

**CONTRACT ADVERTISING EXEMPTION REQUEST  
(IN CALIFORNIA STATE CONTRACTS REGISTER)**

STD. 821 (REV. 10/2006)

Government Code Section 14825 (et seq.) requires that all agreements entered into by state agencies for services shall be published in the California State Contracts Register (CSCR), unless exempted. Agreements which have been exempted by Department of General Services shall be listed in the CSCR.

NCB# 6373

TYPE OF REQUEST (Check one)		SERVICE TYPE	
<input checked="" type="checkbox"/> NON-COMPETITIVELY BID (NCB) CONTRACT JUSTIFICATION (attach NCB)	<input type="checkbox"/> EXEMPTION FROM ADVERTISING	<input checked="" type="checkbox"/> NON-IT/TELECOM RELATED SERVICE	<input type="checkbox"/> IT/TELECOM RELATED SERVICES

<b>DEPARTMENTAL CONTACT INFORMATION</b>	<b>AGENCY BILLING CODE</b> 30140
PLEASE TYPE NAME: Katrina Benny DEPARTMENT: California Building Standards Commission DIVISION: ADDRESS: 2525 Natomas Park Dr. Ste 130 CITY, STATE, ZIP: Sacramento, CA 95833 IMS CODE: C-11	CONTRACT DESCRIPTION Publication contract for the publication of the 2016 triennial edition of the California Code of Regulations, Title 24, Parts 4 and 5. TELEPHONE NUMBER (Not CALNET-Include Area Code) 916-263-1350

CONTRACT NUMBER	AMENDMENT NUMBER (If Applicable)	CONTRACT AMOUNT \$ 0.00	AMENDMENT AMOUNT (If Applicable)	CONTRACT PERIOD 07/01/2015 - 12/31/2019
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<b>PROVIDE CONTRACTOR'S NAME AND ADDRESS ONLY IF A NON-COMPETITIVELY BID (NCB) CONTRACT IS PROPOSED</b>	CONTRACTOR'S NAME International Association of Plumbing and Mechanical Officials (IAPMO)	FEDERAL EMPLOYER IDENTIFICATION NUMBER
	CONTRACTOR'S ADDRESS (Number, Street) 5001 E. Philadelphia Street	
	(City, State, ZIP Code) Ontario, CA 91761	

**An exemption from advertising in the California State Contracts Register is not an exemption from Disabled Veteran Business Enterprise (DVBE) participation goal attainment.**

EXEMPTION JUSTIFICATION (Attach additional sheets if necessary)

The California Mechanical Code (California Code of Regulations, Title 24, Part 4), and the California Plumbing Code (California Code of Regulations, Title 24, Part 5) are based on two model building codes, the Uniform Mechanical Code, and the Uniform Plumbing Code, respectively. The California codes include both provisions of the model codes and amendments to those codes adopted by California.

The International Association of Plumbing and Mechanical Officials (IAPMO) owns the copyrights to these two model codes; therefore, IAPMO must be the publisher of these California codes, if it they are going to contain model code provisions and California's amendments to the model codes. If IAPMO is not the publisher, California's amendments to the model codes cannot be published with the provisions adopted by California. The building industry found the latter to be unworkable.

AUTHORIZED SIGNATURE 	<b>PROCUREMENT DIVISION USE ONLY</b>		
TELEPHONE NUMBER <input type="checkbox"/> CALNET 263-0916	DATE SIGNED 	ACTION TAKEN ON REQUEST <input checked="" type="checkbox"/> APPROVED <input type="checkbox"/> DENIED (See Below)	DATE SIGNED 7/22/15

<b>SEND TO:</b> NAME: DEPARTMENT: DEPARTMENT OF GENERAL SERVICES DIVISION: PROCUREMENT DIVISION ADDRESS: 707 THIRD ST., Second Floor CITY, STATE, ZIP: WEST SACRAMENTO, CA 95605	<b>DGS PROCUREMENT</b> IMS CODE: Z-1 <b>JUN 26 2015</b> <b>RECEIVED</b>
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**CONTRACT ADVERTISING EXEMPTION REQUEST  
(IN CALIFORNIA STATE CONTRACTS REGISTER)**

STD. 821 (REV. 10/2006) REVERSE

**INSTRUCTIONS**

An exemption from advertising in the California State Contracts Register is not an exemption from Disabled Veteran Business Enterprise (DVBE) participation goal attainment.

1. Submit the original and one copy of the completed form and all supporting documents to the Department of General Services, Procurement Division for review and action. (Attach completed, NCB Contract Justification form as applicable.)
2. All applicable elements of the Justification form must be completed or the request may be denied.
3. Do not provide the name and address of the proposed contractor unless the request is to enter into a contract on a non-competitively bid basis.
4. All requests must include comprehensive justification.
5. Non-competitively bid contract justifications must include a narrative of the efforts made to secure similar services from other sources. Refer to the State Contracting Manual Section 5.70.

**GENERAL INFORMATION**

It is required by statute that State agencies advertise and bid all contracts for services over \$5,000. It is the agency's responsibility to develop and adopt contracting procedures which maximize competition and effect timely and proper contract award and execution.

The STD. 821, Contract Advertising Exemption Request, allows the Department of General Services to exempt agencies from these requirements when such action is justified.

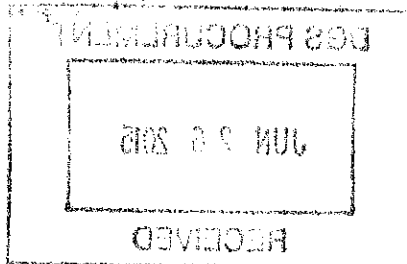
Exemption is granted by either an approved request to be exempt from advertising in the California State Contracts Register or by an approved request to enter into a contract on a non-competitively bid basis.

Definitions of Requests:

**REQUEST TO BE EXEMPT FROM ADVERTISING** - A bid is going to be conducted. Request is made to be exempt from the requirement of advertising in the California State Contracts Register, which is required by Government Code Section 14827 et seq. The request is made because: (1) the exemption is necessary to preserve life or state property, or (2) there is an interest to the State that is so compelling that the agency believes an exemption is warranted.

**REQUEST FOR NCB CONTRACT** - Request is made to be exempt from competitive bidding because: (1) there is only one supplier that can perform the service, or (2) there is an interest to the State that is so compelling that the agency believes it warrants forgoing the competitive process per Public Contract Code Sections 10348 and 10380.

Refer to the State Contracting Manual for additional information.






# 043

For PD Use only  
NCB #: 6373

## NON-COMPETITIVELY BID (NCB) CONTRACT JUSTIFICATION

For use on all information technology (IT) and non-IT goods and services acquisitions.  
Attach to Std. 65, Std. 66 or Std. 821, as applicable.

This justification document consists of two (2) pages. All information must be provided and all questions must be answered. The "Required Approvals" section must include a date for each signature, as appropriate for the transaction.

Requesting Department Information					
<b>Agency:</b> Governmental Operations		<b>Department:</b> DGS - California Building Standards Commission (CBSC) <small>(*Includes Boards, Commissions, and Associations)</small>			
<b>Institution (if applicable):</b>					
Department Contact Information					
<b>Contact/Buyers Name:</b> Molly Lovett		<b>Street Address:</b> 2525 Natomas Park Drive, Suite 130 Sacramento CA 95833			
<b>Telephone:</b> (916) 376-1844		<b>Mailing Address:</b> Same as above			
<b>FAX:</b> (916)					
<b>E-Mail:</b> Molly.lovett@dgs.ca.gov					
<b>Technical Contact Name:</b> Katrina Benny		<b>Telephone:</b> (916) 263-1350	<b>E-mail:</b> Katrina.benny@dgs.ca.gov		
Required Contract Information					
<b>Contractor Name:</b> International Association of Plumbing and Mechanical Officials (IAPMO)					
<b>Contractor Address:</b> 5001 E. Philadelphia Street, Ontario, California 91761					
<b>Original Contract Amount Excluding:**</b>  \$ 0.00  <small>(*Includes original contract and previously approved amendments)</small>	<b>Total Original Contract Amount:**</b>  \$ 0.00  <small>(*Includes original contract and previously approved amendments)</small>	<b>Amendment Amount:** (if applicable)</b>  \$ N/A  <small>(*Current amendment only)</small>	<b>Amended Contract Amount:**</b>  \$ N/A  <small>(*Includes original contract and all amendments, including current amendment)</small>	<b>Has work commenced?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>Have goods been acquired?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <small>Attach explanations for any "Yes" answers.</small>	
<b>Provide a brief description of the acquisition, including all goods and/or services the contractor will provide:</b> IAPMO will provide services for the publication of the 2016 triennial edition of California Code of Regulations, Title 24, Parts 4 (California Mechanical Code) and 5 (California Plumbing Code), and all supplements and errata to these Parts.					
Contract Type and Term					
<b>Contract Type:</b> Select One: <input type="checkbox"/> Non-IT Goods <input checked="" type="checkbox"/> Non-IT Service <input type="checkbox"/> IT Goods <input type="checkbox"/> IT Service <input type="checkbox"/> IT Goods & Services		<b>Contract Term:</b> Begin: 07/01/2015 End: 12/31/2019 Explain late contract submittal (services only):	<b>Type of Award:</b> CMAS: <input type="checkbox"/> Master: <input type="checkbox"/> Competitive: <input type="checkbox"/> Form 42: <input type="checkbox"/>	<b>Will this transaction be financed?</b> No <input checked="" type="checkbox"/> Yes _____  If yes, attach the Statement of Compliance to the State Financial Marketplace to this form	
Required Approvals					
<b>Department</b> <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Denied   Signature of Director or Designee/Date  Esteban Almanza, Acting Director Type Name of Director or See next page instructions		<b>Agency</b> <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Denied   Signature of Agency Secretary or Designee/Date  Jennifer Osborn Type Name of Agency Secretary or See next page instructions		<b>Dept. of General Services</b> <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Denied   Signature of Director or Designee/Date  Ricardo G. Martinez Type Name of Director or Designee	

\*\*Excluding sales and use tax, finance charges, postage and handling.  
Shipping charges are also excluded from the dollar threshold limits unless the shipping charge is included in the evaluation such as Free On Board (FOB) Origin, Freight Collect or FOB Destination

Remit completed form to: Procurement Division  
Intake and Analysis Unit  
707 Third Street, 2<sup>nd</sup> Floor, MS201  
West Sacramento, CA 95605

6/30/15  
CA

7, 2015  
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### **Signature Instructions for Agencies with an Agency Secretary**

This form requires approval by Agency Secretary or Agency Undersecretary and the department director or designee. The Agency Secretary may designate one person, in addition to Agency Undersecretary, to sign on his/her behalf, of cabinet officer level (e.g., Assistant Undersecretary, Deputy Secretary, etc., the actual title is dependent upon the Agency's organizational structure). The department director may delegate review and approval authority to his/her deputy directors and/or the Procurement and Contracting Officer. The director's designee shall send ratification notification to their director upon the designee's approval of the NCB transaction. The typed name and signature must match for both signatures.

### **Signature Instructions for Agencies that do not have an Agency Secretary**

This form requires approval by the highest ranking executive officer or designee. The highest ranking officer may designate one person to sign on his/her behalf subject to DGS approval. The highest ranking officer may delegate review and approval authority to his/her deputy directors and/or the Procurement and Contracting Officer. The designee shall send ratification notification to their highest ranking executive officer upon their approval of the NCB. The typed name and signature must match.

**Complete responses must be provided for all of the following items.**

## **A. THE GOOD/SERVICE REQUESTED IS RESTRICTED TO ONE SUPPLIER FOR THE REASONS STATED BELOW:**

### **1. Why is the acquisition restricted to this good/service/supplier?**

(Explain why the acquisition cannot be competitively bid. Explain if this is an emergency purchase or how the supplier is the only source for the acquisition and reference the PCC that applies, i.e., 12102, 10301/10302, or 10340.)

The California Mechanical Code (CMC) and California Plumbing Code (CPC) are based on two model building codes, the Uniform Mechanical Code (UMC) and the Uniform Plumbing Code (UPC) respectively. The California codes include both provisions of the model codes and amendments to those codes adopted by California.

IAPMO owns the copyrights to these model codes; therefore, IAPMO must be the publisher of the CMC and CPC if they are each going to contain model code provisions and California's amendments to the model codes. If IAPMO is not the publisher, California's amendments to the UMC and UPC could not be published with the provisions of the UMC and UPC adopted by California.

### **2. Provide the background of events leading to this acquisition.**

Throughout most of the 1980s, California published only the amendments it made to the model building codes, using the Office of State Publishing as the publisher. The building industry did not like having to refer back-and-forth between model code books and books containing California's amendments to the model codes. Therefore, in 1989, publication agreements were entered into, pursuant to Health and Safety Code Section 18928.1, so that California's amendments could be published with the model codes they amended.

### **3. Describe the uniqueness of the acquisition (why was the good/service/supplier chosen?)**

IAPMO owns the copyrights to the model building codes upon which the CMC and CPC are based. Therefore, IAPMO must be the publisher of these California building codes if they are each going to contain model code provisions and California's amendments to the model codes.

### **4. What are the consequences of not purchasing the good/service or contracting with the proposed supplier?**

If the commission does not contract with IAPMO to publish the CMC and CPC, it will not be able to have the model codes adopted by California published with California's amendments to those model codes. The publishing situation will return to that which existed in the 1980s where California's amendments to the model codes were not published with the model codes they amended. This was something the building industry found problematic.

### **5. What market research was conducted to substantiate no competition, including evaluation of other items considered?**

IAPMO owns the copyrights to the model building codes upon which the CMC and CPC are based. Therefore, IAPMO must be the publisher of the CMC and CPC, if they are each going to contain model code provisions and California's amendments to the model codes. If the commission does not contract with IAPMO, it will not be able to have the model codes adopted by California published with California's amendments to these model codes. The building industry found the latter to be unworkable.