draft to NFPA and/or Authorized Agent and Licensee. Within a reasonable time after receipt of CBSC's revisions to the initial draft, NFPA and/or Authorized Agent and Licensee shall make any further modifications and submit to CBSC a second draft.
- F. WITHIN a reasonable time after receipt of the second draft of the 2016 CEC from NFPA and/or Authorized Agent and Licensee, CBSC shall submit its revisions to the second draft to NFPA and/or Authorized Agent and Licensee. Within a reasonable time after receipt of CBSC's revisions to the second draft, NFPA and/or Authorized Agent and Licensee shall make any further modifications and submit to CBSC a final draft.
- G. NFPA and/or Authorized Agent and Licensee shall thereafter publish and make available for sale to the State of California, and to the general public, copies of the 2016 CEC. If CBSC promptly delivers to NFPA and/or Authorized Agent and Licensee the submissions from CBSC as set forth in paragraphs above, NFPA shall ensure that the 2016 CEC is available for sale within thirty-five (35) days of receipt from CBSC of fully proofed and approved final text in either electronic format or camera ready copy.
- H. Time is of the essence:
  - 1. Because the 2016 Code may have significant effects on the safety of the State 's built environment and its citizens, time is of the essence in performing the herein duties.
  - 2. The July 1, 2016, date to publish the 2016 Code is of critical importance. Publication is defined as the documents being printed and available for delivery.
  - 3. CBSC and NFPA and/or Authorized Agent and Licensee shall commit staff and resources to expedite their diligent work and cooperate toward the within Publication schedules and goals.
  - 4. Due to the variations of their size and complexity, it is impossible to herein establish schedules for Publication of any Supplements or Errata. Therefore, when CBSC submits any Supplements or Errata to NFPA and/or Authorized Agent and Licensee, a Publication

Schedule of milestone dates, including a Publication and Effective date, shall be mutually determined. That Publication Schedule, due to possible coordination with other contractors, is of critical importance. NFPA and/or Authorized Agent and Licensee shall notify CBSC within 15 calendar days following creation of the Publication Schedule if they, for valid reason(s) given, cannot meet the Schedule and include a proposed Schedule which they can meet. CBSC must concur in the acceptance of any new Schedule. Without such notification, or concurrence with a new Publication Schedule, CBSC shall expect NFPA and/or Authorized Agent and Licensee to abide by the proposed Schedule.

- 5. Neither NFPA and/or Authorized Agent and Licensee nor CBSC shall be held liable or responsible for any times or dates set out in this publication agreement if such failure to meet that time or date comes about as a result of any strike, unauthorized work stoppage, civil unrest, legal requirement or impediment, storm, fire, flood or other act of God for which NFPA and/or Authorized Agent and Licensee or CBSC is not otherwise responsible.
- I. Formatting, layout and other requirements not contained herein for publication of the 2016 Code will be set forth and/or confirmed in subsequent correspondence between the Parties. Said formatting, layout and other requirements include, but are not limited to, the following:
  - 1. Code
    - a. A "pre-assembled code" numbered consecutively, printed on 20 lb. or 24 lb. stock (depending on page count), 84 or higher brightness, 8-1/2" by 11" white paper in a seven-hole loose-leaf format configuration the same configuration as that used for the 2013 CEC.
    - b. The black body copy font shall be 10 pt. Times Roman with bold section numbers. Headings shall be 10 pt. Helvetica bold.
    - c. Each binder volume that has a ring metal greater than 2" shall be equipped with front and back heavy-duty page lifters and, when containing more than one Part of Title 24, a heavy-weight reinforced tab divider shall be included.
    - d. Volume binders shall conform to the design and colors selected by CBSC and sized appropriately for the contents which shall be shrink wrapped to ensure completeness.
    - e. NFPA and/or Authorized Agent and Licensee will integrate existing 2014 Licensed Property language with California amendments to be reviewed and approved by CBSC. First review and schedule will be agreed upon by the parties.
    - f. NFPA and/or Authorized Agent and Licensee and CBSC will work out a schedule for completion of the code to ensure publication and its availability on or before July 1, 2016. Attachment C, California Triennial Code Adoption Cycle Schedule will be used as the basis for developing this schedule.
  - 2. Index will remain as it appears in the 2014 Licensed Property with California amendments as necessary.
  - 3. Italics shall not be used to identify defined terms.

- 4. The front of each volume shall contain the following documents. This information will be provided to NFPA and/or Authorized Agent and Licensee by CBSC no later than April 1, 2016:
  - a. Cover Art
  - b. Preface
  - c. Acknowledgement
  - d. California Agency Information Contact List
  - e. How to Distinguish Between Model Code Language and California Amendments
- 5. California language will be in italics or other form as specified by codification.
- 6. All chapters will begin on a right hand page.
- 7. Running heads will read "2016 California Electrical Code" etc.
- 8. State-agency acronyms will be used throughout the 2016 Code.
- 9. NFPA and/or Authorized Agent and Licensee will submit binder specifications for approval by CBSC.
- 10. The Matrix Adoption Table will be in the front of each correlating chapter of the 2016 Code and available as a supplement so it can be inserted in the front of each 2016 Code.
- 11. NFPA and/or Authorized Agent and Licensee shall supply a postage-paid return card for each purchaser to apply for complimentary automatic receipt of all supplements and errata sheets. NFPA and/or Authorized Agent and Licensee will maintain the mailing list, and print, mail and verify delivery of supplements and errata sheets.
- 12. A card may be placed in the 2016 Code advertising other NFPA and/or Authorized Agent and Licensee publications.
- J. Supplements and Errata
  - 1. Supplements to the 2016 code shall be printed on 20 lb. light blue paper, seven-hole drilled and be individually shrink-wrapped.
  - 2. Supplements shall be printed to insert by page substitution and contain a publication, effective date and 'Blue' on the bottom of each page.
  - 3. Regular supplements shall be published as needed.
  - 4. Emergency supplements shall be published on an "as needed basis."
  - 5. Errata Sheets shall be printed on 20 lb. buff paper, seven-hole drilled and be individually shrink-wrapped.
  - 6. Errata Sheets shall be printed to insert by page substitution and contain a publication, effective date and 'Buff' on the bottom of each page.

- 7. The number of the supplements shall be the number of the page where the section and paragraph resides followed by .1, .2, .3 etc.
- K. Distribution
  - 1. NFPA and/or Authorized Agent and Licensee shall establish a distribution method in consultation with the CBSC.
  - NFPA and/or Authorized Agent and Licensee shall distribute a minimum of 200 complimentary copies of the 2016 Code as per list provided by CBSC on or before July 1, 2016
  - 3. NFPA and/or Authorized Agent and Licensee may wholesale the 2016 Code to other resellers in California.
  - 4. NFPA and/or Authorized Agent and Licensee reserves the right to include advertising material of related support products/services in distribution of the 2016 Code, provided said material is not a part of the official text of the California Electrical Code. Any advertising would be clearly denoted and included as a separate insert.

# <u>EXHIBIT B</u>

# PAYMENT AND COMPENSATION PROVISIONS

- A. <u>Compensation.</u> In consideration of the opportunity provided to NFPA and/or Authorized Agent and Licensee by CBSC to make sales of the 2016 Code, no fees, royalties or other payments of any nature shall be required to be paid by CBSC to NFPA and/or Authorized Agent and Licensee with respect to this Agreement or the licenses granted hereunder.
  - B. <u>Sale of Code.</u> NFPA and/or Authorized Agent and Licensee agrees to furnish the 2016 Code in loose-leaf format on the following basis:
- NFPA and/or Authorized Agent and Licensee shall make the 2016 Code, described in Exhibit A Paragraphs 5 A 1. and 5 A 2., available to the State, local governmental agencies, and the general public at a price established by NFPA and/or Authorized Agent and Licensee that will entitle the purchaser to receive all 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related Errata sheets from NFPA and/or Authorized Agent and Licensee at no additional charge.
- 2. Any bookstore or other vendor may purchase the 2016 Code from NFPA and/or Authorized Agent and Licensee for resale and may resell the same at any price which will entitle the purchaser

to receive all subsequent 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets from NFPA and/or Authorized Agent and Licensee at no additional charge.

- 3. NFPA and/or Authorized Agent and Licensee shall provide to CBSC the electronic files of the 2016 Code including all subsequent Supplements and Errata in order that the CBSC may make the 2016 Code available internally on the CBSC intranet or internal network for use solely by CBSC staff. If NFPA and/or Authorized Agent and Licensee develops an enhanced CD with additional features, such as Boolean search capabilities, annotation feature, global search feature or hyperlinking capabilities, NFPA and/or Authorized Agent and Licensee shall also make such enhanced CD available to the CBSC for internal use as provided in this subparagraph.
  - 4. NFPA and/or Authorized Agent and Licensee may include references to NFPA-ES Evaluation Reports and other supplemental support material in any electronic version of the 2016 Code (separate from the actual 2016 Code language). Necessary language will be added to differentiate NFPA and/or Authorized Agent and Licensee materials from the 2016 Code. CBSC reserves the right to review such materials in advance and shall be provided with such materials at least thirty (30) days prior to publication.
  - 5. NFPA and/or Authorized Agent and Licensee may sell the 2016 Code in any formats it so chooses, including but not limited to: print, eBooks, and subscription service.
  - 6. NFPA and/or Authorized Agent and Licensee will send the State a quarterly report, which will itemize all orders processed from the previous quarter. The report will include business name, number of copies ordered, amount charged, date order received and date order shipped. If no orders are processed, the Contractor will send a report indicating no orders were processed. Reports are due by the 15<sup>th</sup> of the month following the end of a quarter. Reports must be mailed to:

CBSC Contract Administrator
Kevin Day
2525 Natomas Park Drive #130
Sacramento, CA 95833

C. <u>State Code Distribution.</u> The Library Distribution Act (LDA) established a system to distribute California State agency publications to libraries in order to make such publications widely available to residents of the State of California. During the term of this Agreement, NFPA and/or Authorized Agent and Licensee shall deliver complimentary copies of the 2016 CEC, and automatically, any 2016 CEC Supplements, supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets, directly to the Deposit Libraries listed in Attachment A hereto in the quantities set forth therein, and one copy each to the Selective Depository Libraries listed in Attachment B hereto and copies to the State agencies as directed by CBSC. NFPA and/or Authorized Agent and Licensee shall obtain and retain proof of delivery of all materials distributed in accordance with this provision and make

copies of such proof of delivery available to CBSC upon written request until one year after termination of this Agreement or be willing to ship additional complimentary copies upon request.

- NFPA and/or Authorized Agent and Licensee shall deliver up to 30 complimentary copies of the 2016 Code to CBSC and then deliver one complimentary copy for each new Commissioner appointed after June 1, 2016 to CBSC, in addition to the delivery of the original 30 copies until one hundred and eighty (180) days after the Publication of the next edition of 2016 Code. CBSC shall provide NFPA and/or Authorized Agent and Licensee with names and delivery addresses of the current and any new Commissioners as well as notifying NFPA and/or Authorized Agent and Licensee of any terminations.
- 2. NFPA and/or Authorized Agent and Licensee shall deliver up to 200 complimentary copies of the 2016 Code, together with any 2016 CEC Supplements, directly to the following state agencies in the quantities specified by CBSC.
- D. <u>Subscription Service.</u> In order to ensure that the 2016 CEC purchased from NFPA and/or Authorized Agent and Licensee or any bookstore or other vendor is accurate and up to date, beginning from the time of the initial publication, NFPA and/or Authorized Agent and Licensee shall make available to all purchasers of the 2016 CEC, all 2016 CEC Supplements, all supplements to the 2016 CEC in response to emergency regulations, and all related errata sheets, at no additional cost. NFPA and/or Authorized Agent and Licensee shall provide all purchasers with a subscription registration card which enables them to automatically receive such updates to the 2016 CEC in either print or electronic format, whichever the purchaser chooses. Electronic versions shall clearly distinguish and identify errata and supplement sheets with footer notes on each page as follows: '[Effective date], Supplement, Blue', '[Effective date], Errata, Buff'. Subscription to this service shall result in the subscriber being provided with an entire, up-to-date 2016 Code.
- E. **Funding.** NFPA and/or Authorized Agent and Licensee understands and agrees that under certain provisions of California law, CBSC may not obligate itself by contract to an extent in excess of an amount appropriated therefore by the State Legislature as approved by the Governor.

## EXHIBIT C

## **GENERAL TERMS AND CONDITIONS**

1. <u>APPROVAL</u>: This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.

2. <u>AMENDMENT</u>: No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

3. <u>ASSIGNMENT</u>: This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.

4. <u>AUDIT</u>: Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

5. <u>INDEMNIFICATION</u>: Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.

6. <u>DISPUTES</u>: Contractor shall continue with the responsibilities under this Agreement during any dispute.

7. <u>TERMINATION FOR CAUSE</u>: The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. <u>INDEPENDENT CONTRACTOR</u>: Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. <u>RECYCLING CERTIFICATION</u>: The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

10. <u>NON-DISCRIMINATION CLAUSE</u>: During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40 ), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code

Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

11. <u>CERTIFICATION CLAUSES</u>: The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

12. <u>TIMELINESS</u>: Time is of the essence in this Agreement.

13. <u>COMPENSATION</u>: The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

14. <u>GOVERNING LAW</u>: This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

15. <u>ANTITRUST CLAIMS</u>: The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.

a. The Government Code Chapter on Antitrust claims contains the following definitions:

1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.

2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.

d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. <u>CHILD SUPPORT COMPLIANCE ACT</u>: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

a. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and

b. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. <u>UNENFORCEABLE PROVISION</u>: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. <u>PRIORITY HIRING CONSIDERATIONS</u>: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code \$10353.

## 19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS :

a. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)

b. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

## 20. LOSS LEADER:

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

# ATTACHMENT A

## **Depository Libraries**

Under the provisions of the Library Distribution Act (Government Code sections 14905, 14906, and 14907), the libraries listed below have contracted with the Department of General Services to serve as complete or selective depositories. They agree to provide adequate facilities for the shelving and use of the publications deposited with them render reasonable service without charge to qualified patrons, and retain all publications received until authorized to dispose of them.

## **Complete Depository Libraries**

One copy of each state publication as defined in Government Code section 14902 must be placed on deposit with each complete depository. In addition, provisions of the State Administrative Manual authorize those libraries marked with an asterisk in the list below to receive the number of copies recorded after the entry.

California State Archives, 1020 O Street, Sacramento, CA 95814

- California State Library, Information Resources and Government Publications, P.O. Box 942837, Sacramento, CA 94237-0001 (IMS: 900 N Street, E-29) (two copies)
- California State University, Chico, Merriam Library, Government Publications Dept., Chico, CA 95929-0295
- Fresno County Free Library, Government Publications, 2420 Mariposa St., Fresno, CA 93721-2285 Library of Congress, Anglo-American Acquisition Division, Government Documents Section, 101 Independence Ave S.E., Washington, D.C., 20540-4172
- Los Angeles Public Library, Serials Division, 630 W. Fifth St., Los Angeles, CA 90071-2002
- Dr. Martin Luther King Jr. Library, Government Publications, San José State University, One Washington Square, San Jose, CA 95192-0008
- San Diego Public Library, Government Publications Unit, 330 Park Blvd., San Diego, CA 92101 San Diego State University, Malcolm A. Love Library, Government Publications & Maps Division, 5500 Campanile Drive, San Diego, CA 92182-8050
- San Francisco Public Library, Government Information Center, 100 Larkin St., San Francisco, CA 94102 Green Library, Receiving, Stanford University Libraries, 557 Escondido Mall, Stanford, CA 94305 University of California, Berkeley, Government Documents Technical Services, 250 Moffitt Library,
- Berkeley, CA 94720-6000 University of California, Davis, Shields Library, Government Information and Maps Department, 100
  - North West Quad, Davis, CA 95616-5292
- University of California, Los Angeles, Charles E. Young Research Library A4510 Government Information, Box 951575, Los Angeles, CA 90095-1575
- University of California, San Diego, Government Documents Unit, 9500 Gilman Drive 0175P, La Jolla, CA 92093-0175
- University of California, Santa Barbara, Library, Serials Receiving, Santa Barbara, CA 93106-9010

# ATTACHMENT B

# Selective Depository Libraries – Page 1 of 4

Selective depositories receive one copy of each printed publication distributed by the Office of State Publishing. They also receive publications distributed directly by issuing agencies. Special subsets of

the Selective Depository Libraries are the Law Libraries. They receive one copy of each printed publication from OSP and a single copy from issuing agencies. Law library depositories differ in having special document retention requirements pursuant to section 14909 of the California Government Code. Law Libraries are indicated in this list by the term "Law" before their entry.

A.K. Smiley Public Library, 125 West Vine St., Redlands, CA 92373

- Law Bernard E. Witkin Alameda County Law Library, 125 12 St., Oakland, CA 94607-4912
  - Alhambra Public Library, 101 South First Street, Alhambra, CA 91801
  - Butte County Library, 1820 Mitchell Avenue, Oroville, CA 95966-5387
  - California Polytechnic State University, Library-Government Documents Section, 1 Grand Avenue, San Luis Obispo, CA 93407
  - California State Polytechnic University, Pomona, Library-Serials Unit, Building 15, Suite 1919, 3801 W. Temple Ave., Pomona, CA 91768-2557
  - California State University, Bakersfield, Walter Stiern Library, Library-Documents Section, 9001 Stockdale Highway, Bakersfield, CA 93311-1099
  - California State University, Dominguez Hills, Library-Government Documents, 800 E.Victoria St., Carson, CA 90747
  - California State University, Fresno, Henry Madden Library, Government Documents Dept., 5200 N. Barton, M/S ML34, Fresno, CA 93740-8014
  - California State University, Fullerton, Library-Documents State, P.O. Box 4150, Fullerton, CA 92834-4150
  - California State University, East Bay, Library-Documents, 25800 Carlos Bee Blvd. Hayward, CA 94542
  - California State University, Long Beach, Library Government Documents, 1250 Bellflower Blvd., Long Beach, CA 90840-1901
  - California Štate University, Los Angeles, John F. Kennedy Library, Government Information Services, 5151 State University Dr., Los Angeles, CA 90032-8300
  - California State University, Northridge, Oviatt Library Government Documents, 18111Nordhoff St., Northridge, CA 91330-8328
  - California State University, Sacramento, Library-Documents, 2000 State University Dr., East, Sacramento, CA 95819-6039
  - California State University, San Bernardino, Library, 5500 University Parkway, SanBernardino, CA 92407-2397
  - California State University San Marcos, Library, State Documents, 333 S. Twin Oaks Valley Road, San Marcos, CA 92096-0001
  - California State University, Stanislaus, Library, Documents Dept., One University Circle, Turlock, CA 95382-0299
  - California State University, Board of Trustees, Office of Governmental Affairs, CA 915 L Street, Suite 1160, Sacramento, CA 95814
- Law California Judicial Center Library, 455 Golden Gate Avenue, Room 4617, San Francisco, CA 94102
- Law California Western School of Law Library, 225 Cedar St., San Diego, CA 92101-3090 Chula Vista Public Library, 365 F Street, Chula Vista, CA 91910 Contra Costa County Library, Documents Section, 1750 Oak Park Boulevard, Pleasant Hill, CA 94523-4497

- Law Court of Appeal Library, Second Appellate District, 300 S. Spring St., Los Angeles, CA 90013 El Centro Public Library, 1140 N. Imperial Ave., El Centro, CA 92243 Fremont Main Library, 2400 Stevenson Blvd., Fremont, CA 94538-2326 Garden Grove Regional Branch, Orange County Public Library, 11200 Stanford Avenue, Garden Grove, CA 92840
- Law Golden Gate University Law Library, 536 Mission St., San Francisco, CA 94105
- Law Hastings College of the Law Library, 200 McAllister St., San Francisco, CA 94102 Honnold/Mudd Library, Government Publications Dept., Claremont Colleges, 800 N. Dartmouth Ave., Claremont, CA 91711-3907

Humboldt County Library, Public Services Division, 1313 Third Street, Eureka, CA 95501-0533 Humboldt State University, Government Documents Section, 1 Harpst Street, Arcata, CA 95521 Inglewood Public Library, 101 West Manchester Boulevard, Inglewood, CA 90301-1771

- Law Kern County Law Library, 1415 Truxtun, Room 301, Bakersfield, CA 93301 Kern County Library, California Documents Dept., 701 Truxtun Avenue, Bakersfield, CA 93301-4517
- Law Kings County Law Library, 1400 West Lacey Boulevard, Hanford, CA 93230 Long Beach Public Library, 101 Pacific Avenue, Long Beach, CA 90822-1097
- Law Los Angeles County Law Library, 301 W. First St., Los Angeles, CA 90012-3100 County of Los Angeles Public Library, Lancaster Library, 601 W. Lancaster Blvd., Lancaster, CA 93534

County of Los Angeles Public Library, Norwalk Library, 12350 Imperial Highway, Norwalk,CA 90650

Law McGeorge School of Law, Library, Documents Dept., 3282 Fifth Ave., Sacramento, CA 95817 Monterey County Free Libraries, Seaside Branch Library, 550 Harcourt Avenue, Seaside, CA 93955

Napa City-County Library, 580 Coombs Street, Napa, CA 94559-3340 Oakland Public Library, 125 Fourteenth St., Oakland, CA 94612

- Law Orange County Public Law Library, 515 N. Flower, Santa Ana, CA 92703-2354 Pasadena Public Library, 285 East Walnut Street, Pasadena, CA 91101-1556 Redding Library, Attn. Government Documents, 1100 Parkview Ave., Redding, CA 96001 Redwood City Public Library, Documents, 1044 Middlefield Road, Redwood City, CA 94063-1868 Richmond Public Library, Government Documents Dept., 325 Civic Center Plaza, Richmond, CA 94804
- Law Riverside County Law Library, 3989 Lemon St., Riverside, CA 92501-4203
- Law Sacramento County Public Law Library, 609 Ninth St., Sacramento, CA 95814 Sacramento Public Library, Central Library-Reference Department, 828 I Street, Sacramento, CA 95814-2508
- Law San Bernardino County Law Library, 402 North "D" Street, San Bernardino, CA 92401 San Bernardino Public Library, Documents, 555 W. Sixth St., San Bernardino, CA 92410 San Bernardino Valley College Library, 701 South Mt. Vernon Avenue, San Bernardino, CA 92410
- Law San Diego County Law Library, 1105 Front St., San Diego, CA 92101-3999 San Francisco State University Government Publications Dept. J. Paul Leonard Lil
  - San Francisco State University, Government Publications Dept., J. Paul Leonard Library, 1630 Holloway Ave., San Francisco 94132-4030
- Law San Joaquin County Law Library, Kress Legal Center, 1st floor, 20 N. Sutter St., Stockton, CA 95202

San Luis Obispo City-County Library, P.O. Box 8107, San Luis Obispo, CA 93403

- Law San Luis Obispo County Law Library, 1050 Monterey St., Room 125, San Luis Obispo, CA 93408
- Law San Mateo County Law Library, 710 Hamilton St., Redwood City, CA 94063 San Mateo Public Library, 55 West Third Avenue, San Mateo, CA 94402-1592
- Law Santa Barbara County Law Library, Courthouse, 1100 Anacapa St., Second Floor, Santa Barbara, CA 93101

Santa Barbara Public Library, P.O. Box 1019, Santa Barbara, CA 93102-1019

- Law Santa Clara County Law Library, 360 N. First St., San Jose, CA 95113-1004 Santa Clara University, Government Documents Dept., Michel Orradre Library, 500 El Camino Real, Santa Clara, CA 95053-0500
- Law Santa Cruz County Law Library, 701 Ocean St., Rm. 070, Santa Cruz, CA 95060 Santa Cruz Public Library, Documents Section, 224 Church Street, Santa Cruz, CA 95060 Santa Maria Public Library, Reference Dept., 421 S. McClelland, Santa Maria, CA 93454 Santa Monica Public Library, 601 Santa Monica Blvd., Santa Monica, CA 90401
- Law Sonoma County Law Library, 2604 Ventura Ave., Santa Rosa, CA 95403 Sonoma County Library, 211 E Street, Santa Rosa, CA 95404
- Law Southwestern University School of Law Library, Government Documents, 3050 Wilshire Blvd., Los Angeles, CA 90010-1106
- Law Stanford University, Law Library, 559 Nathan Abbott Way, Stanford, CA 94305-8612 Stanislaus County Free Library, 1500 I Street, Modesto, CA 95354-1166 Stockton-San Joaquin County Public Library, 605 North El Dorado Street, Stockton, CA 95202 Thousand Oaks Library, Technical Services Dept., 2331 Borchard Rd., Newbury Park, CA 91320
- Law University of California, Berkeley, Law Library, Boalt Hall, Berkeley, CA 94720-7210
- Law Library Documents Dept., University of California, 400 Mrak Hall Drive, Davis, CA 95616-5203

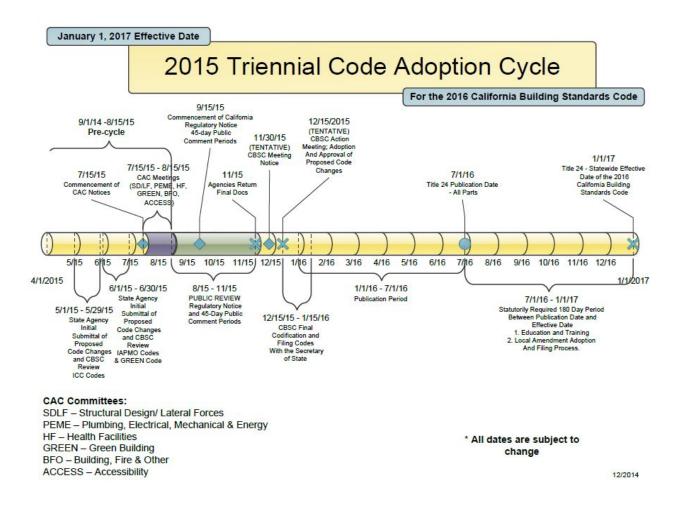
University of California, Irvine Libraries, Government Information Department, Building 520, Ayala Science Library Receiving Dock, Irvine, CA 92697

- Law University of California, Los Angeles, Law Library, 1106 Law Building., 405 Hilgard Ave., Los Angeles, CA 90095-1458
  - University of California, Riverside, Rivera Library, Government Publications, P.O. Box 5900, Riverside, CA 92517-5900
  - University of California, Santa Cruz, University Library, Government Publications, 1156 High Street, Santa Cruz, CA 95064
- Law University of La Verne College of Law Library, 320 East D St., Ontario, CA 91764
- Law University of San Diego, School of Law Library, 5998 Alcala Park, San Diego, CA 92110-2492
- Law University of San Francisco, Zies Law Library, Kendrick Hall, 2130 Fulton St., San Francisco, CA 94117
- Law University of Southern California, Law Library, 699 Exposition Blvd., Los Angeles, CA 90089-0072 University of Southern California, Government Documents, Von Kleinsmid Library, 3518 Trousdale Parkway, Los Angeles, CA 90089-0048
- Law Ventura County Law Library, Courthouse, 800 S. Victoria Ave., Ventura, CA 93009-2020
- Law Whittier College School of Law, Library, 3333 Harbor Blvd., Costa Mesa, CA 92626

Building Standards Commission 2016 California Electrical Code Publication Agreement Contract #3188107; Exhibit A; Page **17** of **7** 

# ATTACHMENT C

## 2016 Intervening Code Adoption Cycle Timeline -



From:	Valadez, <noah@dgs></noah@dgs>
To:	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
	<u> "Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
Date:	3/29/2016 4:15:30 PM
Subject:	RE: BSC printing

Thank you Leann.

From: de la Motte, Leann@DGS Sent: Tuesday, March 29, 2016 4:13 PM To: Valadez, Noah@DGS; Benny, Katrina@DGS Cc: Marvelli, Mia@DGS Subject: RE: BSC printing

Hi Noah,

Sorry for the delay, we were all in another meeting.

The purchasing contracts are as follows:

#3188107 NFPA Code #3188106 IAPMO Code #3187607 ICC Code Parts 1, 6, 8, 11 & 12 #3187605 ICC Code Parts 2, 2.5, 9 & 10

Please let me know if I can provide any other information.

Thank you,

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Valadez, Noah@DGS Sent: Tuesday, March 29, 2016 3:20 PM To: Benny, Katrina@DGS; de la Motte, Leann@DGS Cc: Marvelli, Mia@DGS Subject: RE: BSC printing

## Katrina,

Brent is setting up a conference call to discuss this further.

Noah

From: Benny, Katrina@DGS Sent: Tuesday, March 29, 2016 3:07 PM To: Valadez, Noah@DGS; de la Motte, Leann@DGS Cc: Marvelli, Mia@DGS Subject: RE: BSC printing

Noah, please check with Laura Frost and or Bruce Betts for the contract numbers, I don't know if we were ever given them.

Sent via the Samsung Galaxy Note® 3, an AT&T 4G LTE smartphone

------ Original message ------From: "Valadez, Noah@DGS" <<u>Noah.Valadez@dgs.ca.gov</u>> Date: 03/29/2016 2:56 PM (GMT-08:00) To: "de la Motte, Leann@DGS" <<u>Leann.delaMotte@dgs.ca.gov</u>>, "Benny, Katrina@DGS" <<u>Katrina.Benny@dgs.ca.gov</u>> Subject: BSC printing

## Katrina and Leann,

I just spoke to Brent Jamison regarding the status of BSC's contract request for printing services – to print BSC's annual publication that comes out in January. He told me the request was submitted to OBAS sometime in October 2015. Unfortunately, we are unable to find a corresponding contract request in OBAS' inbox and there is only one open contract request in our log, but that request is dated March 23, 2016, which does not appear to be the contract in question. I was hoping you could shed some light on the contract request to help us track it down.

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Noah

Page 1

From:	<u>"de la Motte," <leann@dgs></leann@dgs></u>
To:	"Valadez, Noah@DGS" <noah.valadez@dgs.ca.gov></noah.valadez@dgs.ca.gov>
	"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov>
Date:	3/29/2016 4:12:40 PM
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	Page 1	
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Date:	"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov> 3/29/2016 3:19:40 PM</leann.delamotte@dgs.ca.gov>	
Subject:	RE: BSC printing	
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Noah		
From: Benny, Katrina Sent: Tuesday, Marc	h 29, 2016 3:07 PM	
Cc: Marvelli, Mia@DG		
Subject: RE: BSC pr	nting	
Noah, please check w	ith Laura Frost and or Bruce Betts for the contract numbers, I don't know if we were ever given them.	
Sent via the Samsung C	Galaxy Note® 3, an AT&T 4G LTE smartphone	
Original messa		
-	a@DGS" < <u>Noah.Valadez@dgs.ca.gov</u> >	
Date: 03/29/2016 2:50	5 PM (GMT-08:00) nn@DGS" < <u>Leann.delaMotte@dgs.ca.gov</u> >, "Benny, Katrina@DGS" < <u>Katrina.Benny@dgs.ca.gov</u> >	
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Noah		

From:	Benny, <katrina@dgs></katrina@dgs>
To:	<u>"Valadez, Noah@DGS" <noah.valadez@dgs.ca.gov></noah.valadez@dgs.ca.gov></u>
	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
Date:	3/29/2016 3:06:33 PM
Subject:	RE: BSC printing

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Thank you.

Noah

From: <u>Jamison</u>, <Brent@DGS>

To: <u>"Marvelli, Mia@DGS" <Mia.Marvelli@dgs.ca.gov></u>

Date: 3/29/2016 12:48:15 PM

Subject: RE: 2016 Code publication contracts

I can do 1:30. Jackie - can you send a meeting invite?

Brent J. Jamison Deputy Director, Interagency Support Division



From: Marvelli, Mia@DGS Sent: Tuesday, March 29, 2016 12:19 PM To: Jamison, Brent@DGS Cc: Wilson, Jaclyn@DGS; Benny, Katrina@DGS Subject: 2016 Code publication contracts

Brent-

Do you have time for a 30 min conference call with Katrina, Leann and I today? Looks like your calendar is open at 1:30 or 3:00. We need some guidance getting our ICC, BNi & IAPMO publication contracts pushed through OBAS.

We can send you some background info prior to our conversation.

Thanks,

Mia Marvelli, Executive Director *California Building Standards Commission* www.BSC.ca.gov 916.263.0916 Page 1

	From:	"de la Motte," <leann@dgs></leann@dgs>
	To:	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
		<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
		"Day, Kevin@DGS" <kevin.day@dgs.ca.gov></kevin.day@dgs.ca.gov>
	Date:	3/21/2016 10:07:10 AM
Su	ubject:	RE: CBSC Publishing Contracts
	-	

I had the same thought.....

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Benny, Katrina@DGS Sent: Monday, March 21, 2016 10:07 AM To: de la Motte, Leann@DGS; Marvelli, Mia@DGS; Day, Kevin@DGS Subject: RE: CBSC Publishing Contracts

Something struck me as odd with Bruce's comment: Just formatting to get them into the template we are usingl thought we had used DGS' formatting???

# Katrina Benny

Manager, CBSC Phone 916-263-1350 Page 2

From: de la Motte, Leann@DGS Sent: Friday, March 18, 2016 3:05 PM To: Benny, Katrina@DGS; Marvelli, Mia@DGS; Day, Kevin@DGS Subject: FW: CBSC Publishing Contracts

FYI:

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Friday, March 18, 2016 10:19 AM To: de la Motte, Leann@DGS Subject: RE: CBSC Publishing Contracts

Hi Leann,

I am working on the SOWs and plan to have them back to you for review next week. At this point, I don't see any potential problems or issues with the SOWs. Just formatting to get them into the template we are using. Please let me know if you have any other questions.

#### **Bruce Betts**

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » **Administration Division** Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: de la Motte, Leann@DGS Sent: Friday, March 18, 2016 10:15 AM To: Betts, Bruce@DGS Subject: RE: CBSC Publishing Contracts

Hi Bruce,

I just wanted to check in on the status of these publishing contracts. Can you give us an update?

Thank you!

Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



From: Betts, Bruce@DGS Sent: Monday, February 29, 2016 11:39 AM To: de la Motte, Leann@DGS Subject: FW: CBSC Publishing Contracts

Hello Leann,

Agreement #3188107 has been assigned to the NFPA agreement and #3188106 is assigned to the IAPMO agreement.

#### Bruce Betts

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: Oden, Joneé@DGS Sent: Monday, February 29, 2016 11:37 AM To: Betts, Bruce@DGS Subject: FW: CBSC Publishing Contracts

Hello Bruce, I've added both requests to the log and added a folder to the drive. The numbers are below. Thanks.

DATE RECEIVED	OFFICE SUBMITTING REQUEST	FROGRAM REQUESTER	ACTION REQUESTED	Number	ESTIMATED COST (Applies To New Contract requests)	CONTRACT NUMBER	Contractor	PROJECT DESCRIPTION	PROJECT OR BUILDING NUMBET	BUILDING NAME	REQUEST NUMBER	DATE ACCEPTED BY OBAS	N MANAGER	ASSIGNED TO	Date Assigned to Analyst	CONTRACT STATUS "SEE DEFINITIONS	Analyst Notes	Selici Ty
e 2/29/16	CRSC	Leann De La Motte	New Contract					NFPA 2016 Code 3			3188107	2/29/36	Team 2	Bruce Betts			Programs requested due date: 1/1/16 - Bruce delivered for intake	
e 2/29/16	CBISC	Learn De La Motte	New Contract					IAPMO 2016 Code 4 &			3188106	2/29/16	Team 3	Bruce Betta			Programs requested due date: 1/1/16 - Bruce delivered for intake	
			I		I	1		I	I			1		I				I
	<b>é Oder</b> Analyst																	
											12/	/17/2	016					

Page 5

Phone 916.375.4885 Fax 916.376.1778 Email jonee.oden@dqs.ca.gov

http://inside.dgs.ca.gov/ad/obas/ContractsManage/ServicesUnit1250kandoverServicesUnit2S.aspx

CMS Intake Log - <u>http://dgssp.dgs.ca.gov/sites/OBAS/CMS/Forms/AllItems.aspx</u>

From: Betts, Bruce@DGS Sent: Monday, February 29, 2016 8:36 AM To: Oden, Joneé@DGS Cc: Frost, Laura@DGS Subject: FW: CBSC Publishing Contracts

Hello Jonee,

These two contract requests need to go through the intake process and be assigned agreement numbers. They can be assigned to me. Thank you!

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Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: de la Motte, Leann@DGS Sent: Friday, February 26, 2016 3:23 PM To: Betts, Bruce@DGS Cc: Benny, Katrina@DGS; Biedermann, Cynthia@DGS; Day, Kevin@DGS Subject: CBSC Publishing Contracts

Hi Bruce,

I have attached the final two publication contracts for CBSC. I have also attached scanned versions of the supporting documents for each. (Let me know if you need me to mail the originals.) Please review and send to vendors ASAP. Let me know if you have any questions or concerns.

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Leann de la Motte Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



12/17/2016

From:	Benny, <katrina@dgs></katrina@dgs>
To:	<u>"de la Motte, Leann@DGS" <leann.delamotte@dgs.ca.gov></leann.delamotte@dgs.ca.gov></u>
	<u>"Marvelli, Mia@DGS" <mia.marvelli@dgs.ca.gov></mia.marvelli@dgs.ca.gov></u>
	"Day, Kevin@DGS" <kevin.day@dgs.ca.gov></kevin.day@dgs.ca.gov>
Date:	3/21/2016 10:06:35 AM
Subject:	RE: CBSC Publishing Contracts
-	

Page 1

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#### Joneé Oden

Intake Analyst

Phone 916.375.4885 Fax 916.376.1778 Email jonee.oden@dqs.ca.gov

http://inside.dgs.ca.gov/ad/obas/ContractsManage/ServicesUnit1250kandoverServicesUnit2S.aspx

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12/17/2016

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Page 1

	5
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To:	<u>"Benny, Katrina@DGS" <katrina.benny@dgs.ca.gov></katrina.benny@dgs.ca.gov></u>
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Date:	3/18/2016 3:04:38 PM
Subject:	FW: CBSC Publishing Contracts
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																		Programs requested due date:	
																		1/1/16 - Bruce delivered for	
	2/29/16	CBSC	Leann De La Motte	New Contract					NFPA 2016 Code 3			3188107	2/29/16	Team 3	Bruce Betts			intake	
																		Programs requested due date:	
									IAPM0 2016 Code 4 &									1/1/16 - Bruce delivered for	
	2/29/16	CBSC	Learn De La Motte	New Contract					5			3188106	2/29/16	Team 3	Brace Betts			Intaka	
1 8																			1

Joneé Oden Intake Analyst

Phone 916.375.4885 Fax 916.376.1778 Email jonee.oden@dgs.ca.gov

http://inside.dgs.ca.gov/ad/obas/ContractsManage/ServicesUnit1250kandoverServicesUnit2S.aspX

12/17/2016

CMS Intake Log - <u>http://dgssp.dgs.ca.gov/sites/OBAS/CMS/Forms/AllItems.aspx</u>

From: Betts, Bruce@DGS Sent: Monday, February 29, 2016 8:36 AM To: Oden, Joneé@DGS Cc: Frost, Laura@DGS Subject: FW: CBSC Publishing Contracts

Hello Jonee,

These two contract requests need to go through the intake process and be assigned agreement numbers. They can be assigned to me. Thank you!

#### **Bruce Betts**

Acquisition Analyst **Contract Services Section** (*Team 3*) **Office of Business and Acquisition Services** » Administration Division Department of General Services » State of California 707 3<sup>rd</sup> Street, 2<sup>nd</sup> Floor, 2-300, West Sacramento, CA 95605 http://inside.dgs.ca.gov/ad/obas.aspx

Phone 916.441-9636 Email Bruce.Betts@dgs.ca.gov

From: de la Motte, Leann@DGS Sent: Friday, February 26, 2016 3:23 PM To: Betts, Bruce@DGS Cc: Benny, Katrina@DGS; Biedermann, Cynthia@DGS; Day, Kevin@DGS Subject: CBSC Publishing Contracts

#### Hi Bruce,

I have attached the final two publication contracts for CBSC. I have also attached scanned versions of the supporting documents for each. (Let me know if you need me to mail the originals.) Please review and send to vendors ASAP. Let me know if you have any questions or concerns.

Thank you,

# Leann de la Motte

Associate Governmental Program Analyst Building Standards Commission 2525 Natomas Park Drive, Suite 130 Sacramento, CA 95833 (916)263-0677



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