Exhibit A

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

AMERICAN SOCIETY FOR TESTING AND MATERIALS d/b/a/ ASTM INTERNATIONAL;

NATIONAL FIRE PROTECTION ASSOCIATION, INC.; and

AMERICAN SOCIETY OF HEATING, REFRIGERATING, AND AIR CONDITIONING ENGINEERS,

Plaintiffs/
Counter-Defendants,

v.

PUBLIC.RESOURCE.ORG, INC.,

Defendant/
Counter-Plaintiff.

Case No. 1:13-cv-01215-TSC-DAR

<u>DECLARATION OF JORDANA S. RUBEL</u> <u>IN SUPPORT OF ASTM'S OPPOSITION TO MOTION TO COMPEL DISCOVERY</u>

- I, Jordana S. Rubel, declare as follows:
- 1. I am an attorney at the law firm of Morgan, Lewis & Bockius LLP. I serve as counsel for Plaintiff American Society for Testing and Materials ("ASTM") in this action. I am a member in good standing of the bar of this Court. The matters set forth herein are based upon my own personal knowledge, and if called upon to do so, I could and would testify competently thereto.
- 2. Attached hereto as Exhibit 1 is a true and correct copy of "Defendant-Counterclaimant Public.Resource.Org., Inc.'s Response to Plaintiff-CounterDefendants' First Set of Requests for Production of Documents and Things (Nos. 1-35)."

- 3. Attached hereto as Exhibit 2 is a true and correct copy of "Plaintiff American Society for Testing and Materials' Objections and Responses to First Set of Interrogatories."
- 4. ASTM and Public.Resource.Org, Inc. ("Public Resource") began discussing issues related to ASTM's document production in April 2014.
- 5. In May 2014, ASTM and Public Resource's counsel exchanged letters regarding their respective discovery responses and their planned document productions, discussed those issues in a telephone conference, and exchanged a second round of letters stating their positions after the telephone conference.
- 6. Public Resource's counsel never informed ASTM's counsel of its intent to file a motion to compel prior to its filing of the motion.
- 7. Public Resource's counsel did not discuss any alleged deficiencies in ASTM's document production with ASTM's counsel in any telephone conference or in-person communications after May 2014.
- 8. Attached hereto as Exhibit 3 is a true and correct copy of the form license agreement to which customers must agree when downloading copies of ASTM's standards, which ASTM has produced in this litigation.
- 9. Attached hereto as Exhibit 4 is a true and correct copy of the form license agreement to which members of the public must agree to view copies of ASTM's standards that have been incorporated by reference into federal regulations at no cost on ASTM's Reading Room. ASTM has produced this document in this litigation.
- 10. Attached hereto as Exhibit 5 is a true and correct copy of the form agreement that ASTM members must agree to when initiating or renewing their memberships with ASTM on an annual basis. ASTM has produced this document in this litigation.

- 11. I am familiar with Public Resource and its website located at https://law.resource.org.
- 12. Attached hereto as Exhibit 6 is a true and correct copy of an essay that is posted on the Public Resource website at https://law.resource.org. Public Resource produced this document in this litigation.
- 13. Attached hereto is as Exhibit 7 is a true and correct copy of a certificate Public Resource attached to one of the ASTM standards at issue. I caused this copy to be made from the website https://law.resource.org/pub/us/cfr/manifest.us.html.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Executed on October 2, 2014

Jordana S. Rubel

EXHIBIT 1

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

AMERICAN SOCIETY FOR TESTING AND MATERIALS d/b/a/ ASTM INTERNATIONAL:

NATIONAL FIRE PROTECTION ASSOCIATION, INC.; and

AMERICAN SOCIETY OF HEATING, REFRIGERATING, AND AIR-CONDITIONING ENGINEERS, INC.

Plaintiffs,

v.

PUBLIC.RESOURCE.ORG, INC.,

Defendant.

PUBLIC.RESOURCE.ORG, INC.,

Counterclaimant,

v.

AMERICAN SOCIETY FOR TESTING AND MATERIALS d/b/a/ ASTM INTERNATIONAL;

NATIONAL FIRE PROTECTION ASSOCIATION, INC.; and

AMERICAN SOCIETY OF HEATING, REFRIGERATING, AND AIR-CONDITIONING ENGINEERS, INC.

Counterdefendants.

Case No. 1:13-cv-01215-EGS

DEFENDANT-COUNTERCLAIMANT PUBLIC.RESOURCE.ORG, INC.'S RESPONSE TO PLAINTIFF-COUNTERDEFENDANTS' FIRST SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS AND THINGS (NOS. 1-35)

Filed: August 6, 2013

PROPOUNDING PARTY: Plaintiffs-Counterdefendants American Society for Testing

and Materials d/b/a ASTM International; National Fire

Protection Association, Inc.; and American Society of

Heating, Refrigerating, and Air-Conditioning Engineers,

Inc.

RESPONDING PARTIES: Defendant-Counterclaimant Public.Resource.Org, Inc.

SET NUMBER: One (Nos. 1-35)

Defendant-Counterclaimant Public.Resource.Org, Inc. ("Public Resource") responds to Plaintiffs-Counterdefendants American Society for Testing and Materials d/b/a ASTM International; National Fire Protection Association, Inc.; and American Society of Heating, Refrigerating, and Air-Conditioning Engineers, Inc.'s (collectively "Plaintiffs") First Set of Requests for the Production of Documents and Things as follows:

GENERAL OBJECTIONS

- 1. Public Resource objects to the requests to the extent that they are overly broad, unduly burdensome or oppressive, and to the extent they are inconsistent with, or purport to impose obligations on Public Resource beyond those set forth by, the Federal Rules of Civil Procedure, particularly Rule 34(b)(2)(D)-(E), the Local Rules of the U.S. District Court for the District of Columbia, the Federal Rules of Evidence, or any applicable regulations and case law, particularly to the extent that compliance would force Public Resource to incur a substantial expense that outweighs any likely benefit of the discovery. Public Resource's responses, regardless of whether they include a specific objection, do not constitute an adoption or acceptance of the definitions and instructions that Plaintiffs seek to impose.
- 2. Public Resource objects to each individual request to the extent that it seeks documents and information that are neither relevant to the Litigation nor reasonably calculated to lead to the discovery of admissible evidence. Such objections may be made to applicable requests in the short form "irrelevant".

- 3. Public Resource objects to the requests to the extent that they purport to require production of "all documents" concerning various matters, on grounds that such requests are overly broad, unduly burdensome, duplicative, and seek production of irrelevant documents. To the extent that Public Resource produces documents in response to such requests, they will be limited to documents sufficient to show matters that are appropriately discoverable.
- 4. Public Resource objects to the requests to the extent that they seek documents and information that are not in Public Resource's possession, custody or control. Public Resource objects to the requests on the grounds that they seek to impose obligations on Public Resource that are unduly burdensome, especially to the extent that the requested materials are publicly available or otherwise equally available to Plaintiffs, or are burdensome to search for or obtain. To the extent any documents are currently available to the public (including Plaintiffs) on the Public Resource Website, Public Resource expressly reserves the right to request cost-shifting, consistent with Section 14.A of the parties' Joint Meet-And-Confer Report filed on December 30, 2013 (Dkt. No. 29) ("Joint Meet-And-Confer Report"), prior to incurring any cost associated with producing such documents. Public Resource further objects to the extent that the requests are overbroad. To the extent that Public Resource agrees to produce any documents, Public Resource will produce only documents in its possession, custody or control.
- 5. Public Resource objects to the requests to the extent that they seek documents and information that are protected by the attorney-client privilege, work-product doctrine, common interest privilege, or other applicable privileges or protections. Public Resource will not produce such documents or information, and any inadvertent production is not a waiver of any applicable privilege or protection.
- 6. Public Resource objects to the requests to the extent they purport to require Public Resource to provide more information than the rules and laws of the court require in claiming attorney-client privilege, work product protection, or other privileges or protections.

 Furthermore, Public Resource will neither produce nor log privileged communications made between Public Resource and outside counsel, or any documents protected by the work product

doctrine after commencement of the Litigation. All such communications or documents were intended to be confidential and privileged, and they have been treated as such. In light of the voluminous nature of such communications, including them in Public Resource's privilege log would be unduly burdensome and not reasonably calculated to lead to the discovery of admissible evidence.

- 7. Public Resource objects to the requests on the grounds that they seek documents and information that contain or reflect sensitive private, financial or other non-public information of third parties. Public Resource will not provide such documents or information until entry of an appropriate protective order.
- 8. Public Resource objects to the requests to the extent that they purport to require Public Resource to produce documents or communications containing any information received from a third party under a nondisclosure agreement or other confidentiality obligation, or to the extent they seek documents containing confidential information that would impinge on any right to privacy and free speech of individuals, including, but not limited to, rights conferred by the federal or California state constitutions. Public Resource also objects to producing the contents of any part of any agreement between it and a third party, which, by its terms, is subject to confidentiality. Public Resource will provide confidential information only after entry of an appropriate protective order, and only to the extent Public Resource can do so consistently with its legal and confidentiality obligations.
- 9. Public Resource objects to the requests, and each and every instruction and definition, to the extent that the scope of materials Plaintiffs seeks is not limited to a relevant and reasonable period of time. Except as specifically noted, Public Resource's production of documents will be limited to the period between April 13, 2007 and the date of production.
- 10. Public Resource objects to each request to the extent it is vague, ambiguous, or fails to describe the requested documents with reasonable particularity, on the grounds that such request requires Public Resource to speculate as to the documents Plaintiffs seek.

- 11. Public Resource objects to the definition of "Public Resource," "Defendant," "You" and "Your" on the grounds that it is vague, ambiguous, overbroad, and unduly burdensome, particularly to the extent that it purports to include any affiliates, assignees, joint ventures, partners, principals, employees, officers, agents, legal representatives or consultants when such persons are acting outside a capacity of representing Public Resource; or any person "purporting to act on [Public Resource's] behalf" who is not an agent of Public Resource.
- 12. Public Resource objects to the definition of "Plaintiffs' Trademarks" on the grounds that it assumes factual or legal conclusions that have not yet been adjudicated.
- 13. Public Resource objects to Plaintiffs' Instruction No. 15 to the extent that it is inconsistent with, or purports to impose obligations on Public Resource beyond those set forth by, the Federal Rules of Civil Procedure, particularly Rule 34, the Local Rules of the U.S. District Court for the District of Columbia, the Federal Rules of Evidence, or any applicable regulations and case law.
- 14. Public Resource objects to each request to the extent that it is unreasonably cumulative and/or redundant of another document request.
- 15. Public Resource objects to each request to the extent that it is compound, complex or otherwise unintelligible.
- 16. Public Resource objects to each request to the extent that it calls for a legal conclusion in connection with the identification of potentially responsive documents. Public Resource's responses and/or production of documents pursuant to Plaintiffs' requests shall not be construed as agreement with or the provision of any legal conclusion concerning the meaning or application of any terms used in such requests.
- 17. Public Resource's statement that it will produce documents in response to any request for production is not a representation that any such documents exist, but rather that responsive, non-privileged documents will be produced if such documents are discovered in the course of a reasonably diligent search, consistent with the General Objections and based upon Public Resource's understanding of the request.

- 18. Public Resource objects to the use of the phrases "including but not limited to" and "includes, but is not limited to" as overly broad and unduly burdensome.
- 19. Public Resource objects to the requests to the extent they purport to require Public Resource to produce all documents as Concordance upload files, with metadata in an ASCII delimited .dat file. Consistent with Section 14.A of the Joint Meet-And-Confer Report, Public Resource reserves the right to produce documents in another reasonably usable format, including native format, where appropriate.
- 20. Public Resource objects to each request to the extent it seeks to impose any continuing duty to supplement or provide further responses, or otherwise seeks to impose on Defendant discovery obligations exceeding or inconsistent with the Federal Rules of Civil Procedure, the Local Rules of the U.S. District Court for the District of Columbia, the Federal Rules of Evidence, or any applicable regulations and case law.
- 21. Public Resource objects to Plaintiffs' instruction that all responsive documents be produced within thirty (30) days after service of Plaintiffs' requests. Public Resource will produce documents on a rolling basis.
- 22. Without waiving any of the foregoing General Objections, each of which is expressly incorporated into each of Public Resource's objections and responses below as if fully stated there, Public Resource responds to each request subject to the following additional express reservation of rights:
 - a) The right to object on any applicable ground to the admission into evidence or other use of any of the documents produced in response to any request at the trial of this matter, at any other proceeding in this matter or in any other action; and
 - b) The right to object on any applicable ground at any time to any demand for further responses to any request or to any other discovery procedures involving or relating to the subject matter of any request.

RESPONSES AND OBJECTIONS TO REQUESTS FOR PRODUCTION

REQUEST FOR PRODUCTION NO. 1:

All documents relating to Public Resource obtaining copies of any of the Standards at Issue, or any other standards issued by any Plaintiff.

RESPONSE TO REQUEST FOR PRODUCTION NO. 1:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects that use of the term "copies" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents that refer to Public Resource obtaining copies of standards issued by Plaintiffs, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 2:

All licenses, including but not limited to shrinkwrap or clickwrap licenses, that Public Resource entered into in connection with obtaining copies of any of the Standards at Issue, or any other standards issued by any Plaintiff.

RESPONSE TO REQUEST FOR PRODUCTION NO. 2:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource further objects that use of the terms "shrinkwrap" and "clickwrap" renders the request vague and ambiguous and impermissibly requires Public Resource to speculate as to the documents Plaintiffs seek. Public Resource objects that use of the term "copies" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act. Public Resource objects to this request as unreasonably duplicative of Request No. 1.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it is not aware of any responsive documents.

REQUEST FOR PRODUCTION NO. 3:

All documents relating to Public Resource's copying of any of the Standards at Issue, or any other standards issued by any Plaintiff.

RESPONSE TO REQUEST FOR PRODUCTION NO. 3:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource

objects that use of the term "copying" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents that refer to Public Resource copying standards issued by Plaintiffs, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 4:

All documents relating to Public Resource's posting of copies of any Standards at Issue, or any other standards issued by any Plaintiff, on the Public Resource Website or the Internet Archive Website.

RESPONSE TO REQUEST FOR PRODUCTION NO. 4:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects that use of the term "copies" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public sources, including but not limited to the Public Resource Website and the Internet Archive Website. Public Resource objects to the Request to the extent

that it purports to require production of documents not in Public Resource's possession, custody or control.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents that reference standards issued by Plaintiffs that are available on the Public Resource Website, including the archived version of the Public Resource Website available on the Internet Archive Website, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 5:

All documents relating to any use by Public Resource of Plaintiffs' Trademarks, regardless of whether you consider it to be a use in commerce, a trademark use, or a fair use.

RESPONSE TO REQUEST FOR PRODUCTION NO. 5:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that the request is overbroad, oppressive, unduly burdensome, vague and ambiguous to the extent it purports to require the production of "all" documents related to "any use" of Plaintiffs' Trademarks and fails to specify the documents sought with reasonable particularity. Public Resource objects that the request is vague and ambiguous and impermissibly requires Public Resource to speculate as to the documents Plaintiffs seek, including to the extent the definition of "Plaintiffs' Trademarks" renders the meaning of the phrase "any use by Public Resource of Plaintiffs' Trademarks" vague, ambiguous, circular and unintelligible and requires Public Resource to assume facts or legal conclusions not yet adjudicated. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from

public sources, including but not limited to the Public Resource Website and the Internet Archive Website.

Subject to, and without waiving, the foregoing objections, and to the extent that Public Resource understands the request, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents containing Plaintiffs' names and logos, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 6:

All documents relating to Public Resource's efforts to reformat any Standards at Issue, or any other standards issued by any Plaintiff, including by rekeying text, converting graphics, resetting mathematical formulas, or adding metadata to the document headers. This request includes, but is not limited to, documents concerning the processes employed by Public Resource and any quality control measures Public Resource used to prevent the content of the Standards at Issue from being altered.

RESPONSE TO REQUEST FOR PRODUCTION NO. 6:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects that use of the terms "rekeying text", "converting graphics", and "resetting mathematical formulas" renders the request vague and ambiguous and impermissibly requires Public Resource to speculate as to the documents Plaintiffs seek. Public Resource objects that the request is compound, complex and unintelligible. Public Resource objects to the Request to the extent that it assumes facts or legal conclusions not yet adjudicated.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents sufficient to explain the process employed by Public Resource to reformat standards issued by Plaintiffs, if any, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 7:

Documents sufficient to show the number of times each of the Standards at Issue has been viewed and/or downloaded from the Public Resource Website.

RESPONSE TO REQUEST FOR PRODUCTION NO. 7:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the request to the extent it seeks information whose disclosure would impinge on any right of privacy or free speech or free association, including, but not limited to, rights conferred by the Constitution. Public Resource objects to the request as overbroad and unduly burdensome to the extent it purports to require Public Resource to furnish website statistics not reasonably available to it. Public Resource objects to the Request to the extent that it assumes facts not yet adjudicated.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce a report specifying the numbers of times each Standard at Issue was downloaded per month for the period from April 13, 2007 to the date of production.

REQUEST FOR PRODUCTION NO. 8:

Documents sufficient to show the sources and amounts of all financial contributions

Public Resource has received since the date when it first posted a copy of any of the Standards at

Issue on the Public Resource Website.

RESPONSE TO REQUEST FOR PRODUCTION NO. 8:

Public Resource incorporates here each of the General Objections. Public Resource objects that the request seeks irrelevant documents. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "financial contributions" renders the request vague and ambiguous. Public Resource will interpret "financial contributions" to mean donations. Public Resource objects that use of the term "copy" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act. Public Resource objects to the request to the extent it seeks information whose disclosure would impinge on any right of privacy or free speech or free association, including, but not limited to, rights conferred by the Constitution. Public Resource will not produce documents that identify its donors. Public Resource objects to the Request to the extent that it assumes facts or legal conclusions not yet adjudicated.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce non-privileged documents sufficient to identify the date and amount of donations that specifically mention the Standards at Issue and that were received by Public Resource since the Standards at Issue first became available through the Public Resource Website, to the extent such donations exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 9:

Documents sufficient to identify the sources and amounts of all donations or revenues received via the Public Resource Website since the date when Public Resource first posted a copy of any of the Standards at Issue on the Public Resource Website.

RESPONSE TO REQUEST FOR PRODUCTION NO. 9:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects that the request seeks irrelevant documents. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "copy" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act. Public Resource objects to the request to the extent it seeks information whose disclosure would impinge on any right of privacy or free speech or free association, including, but not limited to, rights conferred by the Constitution. Public Resource will not produce documents that identify its donors. Public Resource objects to the Request to the extent that it assumes facts or legal conclusions not yet adjudicated. Public Resource objects to this request as unreasonably duplicative of Request No. 8.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce non-privileged documents sufficient to identify the date and amount of donations and revenue that specifically mention the Standards at Issue and that were received by Public Resource via the Public Resource Website since the Standards at Issue first became available through the Public Resource Website, to the extent such donations and revenue exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 10:

All documents referring or relating to, or comprising statements, inquiries, comments, or other communications by or from employees, customers, distributors, suppliers, or others, relating to the similarity or dissimilarity of the standards posted by Public Resource and the Standards at Issue or any logos relating thereto, or evidencing any confusion, suspicion, belief, or doubt on the part of said third parties as to the relationship either between Public Resource and one or more of Plaintiffs or between their respective products or services, including any misdirected complaints or inquiries.

RESPONSE TO REQUEST FOR PRODUCTION NO. 10:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the terms "similarity" and "dissimilarity" renders the request vague and ambiguous. Public Resource objects that use of the terms "evidencing," "confusion", "suspicion", "belief" and "doubt" renders the request vague, ambiguous and unintelligible and purports to require Public Resource to speculate as to the beliefs of third parties. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects that the request is compound, complex and unintelligible. Public Resource objects to the Request to the extent that it assumes facts or legal conclusions not yet adjudicated.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds as follows: Public Resource will produce responsive, non-privileged communications comparing the standards available through the Public Resource Website to the Standards at Issue, as well as any responsive, non-privileged communications sent to Public Resource but addressed to one or more of Plaintiffs, to the extent

such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 11:

All documents relating to communications regarding the Litigation.

RESPONSE TO REQUEST FOR PRODUCTION NO. 11:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects that the request is overbroad, oppressive, unduly burdensome, vague and ambiguous to the extent it purports to require the production of "all" documents related to the "Litigation" and fails to specify the documents sought with reasonable particularity. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Consistent with General Objection No. 6, Public Resource will neither produce nor log privileged communications made between Public Resource and outside counsel, as well as documents protected by the work product doctrine or other privileges, to the extent they occurred or were made or created after commencement of the Litigation. Public Resource objects to the request to the extent it seeks information whose disclosure would impinge on any right of privacy or free speech or free association, including, but not limited to, rights conferred by the Constitution. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public or other sources, including but not limited to the Public Resource Website. Public Resource objects that the request is unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource will produce nonprivileged documents in response to this request, to the extent that such documents are not readily available to Plaintiffs from public sources. To the extent that such documents implicate communications with third parties, Public Resource shall produce such documents only after affording such third parties notice and the opportunity to object.

REQUEST FOR PRODUCTION NO. 12:

All documents relating to communications between You and existing or potential donors regarding Public Resource's plans to copy or post and/or Public Resource's actual copying or posting of any of the Standards at Issue or the standards of any Standards Development Organization on the Public Resource Website.

RESPONSE TO REQUEST FOR PRODUCTION NO. 12:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects that the request seeks irrelevant documents. Public Resource objects that use of the undefined term "Standards Development Organization" renders the request vague, ambiguous and unintelligible, as well as overbroad, oppressive and unduly burdensome to the extent it purports to require the production of "all" documents related to copying or posting the standards of "any" Standards Development Organization. Public Resource objects that use of the term "potential donors" renders the request vague and ambiguous. Public Resource will interpret "potential donors" to mean persons from whom Public Resources solicited donations. Public Resource objects that use of the terms "copy" and "copying" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any

other applicable privileges or protections. Public Resource objects to the request to the extent it seeks information whose disclosure would imping on any right of privacy or free speech or free association, including, but not limited to, rights conferred by the Constitution. Public Resource objects to this request as unreasonably duplicative of Request Nos. 3 and 4.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource will not produce documents in response to this request.

REQUEST FOR PRODUCTION NO. 13:

All documents relating to any communications sent or received by You, including between You and any governmental agency or legislative body, regarding incorporation of standards or codes by reference into any government laws, statutes, regulations, or ordinances.

RESPONSE TO REQUEST FOR PRODUCTION NO. 13:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects that use of the terms "standards" and "codes" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource will interpret "codes" to be a synonym of "standards". Public Resource objects that the request is overbroad, oppressive, unduly burdensome, vague and ambiguous to the extent it purports to require the production of "all" documents related to the "incorporation of standards or codes" and fails to specify the documents sought with reasonable particularity. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds as follows: Public Resource will produce responsive, non-privileged communications sent to or by Public Resource referring to

the incorporation by reference of standards issued by Plaintiffs into any government laws, statutes, regulations, or ordinances, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 14:

All documents identified in your responses to interrogatories or your initial disclosures in this Litigation.

RESPONSE TO REQUEST FOR PRODUCTION NO. 14:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to this request as unreasonably duplicative of other requests.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents specifically identified in its responses to interrogatories or Rule 26(a) initial disclosures in this Litigation, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 15:

All documents relating to Public Resource's counterclaims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 15:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports

to require production of "all documents" related to Public Resource's counterclaims without specifying such documents or counterclaims with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 16:

All documents relating to Public Resource's defense that Plaintiffs lack ownership of the copyrights in the Standards at Issue.

RESPONSE TO REQUEST FOR PRODUCTION NO. 16:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that Plaintiffs lack ownership of the copyrights in the Standards at Issue without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable

privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 17:

All documents relating to Public Resource's defense that the doctrine of fair use bars Plaintiffs' copyright claims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 17:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that the doctrine of fair use bars Plaintiffs' copyright claims without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public

Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource.

Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 18:

All documents relating to Public Resource's defense that the doctrine of copyright misuse bars Plaintiffs' copyright claims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 18:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that the doctrine of copyright misuse bars Plaintiffs' copyright claims without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 19:

All documents relating to Public Resource's defense that Defendant's lack of use in commerce bars Plaintiffs' trademark claims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 19:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that Defendant's lack of use in commerce bars Plaintiffs' trademark claims without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 20:

All documents relating to Public Resource's defense that lack of likelihood of confusion bars Plaintiffs' trademark claims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 20:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that lack of likelihood of confusion bars Plaintiffs' trademark claims without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request. Public Resource objects that the request is argumentative because Plaintiffs bear the burden of establishing likelihood of confusion, and even an absence of documents does not undermine Public Resource's defense.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 21:

All documents relating to Public Resource's defense that the doctrine of fair use bars Plaintiffs' trademark claims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 21:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that the doctrine of fair use bars Plaintiffs' trademark claims without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 22:

All documents relating to Public Resource's defense that the doctrine of trademark misuse bars Plaintiffs' trademark claims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 22:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that the doctrine of trademark misuse bars Plaintiffs' trademark claims without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 23:

All documents relating to Public Resource's defense that waiver and estoppel bars Plaintiffs' claims.

RESPONSE TO REQUEST FOR PRODUCTION NO. 23:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that waiver and

estoppel bars Plaintiffs' claims without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 24:

All documents relating to Public Resource's defense that lack of irreparable injury bars Plaintiffs' demand for an injunction.

RESPONSE TO REQUEST FOR PRODUCTION NO. 24:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that defense that lack of irreparable injury bars Plaintiffs' demand for an injunction. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public

Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request. Public Resource objects that the request is argumentative because Plaintiffs bear the burden of establishing irreparable injury, and even an absence of documents does not undermine Public Resource's defense.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 25:

All documents relating to Public Resource's defense that an injunction would greatly harm the public interest.

RESPONSE TO REQUEST FOR PRODUCTION NO. 25:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it purports to require production of "all documents" related to Public Resource's defense that an injunction would greatly harm the public interest without specifying such documents with reasonable particularity. The request is vague, hopelessly overbroad and impossible to interpret objectively. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public

Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource objects to this request as unreasonably duplicative of each and every other request. Public Resource objects that the request is argumentative because Plaintiffs bear the burden of establishing that an injunction would benefit the public interest, and even an absence of documents does not undermine Public Resource's defense.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will not produce any documents in response to this request.

REQUEST FOR PRODUCTION NO. 26:

All documents and things not produced in response to another document request that Public Resource intends to introduce or rely upon in the present litigation.

RESPONSE TO REQUEST FOR PRODUCTION NO. 26:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it is not reasonably limited in time or scope and fails to specify the documents sought with reasonable particularity. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery by Public Resource. Public Resource expressly reserves the right to supplement its objections and responses during the course of discovery.

REQUEST FOR PRODUCTION NO. 27:

Documents sufficient to show any policy of Public Resource for the retention or destruction of records, documents, or files.

RESPONSE TO REQUEST FOR PRODUCTION NO. 27:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it is not reasonably limited in time or scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections.

Subject to, and without waiving, the foregoing objections, Public Resource will produce non-privileged Public Resource document retention policies in place at the commencement of this Litigation, if any.

REQUEST FOR PRODUCTION NO. 28:

All documents relating to any Plaintiff.

RESPONSE TO REQUEST FOR PRODUCTION NO. 28:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad, oppressive and unduly burdensome to the extent it is not reasonably limited in time or scope and purports to require production of "all documents" related to "any" Plaintiff without specifying such documents with reasonable particularity. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources. Public Resource objects to the

request to the extent that it purports to require production of documents that have not yet been created or are the subject of ongoing discovery. Public Resource expressly reserves the right to supplement its objections and responses to the extent it discovers additional documents that may support Public Resource's defense that an injunction would greatly harm the public interest. Public Resource objects to this request as unreasonably duplicative of each and every other request.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents that expressly refer to the name of any Plaintiff, to the extent such documents exist, relate to the matters specifically alleged in the Complaint, and can be located after a reasonable search for documents in Public Resource's possession, custody or control, subject to Plaintiffs' agreement that they will produce all documents relating to Public Resource.

REQUEST FOR PRODUCTION NO. 29:

All current and past webpages from the Public Resource Website containing any reference to any Plaintiff, including all webpages that post or contain links to any of the Standards at Issue, or any other standards issued by any Plaintiff.

RESPONSE TO REQUEST FOR PRODUCTION NO. 29:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public sources, including but not limited to webpages currently available on the Public Resource Website. Public Resource objects that the volume of documents that are likely to be responsive to the request renders the request oppressive and

unduly burdensome to the extent that Instruction No. 28 purports to require Public Resource to produce documents as Concordance upload files, with metadata in an ASCII delimited .dat file. Consistent with General Objection No. 19, Public Resource will produce responsive, non-privileged documents, if any, in another reasonably usable format.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce current and past webpages from the Public Resource Website that contain any reference to any Plaintiff or any standards issued by any Plaintiff, to the extent such documents still exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control. To the extent such webpages are currently available to the public (including Plaintiffs) on the Public Resource Website or the Internet Archive Website, Public Resource expressly reserves the right to request cost-shifting, consistent with Section 14.A of the Joint Meet-and-Confer Report, prior to incurring any cost associated with producing such documents.

REQUEST FOR PRODUCTION NO. 30:

All documents, including statements, press releases, or other communications, relating to any decision by Public Resource to post any of the Standards at Issue, or any other standards issued by any Plaintiff, on the Public Resource Website.

RESPONSE TO REQUEST FOR PRODUCTION NO. 30:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint.

Subject to, and without waiving, the foregoing objections, Public Resource responds as follows: Public Resource will produce responsive, non-privileged documents referring to any decision by Public Resource to post on the Public Resource Website any standards issued by Plaintiffs, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 31:

All documents relating to any download from the Public Resource Website of any of the Standards at Issue, or any other standards issued by any Plaintiff.

RESPONSE TO REQUEST FOR PRODUCTION NO. 31:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects that use of the term "download" renders the request vague, ambiguous and unintelligible. Public Resource objects to this request as unreasonably duplicative of Request No. 7.

Subject to, and without waiving, the foregoing objections, Public Resource responds that documents responsive to this request will be produced in response to Request No. 7.

REQUEST FOR PRODUCTION NO. 32:

All communications sent or received by You relating to the copying of any of the Standards at Issue, or any other standards issued by any Plaintiff, by You or any other person.

RESPONSE TO REQUEST FOR PRODUCTION NO. 32:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects that use of the term "copying" renders the request vague and ambiguous, requires legal conclusions, and is argumentative with respect to whether an electronic file is a "copy" within the definition in Section 101 of the Copyright Act. Public Resource objects to this request as unreasonably duplicative of Request No. 3.

Subject to, and without waiving, the foregoing objections, Public Resource responds that it will produce responsive, non-privileged communications that expressly refer to Plaintiff and/or other persons copying any standard issued by any Plaintiff.

REQUEST FOR PRODUCTION NO. 33:

All documents that advertise or otherwise publicize Public Resource as an organization, or the Public Resource Website. This request includes, but is not limited to, press releases, announcements, articles, interviews, or speeches.

RESPONSE TO REQUEST FOR PRODUCTION NO. 33:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable time period or scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the terms "advertise" and "publicize" renders the request vague, ambiguous and unintelligible. Public

Resource objects that the request seeks irrelevant documents, including documents relating to aspects of Public Resource that do not concern public laws or the incorporation by reference of standards issued by Plaintiffs into public laws. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will produce responsive, non-privileged documents that advertise the website located at https://law.resource.org, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 34:

All documents relating to Public Resource's efforts to determine whether the Standards at Issue, or any other standards issued by any Plaintiff, are incorporated into law, statute, regulation or ordinance by national, federal, state, or local governments.

RESPONSE TO REQUEST FOR PRODUCTION NO. 34:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as

oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will produce responsive, non-privileged documents that relate to Public Resource's efforts to determine whether standards issued by Plaintiffs are incorporated into any public laws, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

REQUEST FOR PRODUCTION NO. 35:

All documents relating to any Plaintiff requesting, encouraging, or lobbying any national, federal, state or local government to incorporate any of the Standards at Issue into any law, statute, regulation, or ordinance.

RESPONSE TO REQUEST FOR PRODUCTION NO. 35:

Public Resource incorporates here each of the General Objections. Public Resource objects to the request as overbroad and unduly burdensome, including to the extent it is not limited to a reasonable scope. Public Resource objects to the request to the extent it seeks information protected by the attorney-client privilege, attorney work product doctrine, or any other applicable privileges or protections. Public Resource objects that use of the term "standards" renders the request vague and ambiguous. Public Resource will interpret "standards" to have the meaning set out in Paragraph 12 of the Complaint. Public Resource objects that use of the terms "encouraging" and "lobbying" renders the request vague, ambiguous and unintelligible. Public Resource objects to the Request to the extent that it purports to require production of documents not in Public Resource's possession, custody or control. Public Resource objects to the request as oppressive and unduly burdensome to the extent that it seeks documents that are equally available to Plaintiffs from public and other sources.

Subject to, and without waiving, the foregoing objections, and to the extent Public Resource understands the request, Public Resource responds that it will produce responsive, non-privileged documents that refer to any Plaintiff requesting or lobbying any federal, state or local government to incorporate any of the Standards at Issue into any law, to the extent such documents exist and can be located after a reasonable search for documents in Public Resource's possession, custody or control.

Dated: March 6, 2014 Respectfully submitted,

/s/ Andrew P. Bridges

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Attorneys for Defendant-Counterclaimant Public.Resource.Org, Inc.

EXHIBIT 2

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

AMERICAN SOCIETY FOR TESTING AND MATERIALS d/b/a/ ASTM INTERNATIONAL;

NATIONAL FIRE PROTECTION ASSOCIATION, INC.; and

AMERICAN SOCIETY OF HEATING, REFRIGERATING, AND AIR CONDITIONING ENGINEERS,

Plaintiffs/
Counter-Defendants,

V.

PUBLIC.RESOURCE.ORG, INC.,

Defendant/
Counter-Plaintiff.

Case No. 1:13-cv-01215-EGS

PLAINTIFF AMERICAN SOCIETY FOR TESTING AND MATERIALS' OBJECTIONS <u>AND RESPONSES TO FIRST SET OF INTERROGATORIES</u>

Plaintiff/Counter-Defendant American Society for Testing and Materials ("ASTM") hereby objects, answers and otherwise responses to the First Set of Interrogatories (the "Interrogatories") of Defendant/Counter-Plaintiff Public.Resource.Org, Inc. ("Public Resource) as follows:

PRELIMINARY STATEMENT

1. This litigation is in its early stages. As such, in preparing these responses, ASTM has reviewed the documents and information reasonably available to it. Discovery in this action is continuing and ASTM may learn of additional facts pertaining to the Interrogatories. Therefore, ASTM reserves the right to change, amend, or supplement its objections and responses at a later date. If further evidence is obtained which is not protected from discovery, ASTM reserves the right to present such evidence at the time of trial.

- 2. ASTM's responses are made solely for purposes of this action, and not for purposes of any other action. These responses are subject to all objections as to competence, relevance, materiality, propriety, admissibility, and any and all other objections and grounds that would require the exclusion of evidence disclosed herein if the evidence were produced and sought to be introduced into evidence in Court; all of which objections and grounds are specifically reserved, and may be interposed at the time of trial or other attempt to use one or more of these responses.
- 3. ASTM's responses are made without in any way waiving or intending to waive, but on the contrary, preserving and intending to preserve, the following:
- a. All questions of authenticity, relevance, materiality, privilege and admissibility as evidence for any purpose of the information provided which may arise in any subsequent proceeding in, or the trial of, this or any other action;
- b. The right to object to the use of said information at any subsequent proceeding in, or the trial of, this or any other action, or any other grounds;
- c. The right to object on any other ground at any time to other interrogatories or other disclosure involving said information or subject matter thereof; and
- d. The right to make additions and/or amendments to these responses if further disclosure or investigation yields information called for in disclosure.

GENERAL OBJECTIONS

The following General Objections apply to each and every separately-numbered Interrogatory and are incorporated by reference into each and every specific response as if set forth in full in each response. From time to time, a specific response may repeat a General Objection for emphasis or some other reason. The failure to repeat any General Objection in any specific response shall not be interpreted as a waiver of any General Objection to that response.

- 1. ASTM objects to each Interrogatory to the extent that the Interrogatory attempts or purports to call for the production of any information or documentation that is privileged, that was prepared in anticipation of litigation or for trial, that reveals communications between ASTM and its co-Plaintiffs and their legal counsel, that otherwise constitutes attorney work product, privileged attorney-client communication, or that is otherwise privileged or immune from discovery.

 Inadvertent disclosure of any such information or documentation is not intended to and shall not constitute a waiver of any privilege or any other ground for objecting to discovery with respect to such information, or with respect to the subject matter thereof. Nor shall such inadvertent production or disclosure waive the right of ASTM to object to the use of any such information during this action or in any other subsequent proceeding.
- 2. ASTM objects to these Interrogatories, definitions, and instructions to the extent that they seek information or documents that include confidential, business proprietary information, trade secrets or other confidential research, development, financial or commercial information of ASTM. No such confidential or proprietary information will be produced until an appropriate protective order is in place.
- 3. ASTM objects to Public Resource's definitions and instructions to the extent they are beyond the scope of the Federal Rules, the Local Rules, and the Orders of this Court.
- 4. ASTM objects to Public Resource's Interrogatories to the extent they are overly broad, unduly burdensome, or not relevant or likely to lead to any relevant evidence as to any party's claims, counterclaims, or defenses or the subject matter involved in the action.
- 5. ASTM objects to the Interrogatories to the extent they seek documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.
- 6. ASTM objects to the Interrogatories to the extent that they seek to impose obligations on ASTM that are unduly burdensome, especially to the extent they request information

that is already in the possession of Public Resource or is publicly available such that it could be derived or ascertained by Public Resource with substantially the same effort that would be required of ASTM.

- 7. ASTM objects to the Interrogatories to the extent that they seek information that is not limited to a relevant and reasonable period of time.
- 8. ASTM objects to Public Resource's Interrogatories to the extent that they seek to require ASTM to provide documentation other than that which may be obtained through a reasonably diligent search of ASTM's corporate records.
- 9. ASTM objects to each of the Interrogatories to the extent it does not describe the information sought with sufficient particularity and/or is vague, ambiguous, or unlimited in scope.
- 10. ASTM objects to each and every Interrogatory, definition, and instruction to the extent that it calls for a legal conclusion. Any response by ASTM shall not be construed as providing a legal conclusion regarding the meaning or application of any terms or phrases used in Public Resource's Interrogatories, definitions, or instructions.
- 11. ASTM objects to each and every Interrogatory, definition, and instruction to the extent that it contains subparts or a compound, conjunctive, or disjunctive request.
- 12. ASTM objects to each and every Interrogatory, definition, and instruction to the extent that it is speculative, lacks foundation, or improperly assumes the existence of hypothetical facts that are incorrect or unknown to ASTM.
- 13. ASTM objects to each and every Interrogatory to the extent that it requests ASTM to identify "all" facts, or "every" fact, responsive to the particular Interrogatory. Discovery is ongoing, and the facts identified in ASTM's responses are exemplary, not exhaustive.

- 14. ASTM objects to the definition of "You," "Your" or "ASTM" on the grounds that it is vague, ambiguous, overbroad, and unduly burdensome to the extent these terms include any entity other than ASTM.
- 15. ASTM objects to the definition of "Standard" as overbroad and unduly burdensome to the extent it includes standards that were not developed by ASTM and about which ASTM has not asserted a claim of infringement.
- 16. ASTM objects to the definition of "Incorporated Standard" as overbroad and unduly burdensome to the extent it includes standards that have been incorporated into law by any jurisdiction outside the United States. ASTM will construe "Incorporated Standard" as referring to any standard that a jurisdiction within the United States has incorporated into law, including through incorporation by reference.
- 17. ASTM objects to the definition of "Promoted" as vague and ambiguous and overbroad and unduly burdensome to the extent it exceeds the commonly understood definition of the term. ASTM will respond to Interrogatories with the term "Promoted" based on the ordinary meaning of the term.
- 18. ASTM objects to the definition of "Publication" as vague and ambiguous and overbroad and unduly burdensome to the extent it exceeds the commonly understood definition of the term. ASTM will respond to Interrogatories with the term "Publication" based on the ordinary meaning of the term.
- 19. ASTM objects to the definition of "Access" as vague and ambiguous and overbroad and unduly burdensome to the extent it exceeds the commonly understood definition of the term.

 ASTM will respond to Interrogatories with the term "Access" based on the ordinary meaning of the term.

- 20. ASTM objects to the definition of "Legal Authority" as vague and ambiguous and overbroad and unduly burdensome. ASTM will define "Legal Authority" to mean statutes, regulations or ordinances of government entities within the United States of America.
- 21. ASTM objects to the definition of "Standards Process" as vague and ambiguous and overbroad and unduly burdensome. ASTM will respond to Interrogatories with the phrase "Standards Process" by defining the term to mean the developing, creating, drafting, revising and editing of a Standard.
- 22. ASTM objects to the definition of "Contribution" as vague and ambiguous and overbroad and unduly burdensome. ASTM will respond to Interrogatories with the term "Contribution" by defining the term to mean the provision of assistance, advice, or labor.
- and unduly burdensome to the extent they require ASTM to provide (a) the home address and all former titles and the period of time the person held each title for any natural person; (b) to state, for business organizations, "the identity of all persons affiliated with the organization who have knowledge of the matter with respect to which it is named in an interrogatory answer;" (c) insofar as it purports to require ASTM to state "the documentary or testimonial evidence" with regard to any fact or circumstance and "the Persons with knowledge of the fact or circumstance," and (d) insofar as it purports to require ASTM, "when referring to advertising or promotion . . . to provide dates; medium; product, service, or feature being advertised or promoted; location (physical address; social media or World Wide Web site; print periodical page number; or analogous identifier); number of impressions, and cost."
- 24. ASTM will make reasonable efforts to gather information responsive to Public Resource's Interrogatories as they understand and interpret each Interrogatory, subject to and limited by the objections they may have to each Interrogatory, within their possession, custody or

control, including those contained in these General Objections and all other objections made herein, as well as any limitations agreed to by the parties. If Public Resource asserts an interpretation of any aspect of its Interrogatories different from that made by ASTM, ASTM reserves the right to supplement its objections and/or responses if such interpretations made by Public Resource are held to be the applicable interpretation.

25. No express, incidental or implied admissions are intended by ASTM's responses and objections. The fact that ASTM agree to provide information and/or documents in response to a particular Interrogatory is not intended and shall not be construed as an admission that ASTM accepts or admits the existence of any such information and/or document set forth in or assumed by such Interrogatory, or that any such information and/or document constitutes admissible evidence. The fact that ASTM agrees to provide information and/or documents in response to a particular Interrogatory is not intended and shall not be construed as a waiver by ASTM of any part of any objection to such Interrogatory or any part of any general objection made herein.

INTERROGATORIES

INTERROGATORY 1:

Identify all Standards that you know or believe to have been incorporated, in whole or in part, either expressly or by reference, in any Legal Authority.

RESPONSE TO INTERROGATORY NO. 1:

ASTM incorporates the General Objections as if fully set forth herein. ASTM objects to this Interrogatory on the ground that it is overly broad and unduly burdensome, insofar as it seeks information concerning "all Standards," without regard to whether the Standard was developed by ASTM and without regard to whether ASTM has asserted a claim of infringement in connection with the Standard. ASTM further objects to this Interrogatory on the ground that "Legal Authority" is vague and ambiguous and overly broad and unduly burdensome. In responding to

this Interrogatory, ASTM will construe "Legal Authority" to refer to statutes, regulations, and ordinances of government entities within the United States of America. ASTM further objects to this Interrogatory on the ground that the term "Identify" is overly broad and unduly burdensome. ASTM also objects to this Interrogatory on the ground that it seeks information that is in the public domain, is equally available to Public Resource as it is to ASTM, or that could be derived or ascertained by Public Resource with substantially the same effort that would be required for ASTM.

Subject to and without waiving the foregoing objections, attached as Exhibit A is a list of the ASTM standards in connection with which ASTM asserted claims for infringement that ASTM has reason to believe are incorporated by reference by a Legal Authority.

INTERROGATORY 2:

Identify every Legal Authority that you know or believe to have incorporated, in whole or in part, either expressly or by reference, any part of any Standard in which you claim rights.

RESPONSE TO INTERROGATORY NO. 2:

ASTM incorporates the General Objections as if fully set forth herein. ASTM objects to this Interrogatory on the ground that it is overly broad and unduly burdensome, insofar as it seeks information concerning any Standard in which ASTM claims rights without regard to whether ASTM has asserted a claim of infringement in connection with the Standard. ASTM further objects to this Interrogatory on the ground that "Legal Authority" is vague and ambiguous and overly broad and unduly burdensome. In responding to this Interrogatory, ASTM will construe "Legal Authority" to refer to statutes, regulations, and ordinances of government entities within the United States of America. ASTM further objects to this Interrogatory on the ground that the term "Identify" is overly broad and unduly burdensome. ASTM also objects to this Interrogatory on the ground that it seeks information that is generally available to the public, is equally available

to Public Resource as it is to ASTM, or that could be derived or ascertained by Public Resource with substantially the same effort that would be required for ASTM. ASTM further objects to this Interrogatory on the ground that it calls for legal conclusions.

Subject to and without waiving the foregoing objections, ASTM responds that ASTM does not keep track of all the Legal Authorities that have incorporated by reference ASTM's standards. The National Institute of Standards and Technology purports to maintain a database of all standards that are referenced in the Code of Federal Regulations, which is available to the public at https://standards.gov/sibr/query/index.cfm?fuseaction=home.main.

INTERROGATORY 3:

Identify all Persons who participated in the Standards Process of any Standard in which you claim rights.

RESPONSE TO INTERROGATORY NO. 3:

ASTM incorporates the General Objections as if fully set forth herein. ASTM objects to this Interrogatory on the ground that it is overly broad and unduly burdensome, insofar as it seeks information concerning any Standard in which ASTM claims rights, without regard to whether ASTM has asserted a claim of infringement in connection with the Standard and would require ASTM to identify every person who played any role in the development, creation, drafting, revision, editing, transmission, publication, distribution, display, or dissemination of hundreds of different standards over the course of many decades. ASTM further objects to this Interrogatory as vague and ambiguous with respect to the meaning of the term "participated." ASTM further objects to this Interrogatory on the ground that the term "Identify" is overly broad and unduly burdensome. ASTM further objects that identification of the thousands of individuals who fall within the scope of this Interrogatory is not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving the foregoing objections, ASTM responds that ASTM's standard development process potentially includes individuals from the following categories:

ASTM subcommittee and technical committee members, other ASTM members, members of the ASTM Committee on Standards, members of the ASTM Committee on Technical Committee

Operations, the ASTM Board of Directors, ASTM staff, and the general public. ASTM members include individuals from a variety of trades, disciplines, and industries, including manufacturers, retailers, consumers, representatives from government agencies, academics, and researchers. The voting membership of each ASTM Technical Committee is constituted to include a balance of relevant interests. For example, producers or sellers of materials, products, systems or services covered within the scope of a given committee or subcommittee cannot exceed more than 50 percent of the voting membership of that committee or subcommittee. ASTM staff also participates in the process of editing and publishing ASTM standards.

Pursuant to Fed. R. Civ. P. 33(d), ASTM will produce documents from which the identities of individuals who were involved in the development and creation of certain ASTM standards that ASTM alleges were infringed may be derived or ascertained.

INTERROGATORY 4:

Identify all communications in which You, or anyone acting on Your behalf, Promoted the incorporation of any of Standard, in whole or in part, either expressly or by reference, in any Legal Authority.

RESPONSE TO INTERROGATORY NO. 4:

ASTM incorporates the General Objections as if fully set forth herein. ASTM objects to this Interrogatory on the ground that it is overly broad and unduly burdensome to the extent that it asks for ASTM to identify communications made by any person or entity other than ASTM. ASTM further objects to this Interrogatory as unintelligible with respect to the phrase "the incorporation of

any of Standard", and will construe that phrase as "the incorporation of any Standard." ASTM further objects to this Interrogatory as overly broad and unduly burdensome insofar as it seeks information concerning any Standard, without regard to whether the Standard was developed by ASTM and without regard to whether ASTM has asserted a claim of infringement in connection with the Standard. ASTM further objects to this Interrogatory on the ground that the terms "Legal Authority," "Promoted," and "Identify" are overly broad and unduly burdensome. In responding to this Interrogatory, ASTM will construe "Legal Authority" to refer to statutes, regulations, and ordinances of government entities within the United States of America. In responding to this Interrogatory, ASTM will define "Promoted" based on the ordinary meaning of the term. ASTM further objects to the undefined term "communications" as vague and ambiguous.

Subject to and without waiving the foregoing objections, ASTM responds that ASTM does not actively promote the incorporation of its standards in laws by any U.S. government entity. Indeed, ASTM is aware of the incorporation by reference of less than 10 percent of ASTM's standards by the federal government. While it does not actively promote incorporation by reference, ASTM notifies its members and members of the public, which includes members of U.S. government entities, about its standards in several different ways. ASTM issues press releases regarding some of its newly published standards. ASTM also has a Standard Tracker program that allows members of the public to request to receive a notification when a new standard has been published by a specific committee or subcommittee. Consistent with the National Technology Transfer and Advancement Act's requirement that the federal government use privately developed or adopted technical standards where possible, representatives of the federal government sometimes contact ASTM or members of ASTM committees to ask if ASTM has developed standards related to specific topics, in which case ASTM will respond to such requests. At the request of a government employee or ASTM member, ASTM employees may inform representatives of a

federal agency that ASTM has developed a particular standard that relates to a topic that may be of interest to that agency. Additionally, if a federal agency has indicated an intention to incorporate by reference an outdated ASTM standard, a member of the relevant ASTM technical committee may also submit a comment to the proposed rulemaking to notify the agency that the committee has approved a more recent version of the standard.

INTERROGATORY 5:

Identify all Contributions that any Persons made to the Standards Process of Your Standards.

RESPONSE TO INTERROGATORY NO. 5:

ASTM incorporates the General Objections as if fully set forth herein. ASTM objects to this Interrogatory as overly broad and unduly burdensome insofar as it seeks information concerning all ASTM Standards, without regard to whether ASTM asserted a claim of infringement in connection with the Standard. ASTM further objects to this Interrogatory as overly broad, unduly burdensome, and not reasonably calculated to lead to the discovery of admissible evidence on the ground that the terms "Contributions" and "Standards Process", as defined by Public Resource and used in this Interrogatory, would require ASTM to identify every instance where any person offered any assistance, advice, financial support, labor, effort, or expenditure of time in connection with the development, creation, drafting, revision, editing, transmission, publication, distribution, display, or dissemination of hundreds of different ASTM standards over the course of many decades. ASTM will construe "Standards Process" to mean the developing, creating, drafting, revision and editing of a Standard. ASTM will construe "Contribution" to mean the provision of assistance, advice, or labor. ASTM further objects to this Interrogatory on the ground that the term "Identify" is overly broad and unduly burdensome.

Subject to and without waiving the foregoing objections, ASTM responds that ASTM publishes regulations, rules, and bylaws describing the actors who are involved in ASTM's standards development process. *See, e.g.,* http://www.astm.org/COMMIT/newcommit.html (collecting bylaws and regulations). Those documents are publicly available and speak for themselves. As described in those documents, there are several stages to the ASTM standards development process.

The process of developing each ASTM standard begins when an individual submits a work item request, which either requests the development of a new standard or a revision or amendment of an existing ASTM standard. The chair or sub-chair of the relevant ASTM committee may approve or deny the work item request or the subcommittee may be asked to approve the work item at a meeting or via letter ballot. If approved, the person who submitted the work item request normally becomes the task group chairperson. Other volunteers join with the task group chairperson to formulate the initial draft of the ASTM standard or revision.

Once the ASTM task group has drafted the work item and it has been reviewed and edited by ASTM staff, the ASTM task group requests a ballot, and circulates a draft document to all members of the relevant subcommittee for voting. Members of the ASTM subcommittee have an opportunity to provide input on the draft standard, including through submitting negative votes which must be resolved individually. If approved by the ASTM subcommittee, the draft standard is sent to the main ASTM committee, where all main members have an opportunity to vote on the item and submit negative votes (which must be resolved individually). While on main committee ballot, the draft item is concurrently open for peer review of the Society, where all ASTM members have an opportunity to submit negative votes (which must be resolved individually). All standards actions, including new standards as well as revisions, withdrawals and reapprovals of existing standards, must be approved by at least 66.7 percent of the voting subcommittee members

and 90% of the voting main committee members (excluding abstentions), with not less than 60 percent of the voting members returning ballots. Members of the public also have the opportunity to submit comments at any point in the process. Finally, the Committee on Standards, which is made up of nine ASTM members who are appointed by the Board of Directors, ensures that all ASTM processes and procedures were followed, in which case it approves the standard or revision for publication.

To the extent that ASTM has retained them, pursuant to Fed. R. Civ. P. 33(d), ASTM will produce documents from which it will be possible to derive or ascertain the involvement of individuals in the development and creation of the ASTM standards at issue in this litigation.

INTERROGATORY 6:

Identify all means by which the general public may Access Incorporated Standards in which you claim rights.

RESPONSE TO INTERROGATORY NO. 6:

ASTM incorporates the General Objections as if fully set forth herein. ASTM objects to this Interrogatory as overly broad and unduly burdensome insofar as it seeks information concerning all ASTM Standards, without regard to whether ASTM asserted a claim of infringement in connection with the Standard. ASTM further objects to this Interrogatory on the ground that the term "general public" is vague and ambiguous. ASTM further objects to this Interrogatory as overly broad and unduly burdensome insofar as it includes Standards that have been incorporated by reference by jurisdictions outside of the United States, and insofar as it extends to "Access" by individuals outside the United States. ASTM will define "Access" based on the ordinary meaning of the term. ASTM further objects to this Interrogatory on the ground that the term "Identify" is overly broad and unduly burdensome.

Subject to and without waiving the foregoing objections, ASTM responds that there are many ways in which interested members of the public may access ASTM standards. These include, but are not limited to, the following: First, the standards may be purchased from ASTM or one of its authorized resellers. ASTM publishes its standards in a variety of hard copy and digital formats. For example, members of the public may purchase printed copies of a standard in book form or they may purchase an electronic ".pdf" file of the standard. The cost of purchasing ASTM standards is reasonable. The cost of purchasing most ASTM standards is between \$25 and \$35 and the most expensive ASTM standard costs \$71. Upon request, ASTM has made copies of some of its standards available at reduced rates or even at no cost to individuals or groups who have demonstrated that they have a need to use the standard and cannot afford the normal fee.

Second, jurisdictions that have incorporated ASTM standards by reference frequently make copies of those standards available for access by the public at no cost. For example, the Code of Federal Regulations states that any materials incorporated by reference at the federal level must be "reasonably available to and usable by the class of persons affected by the publication." 1 C.F.R. § 51.7(a)(4). In particular, the Office of the Federal Register and the relevant agency each must maintain a hard copy of any material incorporated by reference that is available for public inspection. *See* 1 C.F.R. §§ 5.2, 51.9(b)(4). State and local jurisdictions frequently have similar requirements that copies of standards incorporated by reference must be made available for inspection in government offices or designated depository libraries.

Third, members of the public may obtain copies of ASTM standards in a variety of other ways, including, but not limited to, through their employers, trade associations, contractors, local governments, or libraries.

Additionally, ASTM offers read-only access to standards that it is aware have been incorporated by reference into federal regulations on the reading room on the ASTM website.

This access allows any member of the public to view the standards without cost. Similarly, when a federal agency proposes to incorporate by reference an ASTM standard in rulemaking, ASTM works with the relevant agency to provide the public with read-only access to the standard at no cost during the public comment period.

INTERROGATORY 7:

Identify all communications by You to the general public to identify or explain the means by which the general public may Access Incorporated Standards in which You claim rights.

RESPONSE TO INTERROGATORY NO. 7:

ASTM incorporates the General Objections as if fully set forth herein. ASTM further objects to this Interrogatory on the ground that it is overly broad and unduly burdensome to the extent that it asks for ASTM to identify communications made by any person or entity other than ASTM. ASTM further objects to this Interrogatory as overly broad and unduly burdensome insofar as it seeks information concerning all ASTM Standards, without regard to whether ASTM asserted a claim of infringement in connection with the Standard. ASTM further objects to this Interrogatory on the ground that the undefined term "general public" is vague and ambiguous. ASTM further objects to this Interrogatory as overly broad and unduly burdensome insofar as it includes Standards that have been incorporated by reference by jurisdictions outside of the United States, and insofar as it extend to "Access" by individuals outside the United States. ASTM will define "Access" based on the ordinary meaning of the term. ASTM further objects to the undefined term "communications" as vague and ambiguous. ASTM further objects to this Interrogatory on the ground that the term "Identify" is overly broad and unduly burdensome.

Subject to and without waiving the foregoing objections, ASTM responds that it has a marketing department that advertises and promotes all ASTM publications and products, including through brochures and mailings sent to past purchasers of particular standards. ASTM also has

several resellers who advertise and promote the sale of ASTM's standards. Further, ASTM maintains a website through which members of the public can purchase ASTM standards and view copies of the ASTM standards posted in the reading room at no cost.

Dated: March 24, 2014 FOR THE OBJECTIONS

Respectfully submitted:

/s/ Michael Clayton

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CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing ASTM's Objections and Responses to Public Resource's First Set of Interrogatories was served this 24 day of March, 2014 via email upon the following:

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/s/ Jordana Rubel Jordana Rubel

EXHIBIT A

Designation	Edition	Title	Copyright Registration Number
ASTM A36	1977ae	Standard Specification for Structural Steel	TX 464-573
ASTM A36/A36M	1997ae1	Standard Specification for Carbon Structural Steel	TX 4-873-764
		Standard Specification for Cold-Drawn Steel Wire for	
ASTM A82	1979	Concrete Reinforcement	TX 464-573
		Standard Specification for Fabricated Deformed Steel	
ASTM A184	1979	Bar Mats for Concrete Reinforcement	TX 464-573
		Standard Specification for Welded Steel Wire Fabric for	
ASTM A185	1979	Concrete Reinforcement	TX 464-573
		Standard Specification for Pressure Vessel Plates, Alloy	
ASTM A203/A 203M	1997	Steel, Nickel	TX 4-654-921
		Standard Specification for High-Strength Low-Alloy	
ASTM A242	1979	Structural Steel	TX 464-573
		Standard Specification for Pressure Vessel Plates,	
ASTM A285	1978	Carbon Steel, Low- and Intermediate-Tensile Strength	TX 464-573
		Standard Specification for High-Strength Bolts for	
ASTM A325	1979	Structural Steel Joints	TX 464-573
		Standard Specification for Seamless and Welded Steel	
ASTM A333/A 333M	1994	Pipe for Low-Temperature Service	TX 4-083-251
		Standard Specification for Carbon and Ferritic Alloy	
		Steel Forged and Bored Pipe for High-Temperature	
ASTM A369/A 369M	1992	Service	TX 4-083-251
		Standard Specification for High-Strength Low-Alloy	
ASTM A441	1979	Structural Manganese Vanadium Steel	TX 464-573
		Standard Specification for Quenched and Tempered	
ASTM A449	1978a	Steel Bolts and Studs	TX 464-573
		Standard Specification for Zinc-Coated Steel Wire	
ASTM A475	1978(1984)e 1	Strand	TX 464-574
		Standard Specification for Quenched and Tempered	
ASTM A490	1979	Alloy Steel Bolts for Structural Steel Joints	TX 464-573
		Standard Specification for Deformed Steel Wire for	
ASTM A496	1978	Concrete Reinforcement	TX 464-573
		Standard Specification for Welded Deformed Steel Wire	
ASTM A497	1979	Fabric for Concrete Reinforcement	TX 464-573
		Standard Specification for Cold-Formed Welded and	
		Seamless Carbon Steel Structural Tubing in Rounds and	
ASTM A500	1978	Shapes	TX 464-573
		Standard Specification for Hot-Formed Welded and	
ASTM A501	1976	Seamless Carbon Steel Structural Tubing	TX 464-573
ASTM A502	1976	Standard Specification for Steel Structural Rivets	TX 464-573
		Standard Specification for High-Yield Strength,	
		Quenched and Tempered Alloy Steel Plate, Suitable for	
ASTM A514	1977	Welding	TX 464-573

		Standard Specification for Pressure Vessel Plates,	
		Carbon Steel, for Moderate and Lower-Temperature	
ASTM A516/A 516M	1990(1996)e1	Service	TX 4-654-921
		Standard Specification for	
		Forged or Rolled 8 and 9 % Nickel Alloy Steel Flanges,	
ASTM A522/A 522M	1995b	Fittings, Valves, and Parts for Low-Temperature Service	TX 4-179-992
		Standard Specification for	
		Supplementary Requirements for Seamless and	
		Electric-Resistance-Welded Carbon Steel	
		Tubular Products for High-Temperature	
		Service Conforming to ISO Recommendations	
ASTM A520	1972(1985)	For Boiler Construction	TX 1-798-078
		Standard Specification for Structural Steel with	
		42,000PSI (290 Mpa) Minimum Yield Point (1/2 in. (12.7	
ASTM A529	1975	mm) Maximum Thickness	TX 464-573
		Standard Specification for Hot-Rolled Carbon Steel	
ASTM A570	1979	Sheet and Strip, Structural Quality	TX 464-573
		Standard Specification for High-Strength Low-Alloy	
ASTM A572	1979	Columbium-Vanadium Steels of Structural Quality	TX 464-573
		Standard Specification for High-Strength Low-Alloy	
		Structural Steel with 50, 000 psi Minimum Yield Point to	
ASTM A588	1979a	4 in. Thick	TX 464-573
		Standard Specification for Steel, Cold-rolled Sheet,	
ASTM A611	1972(1979)	Carbon, Structural	TX 464-573
		Standard Specification for Deformed and Plain Billet-	
ASTM A615	1979	Steel Bars for Concrete Reinforcement	TX 464-573
		Standard Specification for Rail-Steel Deformed and	
ASTM A616	1979	Plain Bars for Concrete Reinforcement	TX 464-573
		Standard Specification for Axle-Steel Deformed and	
ASTM A617	1979	Plain Bars for Concrete Reinforcement	TX 464-573
		Standard Specification for Hot-Formed Welded and	
ASTM A618	1974	Seamless High-Strength Low-Alloy Structural Tubing	TX 464-573
		Standard Specification for Normalized High-Strength	
ASTM A633	1979a	Low Alloy Structural Steel	TX 464-573
		Standard Specification for Free-Cutting Brass Rod, Bar	
ASTM B16	1992	and Shapes for Use in Screw Machines	TX 3-614-178
		Standard Specification for Naval Brass Rod, Bar, and	
ASTM B21	1996	Shapes	TX 4-497-885
		Standard Specification for Seamless Copper Pipe,	
ASTM B42	1996	Standard Sizes	TX 4-497-885
		Standard Specification for Seamless Copper Tube,	
ASTM B68	1995	Bright Annealed	TX 4-243-005

ASTM B75	1997	Standard Specification for Seamless Copper Tube	TX 4-737-834
ASTM B88	1996	Standard Specification for Seamless Copper Water Tube	TX 4-497-885
7.01.11.200	1330	Standard Specification for Copper-Silicon Alloy Plate,	
		Sheet, Strip, and Rolled Bar for General Purposes and	
ASTM B96	1993	Pressure Vessels	TX 3-883-920
		Standard Specification for Copper and Copper-Alloy	
ASTM B111	1995	Seamless Condenser Tubes and Ferrule Stock	TX 4-243-005
		Standard Specification for Copper-Nickel-Tin Alloy,	
		Copper-Nickel-Zinc Alloy (Nickel Silver), and Copper-	
ASTM B122/B 122M	1995	Nickel Alloy Plate, Sheet, Strip and Rolled Bar	TX 4-243-005
		Standard Specification for Copper and Copper-Alloy	
ASTM B124	1996	Forging Rod, Bar, and Shapes	TX 4-497-885
		Standard Specification for Copper Sheet, Strip, Plate,	
ASTM B152	1997a	and Rolled Bar	TX 4-737-834
		Standard Test Method for Resistivity of Electrical	
ASTM B193	1987	Conductor Materials	TX 2-348-166
		Standard Specification for Aluminum and Aluminum	
ASTM B209	1996	Alloy Sheet and Plate	TX 4-475-108
ASTM B224	1980e 1	Standard Classification of Coppers	TX 1-228-879
		Standard Specification for Seamless Copper Tube for Air	
ASTM B280	1997	Conditioning and Refrigeration Field Service	TX 4-497-885
		Standard Specification for Copper and Copper-Alloy Die	
ASTM B283	1996	Forgings (Hot-Pressed)	TX 4-497-885
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ASTM B315	1993	and Tube	TX 4-243-005
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ASTM B557	1984	Aluminum and Magnesium-Alloy Products	TX 1-689-871
		Standard Specification for Anodized Oxide Coatings on	
ASTM B580	1979	Aluminum	TX 534-160
		Standard Specification for Copper, Copper Alloy, and	
		Copper-Clad Stainless Steel Sheet and Strip for	
ASTM B694	1986	Electrical Cable Shielding	TX 2-110-040
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		Susceptibility to Stress Corrosion Cracking in Copper	
ASTM B858	1995	Alloys Using an Ammonia Vapor Test	TX 4-243-005
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ASTM C5	1979(1997)	Purposes	TX 4-787-636
ASTM C150	1999a	Standard Specification for Portland Cement	TX 7-685-927

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		Standard Test Method for Steady-State Heat Flux	
		Measurements and Thermal Transmission Properties by	
ASTM C177	1997	Means of the Guarded Hot-Plate Apparatus	TX 4-811-646
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ACTNA C22C	1000/1003\- 1	Performance of Building Assemblies by Means of a	TV 2.072.250
ASTM C236	1989(1993)e 1	Guarded Hot Box	TX 3-972-350
		Standard Specification for Cellular Elastomeric	TV 2 242 222
ASTM C509	1984	Preformed Gasket and Sealing Material	TX 2-210-202
		Standard Specification for Vermiculite Loose Fill	
ASTM C516	1980(1996)e 1	Thermal Insulation	TX 4-571-119
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		Measurements and Thermal Transmission Properties by	
ASTM C518	1991	Means of the Heat Flow Meter Apparatus	TX 3-278-409
ASTM C549	1981(1995)e 1	Standard Specification for Perlite Loose Fill Insulation	TX 4-584-449
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ASTM C720	1989(1994)e 1	Insulation for Elevated Temperature	TX 4-391-188
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ACTA A D 207	4002(4005)	Petroleum and Petroleum Products (Hydrometer	TV 4 522 450
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ASTM D323	1958(1968)	Products (Reid Method)	
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ASTM D396	1998	Standard Specification for Fuel Oils	TX 4-862-934
		Standard Test Method for Rubber PropertyAdhesion	
ASTM D413	1982(1993)e 1	to Flexible Substrate	TX 4-320-184
ASTM D512	1989(1999)	Standard Test Methods for Chloride Ion In Water	TX 5-785-473
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		Aniline Point of Petroleum Products and Hydrocarbon	
ASTM D611	1982(1998)	Solvents	TX 4-862-934
	ì	Standard Test Method for Rust-Preventing	
		Characteristics of Inhibited Mineral Oil in the Presence	
ASTM D665	1998e 1	of Water	TX 4-862-934
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ASTM D814	1995	Transmission of Volatile Liquids	TX 4-320-184
ASTM D814 ASTM D975	1998b	Standard Specification for Diesel Fuel Oils	TX 4-862-934
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ASTM D975	2007(b)	Standard Specification for Diesel Fuel Oils	TX 7-685-915

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ASTM D1072	1990(1994)e 1	Standard Test Method for Total Sulfur in Fuel Gases	TX 4-768-933
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		(Specific Gravity), or API Gravity of Crude Petroleum	
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		(Specific Gravity) of Viscous Materials by Bingham	
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ASTM D1518	1985(1998)e1	Textile Materials	TX 2-469-775
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		Petroleum (LP) Gases and Propane Concentrates by Gas	
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ASTM D2503	1992(1997)	Measurement of Vapor Pressure	TX 4-623-459
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		Hydrocarbon Liquid Mixtures Containing Nitrogen and	
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		Bias of Applicable Test Methods of Committee D-19 on	
ASTM D2777	1998	Water	TX 5-345-022
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		Relationship and Initial Decomposition Temperature of	
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		Volatile Organic Matter in Water by Aqueous-Injection	
ASTM D2908	1974	Gas Chromatography	TX 534-158

		Standard Practice for Evaluation of Air, Assay Media by	
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ASTM D3173	1987(1996)	Sample of Coal and Coke	TX 4-951-524
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		Standard Test Method for Sulfur in Petroleum Gas by	
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		Standard Test Method for Gross Calorific Value of Coal	
ASTM D3286	1996	and Coke by the Isoperibol Bomb Calorimeter	TX 4-951-524
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§ 1. The Right To Know

The right to know the law, so as not to be ignorant, as ignorance of the law is no excuse.

The right to speak the law, so as to inform the citizenry.

The right to know and speak the law is the underpinning of government in ancient and modern times. The right to know and speak the law is the foundation of the doctrine of the Rule of Law, which provides:

- First, that the laws shall be public, that the arbitrary whims of individual men and women have no place in a society ruled by law. We declare ourselves to be nations of laws, not empires of men.
- Second, that the laws shall apply equally to all. There shall not be one minimum wage for people of color and another for white people. There shall not be one court for men and another for women. The vote shall not be reserved for the rich, disenfranchising the poor with poll taxes or other artificial barriers meant to come between a people and their government.
- Third, that there shall be due process under the law. Judgment shall only be applied after a fair and open proceeding; you shall know the charges levied against you and shall be provided counsel, so that you

may be heard.

When we fail to live up to the Rule of Law, we have failed as a society. Despots may make excuses about extraordinary times or states of emergency, but those reasons are given sheepishly and accepted grudgingly, as we all know that a government that fails to live by the Rule of Law is one that will eventually face the springtime of revolt.

§ 2. The Rule of Law

In the early days of the Roman Republic, the commoners rose against their aristocratic masters and demanded that the laws by which they would be judged should be made known. When the aristocrats resisted, preferring to impose the law arbitrarily, the people quit the city of Rome, leaving the city defenseless and without workers to keep it running.

The great secession led in 450 BCE to the promulgation of the Twelve Tables of Law, which were inscribed on bronze tablets and placed in the agoras for all to read. All citizens were expected to read and know the law, indeed when the Gauls burnt the city in 390 BCE and the tablets were destroyed, all the schoolchildren were able to recite them from memory and they were easily reconstructed.

That the laws shall be written down and promulgated for all to know was a universal value. In Greece, the laws of Solon were inscribed on wooden cylinders and placed in the markets. Aristotle stated in *Politics* that "the rule of law…is preferable to that of any individual…[H]e who bids the law rule may be deemed to bid God and Reason alone rule, but he who bids man rule adds an element of the beast; for desire is a wild beast, and passion perverts the minds of rulers, even when they are the best of men. The law is reason unaffected by desire."

In India, Ashoka the Great ruled from 269 BCE to 231 BCE and inscribed the Code of the Dhamma on 50-foot pillars of stone throughout the land, declaring in Edict Number 4 "that there should be uniformity in law and uniformity in sentencing." Ashoka appointed Dhamma Officers who went out into the provinces, reading the edicts aloud to the people and helping them to understand his laws.

That the law should be known to all was fundamental, but equally important was that the law should not be for sale. When the Barons of England

confronted King John in 1215 on the meadow of Runnymede, one of their chief complaints was that access to the courts had become matter of access to money and that judgments were for sale to those who chose to pay for them. This led to the most long-lasting provision of Magna Carta, one still in force in the United Kingdom and many other common law jurisdictions:

Article 40: "To no one will we sell—to no one will we deny or delay—access to right or justice."

Likewise, in Japan, the 7th-century Prince Shokotu recognized that access to the law and justice should not be a matter of access to money. In the 17-Article Constitution, which is also still in effect, he instructed all Ministers and officials of state to observe the principles he set out:

Article 5: "Of complaints brought by the people there are a thousand in one day. If in one day there are so many, how many will there be in a series of years? If the man who is to decide suits at law makes gain his ordinary motive, and hears causes with a view to receiving bribes, then will the suits of the rich man be like a stone flung into water while the complaints of the poor will resemble water cast upon a stone. Under these circumstances the poor man will not know where to take their complaints."

That all people should know their duties was expressed in China in the first printed book, *The Diamond Sutra*, which was dedicated to "universal free distribution." In the Chinese Buddhist tradition, one gains merit by copying or printing. The writing of the laws began in China in 536 BCE, when Xing Shu inscribed the code of punishments on a bronze tripod for all to see. Then, 20 years later a neighboring state inscribed the laws on an iron tripod, then private citizens copied them onto bamboo. For the next millennium, the Chinese government balanced the Confucian precepts of rule-by-man with the codified principles of rule-by-law.

As new governments were formed to throw off colonial and dynastic yokes, equality under the law and government by Rule of Law became guiding principles. The U.S. Constitution enshrined equality and due process into the fabric of the newly United States. John Adams explained in his *Dissertation on the Canon and Feudal Law* that the key to making this experiment in democracy work would be the participation of an informed citizenry:

"Let us tenderly and kindly cherish, therefore, the means of knowledge. Let us dare to read, think, speak, and write. Let every

order and degree among the people rouse their attention and animate their resolution. Let them all become attentive to the grounds and principles of government, ecclesiastical and civil. Let us study the law of nature; search into the spirit of the British constitution; read the histories of ancient ages; contemplate the great examples of Greece and Rome; set before us the conduct of our own British ancestors, who have defended for us the inherent rights of mankind against foreign and domestic tyrants and usurpers, against arbitrary kings and cruel priests, in short, against the gates of earth and hell."

An informed citizenry requires the freedom to read and write the law. When the issue came before the U.S. Supreme Court, it ruled unanimously in *Wheaton v. Peters* (1834) that the law belonged to the people, not to the government and certainly not to private citizens, stating "no reporter has or can have any copyright in the written opinions delivered by this Court."

The principle that the law belongs to the people was repeatedly affirmed. In Banks v. Manchester (1888), the Supreme Court rejected copyright claims over state court opinions. In Veeck v. Southern Bldg. Code Congress (2002), the 5th Circuit of the Court of Appeals rejected copyright claims over model building codes that were incorporated into law in Texas, stating "[P]ublic ownership of the law means precisely that 'the law' is in the 'public domain' for whatever use the citizens choose to make of it."

In the 20th Century, governments all over the world have repeatedly reaffirmed the importance of the Rule of Law and of fundamental human rights, which include the right to know what our governments require of us. This right has been particularly important in the formation of the European Union. Article 15 of the Treaty on the Functioning of the European Union emphasized the "right of access to documents of the Union's institutions," the Charter of Fundamental Rights of the European Union guarantees a "right of access to documents," and the Treaty of Amsterdam firmly reaffirmed the principle:

Article 1: "The Union is founded on the principles of liberty, democracy, respect for human rights and fundamental freedoms, and the rule of law, principles which are common to the Member States."

The courts in Europe have repeatedly reaffirmed these principles. In the United Kingdom, for example, in *Blackpool v. Locker* (1948), the King's Bench refused to enforce regulations that were not available for the public to read. In *Fothergill v. Monarch Airlines* (1981), the House of Lords stated

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that "the need for legal certainty demands that the rules by which the citizen is bound should be ascertainable by him." In Sunday Times v. United Kingdom (1979), the European Court of Human Rights stated that "[T]he law must be adequately accessible: the citizen must be able to have an indication that is adequate in the circumstances of the legal rules applicable to a given case."

The Rule of Law is not a concept limited to western or northern countries, to developed countries, or any other lines drawn that divide our world into sectors. The Rule of Law unites our world around a basic truth, that all human beings have basic rights. The Universal Declaration of Human Rights (1948) states:

Article 19: "Everyone has the right to freedom of opinion and expression; this right includes ... to seek, receive and impart information and ideas through any media and regardless of frontiers."

The rights of speech and expression are fundamental to any declaration of human rights. The right of access to justice is equally fundamental. There can be no human rights in any meaningful sense if we limit who is allowed to read the law and who is allowed to speak it. Human rights begin with all citizens knowing their duties and their rights under the law.

§ 3. Code is Law

Law has always been technical. Regulation of public safety and the promotion of standards for fair trade have always stood hand-in-hand with the regulation of the procedures of justice. When the Barons at Runnymede forced King John to agree to Magna Carta, the articles guaranteeing access to justice came right after the article proclaiming a system of uniform weights and measures:

Article 35: "Let there be throughout our kingdom a single measure for wine and a single measure for ale and a single measure for corn, namely 'the London quarter,' and a single width of cloth (whether dyed, russet or halberjet) namely two ells within the selvedges and let it be the same with weights and measures."

England was not unique. In most of the ancient edicts of government, we see the regulation of technology for public safety and the promotion of trade sitting alongside the procedures of justice, the functioning of the divisions of

government, and other constitutional issues. In Ashoka's Second Edict he made provisions for the availability of important medical roots and fruits; in other edicts he established systems of irrigation and safe roads. In early Irish law we see provisions of family law sit alongside standards for beekeeping and the proper functioning of watermills.

As our modern era began, the provision of the public safety became an increasingly important function of government. Railways helped open up the United States, but at a tremendous cost in lives from manual hand brakes and link-and-pin couplers for the cars. With the passage of the Railroad Safety Appliance in 1893, the number of accidents fell dramatically as air brakes and automatic couplers became required on all trains.

In American cities, children were dying because milk was being adulterated with fillers such as chalk and kept in grossly unsanitary conditions. With the passage of the Food Act of 1899, the Board of Agriculture was finally able to issue the 1901 Sale of Milk Regulations, establishing standards of purity and hygiene, followed soon after by the Federal Foods and Drugs Act of 1906 which established the Food and Drug Administration.

Perhaps the most significant of the public safety regulations at the turn of the century were the fire codes. The impetus was the horrific New York Triangle Shirtwaist Factory Fire of 1911, where the exit doors were locked shut and 146 garment workers died from fire and smoke, many of them leaping to their deaths from the 10th floor of the factory, a scene so horrific that an observer called it "the day it rained children."

The fire led to the creation of a Committee on Public Safety led by Frances Perkins, and with the backing of Tammany Hall's Al Smith, to the promulgation of mandatory fire codes. Since then, groups such as the National Fire Protection Association have created the high quality building, fire, electrical, and other public safety codes required throughout the world. When those codes are ignored, we see tragedies such as the Bangladesh Tazreen Fashions fire of 2012, a fire that bore a striking and horrifying resemblance to the Triangle Shirtwaist fire 101 years earlier.

In our modern world, public safety regulations are a key function of government. Natural gas and oil, for example, power our modern cities, but those substances can cause grave harm. In the United States, we learned this repeatedly when the Texas City refinery explosion of 2005 killed 15 and injured 170, when the Deepwater Horizon oil spill of 2010 threw 4.9 billion gallons of oil into the Gulf, and when a 30-inch gas pipeline in San Bruno,

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California, exploded and sent a blast of fire 1,000 feet high.

Technical regulations encompass a huge swathe of our modern life, a natural outcome of our technical society. Building and other codes, food safety, hazardous materials, the environment, occupational safety in factories and farms, and the safety of products are all subject to these regulations. While some may argue there is too much regulation and others argue there is too little, before we can have that discussion the citizenry must be informed.

§ 4. Indefensible Thunderbolts

Ignorantia juris non excusat is the well-established doctrine that ignorance of the law is no excuse. That citizens must be notified of the laws that affect them was the genesis of the Federal Register, an official gazette of the United States, established after the Supreme Court ruled in the *Hot Oil Case* (1935) that regulations that the government failed to publish were not valid. Notification of the citizenry of their rights and responsibilities is a requirement of lawmaking.

In most of the world, including the United States, there has arisen a system for technical laws known as incorporation by reference. The standards governing topics such as building codes or hazardous material transport are developed by ostensibly private bodies. The government then publishes a notice in an Official Gazette incorporating these standards into the law, but the text of the standards must often be purchased from a private body.

The private bodies that develop these standards have been delegated law-making authority from their governments. In most cases, these private bodies are created by their governments. The British Standards Institution, for example, was created by a Royal Charter in 1929 and represents the United Kingdom in numerous international forums, including the International Organization for Standardization (which it helped create) and the European Union's European Committee for Standardization (CEN). As the duly delegated agent for this form of European Union regulation, the British Standards Institution is required to adopt and publish EU standards without change, making the law available to citizens. The official United Kingdom repository of statutes lists hundreds of statutory instruments that mention British Standards Institution documents.

While technical standards have the force of law, the governmental bodies that promulgate these standards and a series of nonprofit organizations that have

sprung up besides them all to often maintain that the laws are their private property and can only be accessed after paying a fee. More insidiously, these organizations maintain that they continue to own the documents even after you have paid the fee, exercising controls such as restricting the ability to print the document, or copy it, or even to quote excerpts without their case-by-case prior written approval.

These restrictions on use are implemented through a number of techniques. Many standards are only available in a shrink-wrap license, an agreement that claims that by opening the packaging the reader agrees that they don't own the document but only "license" it and agree not to redistribute or quote without permission. For online distribution, many standards come with Digital Rights Management (DRM) software that ties the document to a specific computer and restricts the ability to copy text from the standard or print it.

These restrictions on use are proclaimed loudly and prominently, with watermarks being put on every page of some documents purchased, strident terms of use, and publicity campaigns reminiscent of the "FBI Warnings" stamped on the beginning of many movies. But, there is a world of difference between a privately created movie and a legal document carrying out the edicts of government. To proclaim ownership of edicts of government is a false proclamation, what is known in the law as the *Doctrine of Brutum Fulmen*, the use of an indefensible thunderbolt to make others give up their rights under the law.

The law belongs to the people, and cannot become the private property of some governmental or non-governmental organization, no matter how seemingly well-deserved are the rents one could extract from winning a monopoly concession on a parcel of the law. While standards bodies need money to carry out their valuable work, and while it is clear that these standards bodies create high-quality documents that are essential to our public safety, one cannot cordon off the public domain simply because of an institutional desire for funds.

An examination of the financial status of standards organizations reveals a wide variation in composition and revenue streams. In India, for example, less than 4% of revenue at the Bureau of Indian Standards (BIS) comes from the sales of documents. BIS, like the British Standards Institution, Underwriters Laboratories, Standards New Zealand, and many other organizations throughout the world, have a thriving business in certification and testing.

Some standards bodies, such as the National Fire Protection Association and the International Organization for Standardization, depend more heavily on the sale of standards documents. However, even in these cases there are many other revenue streams and there are opportunities to adjust the business models to more properly reflect the importance of their work throughout society. And, in many cases, there is room for a fresh look at expenses, such as million-dollar CEO salaries, some of the highest salaries in the non-profit world.

Not all standards bodies have become addicted to these copious revenues that accompany these indefensible thunderbolts. In some countries, such as Thailand, Indonesia, and Ecuador, standards are freely available to citizens as a matter of public policy. Many standards bodies thrive on an open standards model, including key areas such as all the standards that govern the operation of the Internet created by the Internet Engineering Task Force and the World Wide Web Consortium, and the food safety standards promulgated in the Codex Alimentarius by the World Health Organization and the Food and Agriculture Organization of the United Nations.

One of the most insidious aspects of the current system is the wide variance of the price of standards. A basket of 11 public safety standards published by the International Organization for Standardization and also required by the European Union was assembled and priced by Public.Resource.Org in the retail outlets of 42 national standards bodies. Even within the European Union the prices varied wildly, from \$175 in the Former Yugoslav Republic of Macedonia to in Lithuania to \$2628 for the same standards in the United Kingdom. Because access to the standards (and the national forwards to the standards) is vital for economic activity across national borders, the opportunistic pricing by money-hungry standards bodies becomes a tall barrier to trade.

While extracting a tax on each reader of a standards document is an impediment to the Rule of Law, the restriction on reuse of the documents is even more serious. The law is the raw material of democracy, and being able to work with these documents to create better ways to inform the citizenry is crucial to the proper workings of justice, governance, and politics.

In many cases, the standards promulgated by standards bodies are only available electronically on a web site that only works on a certain of browser, or as a PDF file with a plugin that only runs on certain operating systems. In many cases, the documents are so restricted in use that they won't work with software used by the visually impaired, or the searching capabilities are so

restricted that lawyers, paralegals, policy analysts, legislative aides, and government officials are unable to find the passages they need.

One of the most important reasons the law has no restrictions on use is so that innovation may flourish in the marketplace, creating better solutions for citizens, lawyers, government workers, and public safety professionals. Restrictions on reuse have frozen the format of standards documents inside dozens of old web sites and outmoded formats maintained by standards organizations, many of whom run Internet sites that are littered with technical errors and broken software.

Perhaps the most troubling indefensible thunderbolts are when the law is kept secret and may not be consulted. In Estonia, one of the most advanced and democratic societies and generally an exemplar of open government practices, Eesti Standardikeskuse (EVS) received an order with payment via PayPal from Public.Resource.Org for €3,208.68 for the purchase of 166 technical standards required under Estonian law. The next day, the order was cancelled, the money returned, and a notice dispatched indicating that the service was being refused. When we inquired as to why, the answer was a curt 1-line response:

"We would keep the circumstances to ourselves and we recommend to order the standards from another country."

Even in the case of European Union regulations, which must be adopted by all European Union nations without change, there is a national foreword. Other standards are developed specifically for Estonia and are only available from EVS. Public.Resource.Org wrote to the Honorable Thomas Hendrik Ilves, the President of Estonia and a leader in open government and asked him for help. When he didn't answer, we wrote to the President's aide, and then to the President's son, neither of whom answered. In a society governed by the Rule of Law, should one have to know the President's son to be able to purchase the law? In a modern democracy, should the government be able to pick and choose who shall know the rules?

§ 5. This Law is Your Law

The U.S. Copyright Office, in the Compendium of Copyright Office Practices, states:

"Edicts of government, such as judicial opinions, administrative

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rulings, legislative enactments, public ordinances, and similar official legal documents are not copyrightable for reasons of public policy."

In order to promote public education and public safety, equal justice for all, a better informed citizenry, the rule of law, world trade and world peace, Public.Resource.Org has undertaken to make technical edicts of government available on a noncommercial basis, as it is the right of all humans to know and speak the laws that govern them.

The focus in this release is on mandatory public safety standards. In many nations, public safety standards are expressly mandatory. In other countries, elaborate dances are undertaken to protect an illusion that the standards are somehow voluntary, but in each of the documents published there is a compelling public interest and the documents have been promulgated under the direction of government and play a key role in society.

A number of the documents released come directly from the standard bodies, because they make the documents available in draft or in final form. In other cases, such as China, the documents were submitted to the World Trade Organization, which maintains a portal with thousands of standards. These standards are made available to the public as part of the WTO's mandate to promote world trade by requiring full disclosure of the rules and standards governing trade with a country.

Many of the documented released were purchased directly from standards organizations after careful research. Most of the standards were ordered in paper format. For PDF files, such as those that were obtained from the World Trade Organization, the documents were fixed by properly embedding fonts and fixing technical errors.

One of the most important reasons for making standards available is to allow for transformative uses, proving better access and utility for citizens. Of the standards being published, several hundred have so far been rekeyed and reset by Public.Resource.Org into valid HTML files. Many of the graphics have been redrawn into the open Scalable Vector Graphics (SVG) format so that the graphics can be resized and manipulated. Likewise, mathematical formulas are being reset into the Math Markup Language (MML), providing better access for the visually impaired and better functionality for those wishing to cut and paste formulas.

A number of other transformative uses become possible when the documents have been rekeyed into valid HTML. Proper metadata is added to

the document headers, making them accessible and discoverable by search engines. Access protocols such as FTP and rsync allow bulk access and resynchronization to large collections of standards documents. Digital signatures allow users to verify that the documents have not been modified by comparing them to a known good version of the document.

All over the world, for centuries, nations have embraced the concept of the Rule of Law—the principle that prescribed law, rather than the whims and desires of any individual, should govern society. The Rule of Law is enshrined in ancient texts and in modern legislation, treaties, and judicial decisions. It is a central protection against tyranny and against a society where justice is arbitrary and some gain unfair advantage over others.

Only if the law is truly free and available can we expect people and enterprises to obey the law, to know their rights under the law, and to evaluate and participate in the work of improving the law. Only if the law is accessible to all, can we truly say that a society is governed by the Rule of Law.

By making technical standards governing building safety, transportation safety, energy safety, food and water safety, and other important areas readily available to all without restriction, we make society better. First responders and government officials can do more to protect citizens. Small enterprises can more easily and affordable comply with the law and build new businesses. Students, educators, scientists, engineers, policy advocates, journalists, and government workers can more easily read the standards and learn about technology, commerce, and government. They can work to improve the standards themselves, and they can improve upon the accessibility and usefulness of the standards by making searchable databases or better navigational tools.

Innovation and education will benefit by opening up this world, but at the root are basic issues of democracy and justice. We cannot tell citizens to obey laws that are only available for the rich to read. The current system acts as a poll tax on access to justice, a deliberate rationing and restriction of information critical to our public safety and economic progress.

The law must be easily available to all people, access to the legal system and the texts that make up the law should not be bought, or sold, or rationed. People must have the right—an unfettered right—to read the law.

People must also have the right to communicate the provisions of law to

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others—to speak the law. When Justice Stephen Breyer said, "if a law isn't public, it isn't a law," he was expressing the long-standing doctrine of the Rule of Law, one that has become ever more important in our information age.

Nobody can deny you the right to read and know the law. Nobody can tell you that justice is for sale. Read the law and make it better. Make your society better and make it safer.

You own your government. The Rule of Law is the rule of the people.

The law is yours to read, yours to know, and yours to speak. This law is your law.

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