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### FORM FOR COMMENTING ON A PUBLIC REVIEW DRAFT ASHRAE STANDARD, GUIDELINE OR ADDENDUM

PLEASE RETURN COMPLETED FORM BY

1.	Name:							
	Affiliation:							
	Address:		City:	State:	Zip:			
	Telephone:	Fax:		E-mail:				
	Designation and Title of First l	Public Review I	Draft:					
sepa by to those original dial com	NOTE: Use a separate form for each comment, completing each section (including Sections 1 and 2) to facilitate separate processing. ASHRAE encourages original commentary on its standards. All comments must be accompanied by the commenter's signed release, as provided below. However, if commenters submit comments authored by others, those comments must also be accompanied by a signed copyright release from the author of the original comment. The original comment author, representing commenters who have submitted duplicate comments may be asked to engage in dialog supporting their position. All commenters shall receive acknowledgment from ASHRAE of receipt of their comment, and may receive a response in the form of the resolution of the original comment with that comment's author.							
2. (	Copyright Release:							
exc	I hereby grant the American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) the non-exclusive royalty rights, including non-exclusive royalty rights in copyright, in my proposals and I understand that I acquire no rights in publication of this standard in which my proposals in this or other similar analogous form is used. I hereby attest that I have the authority and am empowered to grant this copyright release.							
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### Case 1:13-cv-01215-TSC Document 122-4 Filed 12/22/15 Page 3 of 97

[] Check here if your comment is supportive in nature and does not require substantive changes in the current proposal in order to resolve your comment. If you select this option, your comment will not require a response from the project committee and will not be subject to the commenter response procedures.

**NOTE:** Use separate form for each comment. Submittals (MS Word preferred) may be attached to email, submitted on diskettes, uploaded to ASHRAE's ftp site, or submitted in paper form by mail or fax to ASHRAE, Manager of Standards, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: <a href="mailto:public.review.comment@ashrae.org">public.review.comment@ashrae.org</a>, directory: <a href="public.review.comment">public.review.comment</a>. Fax 678-539-2134.

**NOTE:** To ensure receiving all notices provided for in the procedures, you are responsible for informing the ASHRAE Standards Section when your contact information changes. Otherwise you may not receive responses to comments, right-to-appeal notices, and any other notices that may be sent to commenters. To update your contact information, send an email to <a href="mailto:standards.section@ashrae.org">standards.section@ashrae.org</a>. You must indicate which public review draft or drafts you are commenting on in your email.

Rev. 01-30-2006



### FORM FOR COMMENTING ON A PUBLIC REVIEW DRAFT ASHRAE STANDARD, GUIDELINE OR ADDENDUM

PLEASE RETURN COMPLETED FORM BY April 30, 2007

1.	Name:			
	Affiliation:			
	Address:	City:	State:	Zip:
	Telephone:	Fax:	E-mail:	
		<b>Public Review Draft:</b> BSR/ASH rd 90.1-2007, <i>Energy Standard fo</i>		
sepa by to those original	arate processing. ASHRAE encounthe commenter's signed release, as see comments must also be accomplished comment author, representing og supporting their position. All ament, and may receive a response	ch comment, completing each securages original commentary on its a provided below. However, if comanied by a signed copyright release commenters who have submitted a commenters shall receive acknows in the form of the resolution	standards. All nmenters subre from the auti duplicate con wledgment fr	I comments must be accompanied nit comments authored by others, hor of the original comment. The nments may be asked to engage in om ASHRAE of receipt of their
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[ ] Check here if your comment is supportive in nature and does not require substantive changes in the current proposal in order to resolve your comment. If you select this option, your comment will not require a response from the project committee and will not be subject to the commenter response procedures.

**NOTE:** Use separate form for each comment. Submittals (MS Word preferred) may be attached to email, submitted on diskettes, uploaded to ASHRAE's ftp site, or submitted in paper form by mail or fax to ASHRAE, Manager of Standards, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: public.review.comment@ashrae.org. Ftp server address: ftp.ashrae.org, directory: public.review.comment. Fax 678-539-2134.

**NOTE:** To ensure receiving all notices provided for in the procedures, you are responsible for informing the ASHRAE Standards Section when your contact information changes. Otherwise you may not receive responses to comments, right-to-appeal notices, and any other notices that may be sent to commenters. To update your contact information, send an email to <a href="mailto:standards.section@ashrae.org">standards.section@ashrae.org</a>. You must indicate which public review draft or drafts you are commenting on in your email.

Rev. 01-30-2006

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### FORM FOR SUBMITTAL OF PROPOSED CHANGE TO AN ASHRAE STANDARD UNDER CONTINUOUS MAINTENANCE

**NOTE:** Use a separate form for each comment. Submittals (Microsoft Word preferred) may be attached to e-mail (preferred), submitted on a CD, or submitted in paper by mail or fax to ASHRAE, Manager of Standards, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: change.proposal@ashrae.org. Fax: +1-404/321-5478.

1. Submitter:					
Affiliation:					
Address:	City:	State:	Zip:	Country:	
Telephone:	Fax:	E-Mail:			
I hereby grant the American Societical Societical Property of the Standard in what authority and am empowered to grant the Standard in what the Standard in what the Standard in what the Standard in the Stan	g non-exclusive rights in copyr ich my proposals in this or othe	ight, in my proposal	s. I understan	d that I acquire no rights	in
Submitter's signature:	I	Date:			
All electronic submittals must h	uave the following statement co	ompleted:			
I (insert name) the American Society of Heating rights, including non-exclusive of the standard in which my propose empowered to grant this copyrig  2. Number and year of standard	g, Refrigerating and Air-Conditing in copyright, in my proposals in this or other analogous for the release.	ioning Engineers (A sals. I understand th	SHRAE) the at I acquire n	non-exclusive royalty orights in publication of	•
3. Page number and clause (sec	tion), subclause, or paragrap	h number:			
	Change to read as follows Add new text as follows		and substitute without subst		
Use underscores to show mater	ial to be added ( <u>added</u> ) and strike throu	gh material to be deleted	( <del>deleted</del> ). Use as	lditional pages if needed.	
5. Proposed change:					
6. Reason and substantiation:					
7. Will the proposed change inc to why the increase is justified.	rease the cost of engineering	or construction? If	yes, provide	a brief explanation as	
[ ] Check if additional pages are [ ] Check if attachments or refeall attachments and references are	erenced materials cited in this	proposal accompany			

Rev. 3-9-2007



Rev. 10/09

# APPLICATION FOR PROJECT COMMITTEE ORGANIZATIONAL REPRESENTATIVE MEMBERSHIP EXHIBIT

1. ASHRAE Project Committee (Please use a separate form for each committee)  SSPC 34 Designation and Safety Classification of Refrigerants												
	SSPC 62.1 Ventilation for Acceptable Indoor Air Quality											
<ul> <li>□ SSPC 62.2 Ventilation and Acceptable Indoor Air Quality in Low-Rise Residential Buildings</li> <li>□ SSPC 90.1 Energy Standard for Buildings Except Low-Rise Residential Buildings</li> <li>□ SSPC 90.2 Energy Efficient Design of Low-Rise Residential Buildings</li> <li>□ SSPC 161 Air Quality Within Commercial Aircraft</li> </ul>												
2. Name of Applicant  Print or Type Name (First, Middle Initial, Last)  Today's Date												
ASH	RAE Member ID	Primary or Alterr	nate Representativ	e? (	Organization Repres	sented						
□ Ha	as been completed	ormation on ASHF d/updated and contai		,								
□W	ill be completed/u	pdated on		<del></del> '								
ar (N 5. If	<ol> <li>The Potential Sources of Bias/Conflict of Interest form, specifically applicable to the purpose and scope of this Project Committee, has been completed:  Yes No         (Note: This form must be completed if applying for membership on a Project Committee.)</li> <li>If elected as an Organizational Member of the Project Committee, I would qualify in the following Interest Category (check one): (See next page for description of interest categories)</li> </ol>											
	SSPC 34	SSPC 62.1	SSPC 62.2	SSPC 90.1	SSPC 90.2	SSPC 161						
	onsulting ngineer/Contractor/ rchitect	☐ Design/Builders	☐ Design/Builders	Compliance	☐ Compliance	Flight Personnel						
□ G	eneral Interest roducer/Vendor ser	☐ Manufacturer	General Interest Manufacturer Own/Oper/Occ	Designer General Interest Industry User Utility	General Interest Industry Producer User Utility	General Interest Manufacturer Owner/Operator Passenger						
6. By	signing below	, I certify that:										
6. By signing below, I certify that:  If elected as an Organizational Member of any ASHRAE Standard or Guideline Project Committee or appointed as a consultant to such committee I hereby grant the American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) the non-exclusive, royalty-free rights, including nonexclusive, royalty rights in copyright, to any contributions I make to documents prepared by or for such committee for ASHRAE publication and I understand that I acquire no rights in publication of such documents in which my contributions or other similar analogous form are used. I hereby attest that I have the authority and I am empowered to grant this copyright release.												
l am a	uthorized to commit	that my organization a	grees to the following	<b>j</b> :								
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Submit Completed Form to: (PCMembership@ashrae.net); Tel. (678) 539-1125; Fax (678) 539-2125

### BACKGROUND

ASHRAE Standards 34, 62.1, 62.2, 90.1, 90.2 and 161 impact a diverse group of individuals and industries. The constituency for these standards transcends the typical interest categories suggested by ANSI. These interest categories are intended to address the multidisciplinary nature of the standards, and to provide a better balance of interest categories for the SPC/SSPC.

### **DEFINITIONS**

interest: the perspective of a member of a project committee, as judged by his or her present and past sources of income, fees, or reimbursements of related expenses, in the context of the purpose and scope of the project committee. The perspective may also be judged by the recorded views of the individual, or of any organization he/she is employed by or of which he/she is a member.

interest categories: the principal (top) tier of interest classifications. For some standards projects, it may be appropriate to designate subcategories of one or more interest category.

### **INTEREST CATEGORIES**

Compliance: Persons primarily interested in compliance with the standard. A person in this category would make their living from developing regulations, enforcing the requirements of the standard, developing programs tied to the standard, or advocating the standard. Example members of this category would be building code officials, building code organizations, state energy offices, and other local, state, and federal officials.

Designer: A designer of buildings, building systems or subsystems (including envelope, HVAC, lighting). A person in this category would make their living from designing buildings and systems that are impacted by the standard. Example members of this category would be architects, design firms, consulting engineers, lighting designers and employees of energy consulting firms.

Designers/Builders: Those who provide building design and construction services, including consulting engineers, HVAC and general contractors, design /build contractors, or representatives of associations of these types of professionals.

General: Building regulatory officials or their representatives, researchers, educators, IAQ specialists, and others with expertise in the fields of ventilation and indoor air quality. Also, medical doctors, public health experts, industrial hygienists or representatives of associations of these types of professionals.

In addition, this category is intended for those who have interests other than those described in the other categories. Example members of this category would be employees of research institutions, universities, nationally recognized testing laboratories, employees of energy advocacy groups, and others with a general interest in energy utilization in buildings.

Industry: Construction firms or manufacturers, producers, or distributors of products or systems that would be installed in buildings. A person in this category would make their living from constructing buildings or producing or distributing products impacted by this standard or representing groups of manufacturers impacted by this standard. Example members of this category would be contractors, manufacturing firms, assembly firms, distributors and wholesalers, and industry trade associations that represent these groups.

Manufacturers: Employees or representatives of manufacturers, distributors or trade associations of HVAC equipment, HVAC controls, and equipment designed to enhance indoor air quality (e.g. air cleaners). Also, individuals associated with products used in the construction of buildings (e.g., finishes, wall and floor coverings, wood products) and used within buildings by occupants (e.g., furniture and furnishings, tobacco products, appliances, office equipment).

Owners/Operators/Occupants: Employees or representatives of building owners/managers, building engineers, facility managers, and consultants who specialize in working in existing buildings (as opposed to those who design and construct new buildings). Also representatives of building occupants.

Producer: those directly concerned with the production or distribution of the product or service involved, including industry associations representing producers or distributors, or those receiving substantial support from a producer directly concerned.

User: Users of buildings and building systems and subsystems. A person in this category would make their living from owning or operating buildings. Example members of this category would be building owners and operators (private and governmental), tenants, and trade associations or organizations representing these groups.

Utility: Those who provide energy services to buildings impacted by this standard. A person in this category would make their living from providing energy services to a building impacted by this standard. Example members of this category would be electric, gas, steam, or other utility and trade associations or organizations representing these groups.



# PART 2 of 2 PROJECT COMMITTEE ORGANIZATIONAL MEMBERSHIP CONTACT INFORMATION

ommittee No:			
ommittee Name			<del></del>
		<del></del>	
Organization			
Contact Name / Title			
Address			
City	State	Zip	-
Phone	Fax		
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Primary Representative Name / 7	Γitle		
Address			
City	State	Zip	
Phone	Fax		
e-mail			
Altarnata Danzagantativa Nama	Title		
Alternate Representative Name /	Title		
Address			_
City	State	Zip	
Phone	Fax		
e-mail	- '		

Please describe your organization's interest in this Project Committee:

Please submit this form with copies of the "Application for Project Committee Organizational Representative Membership" forms for each applicant listed above.

Submit completed forms to <a href="mailto:PCMembership@ashrae.net">PCMembership@ashrae.net</a> or fax to 678 539-2125

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### ASHRAE Standard/Guideline Project Committee Application for Individual Membership

1152
3.20.15

1. 1	Name of Applicant	t P	rint or Type Name (L	ast, Fir	st, Middle Initial)	belov	v		Today's Da	ate		84	95 3.30.15
			Member ID (ASHI pplying for Chair or V				*Committee Po	sition	for Which You .	Are A	pplying: (see be	elow)	<del></del>
*\	/M-Project Committee	e Voti	ng Member, SVM-Proje	ect Subca	ommittee Voting Mer	mber,	NVM-Non-Voting M	(ember	r, CON-Consultant, o	or Prin	nary/Alternate Orga	nization	al Rep
<b>2.</b> I	am applying for In	divi	lual Membership on t	he folk	owing ASHRAE P	rojec	Committee: (Plea	ase us	e a separate form	for ea	ch committee.)		
	SSPC 34		Designation an	ıd Safe	ty Classification (	of Re	frigerants						
	SSPC 62.1		Ventilation for	· Accep	otable Indoor Air	Qual	ity						
	SSPC 62.2		Ventilation and	d Acce	ptable Indoor Air	r Qua	lity in Low-Rise	Resid	ential Buildings				
	SSPC 90.1		Energy Standa	ırd for	Buildings Except	Low	-Rise Residential	Build	lings				
	SSPC 90.2		Energy Efficien	nt Desi	ign of Low-Rise R	leside	ential Buildings						
	SSPC 161		Air Quality W	ithin C	ommercial Aircr	aft							
	SPC 189.1		Standard for the	he Desi	ign of High-Perfo	rmar	ice, Green Buildii	ngs E	xcept Low-Rise F	teside	ntial Buildings		
	ou are applying fo tion 4:	rac —	ommittee other than	those	listed above, plea	se en	ter committee inf	orma	tion below and u	se the	Default Interes	t Categ	ories listed in
3. E	Bio Report (in the	"Me	mber Central" sectio	on of th	ne ASHRAE webs	site) a	ınd the Potential	Sourc	es of Bias/Confli	ct of I	nterest form:		
	Bio information	curr	ent within a year fron	n today	. Date Bio inform	ation	on ASHRAE's we	bsite	was completed/up	dated	:		
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			of the Project Comm Categories are on Pa		would qualify in	the f	ollowing Interest	Cate	gory (check one):				
	DEFAULT		SSPC 34		SSPC 62.1		SSPC 62.2		SSPC 90.1		SSPC 90.2		SSPC 161
	General Interest		Consulting Engineer/ Contractor/Architect		Design/Builders		Design/Builders		Compliance		Compliance		Flight Personnel
	Producer		General Interest		General Interest		General Interest		Designer		General Interest		General Interest
	User		Producer/Vendor		Manufacturer Owner/Operator/		Manufacturer Owner/Operator/		General Interest		Industry		Manufacturer
	Supplier (category may not be	-	User		Occupant		Occupant		Industry		Producer		Owner/Operator
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5. 1	By signing belo	w, l	certify that:										
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SIGNATURE \_\_\_\_

Note: This form is not valid unless signed by Applicant

Submit Completed Form to: Standards Section@ashrae.org; Tel. (678) 539-1143; Fax (678) 539-2143

Last Revision: 10/09 - Page 1

Note: If applying for Organizational membership, please complete the Application for Project Committee Organizational Representative Membership with your information, and the Application for PC Organizational Membership with information on the organization you will represent.

### **DEFINITIONS**

Interest: the perspective of a member of a project committee, as judged by his or her present and past sources of income, fees, or reimbursements of related expenses, in the context of the purpose and scope of the project committee. The perspective may also be judged by the recorded views of the individual, or of any organization he/she is employed by or of which he/she is a member.

Interest categories: the principal (top) tier of interest classifications. For some standards projects, it may be appropriate to designate subcategories of one or more interest category.

### INTEREST CATEGORIES

Compliance: Persons primarily interested in compliance with the standard. A person in this category would make their living from developing regulations, enforcing the requirements of the standard, developing programs tied to the standard, or advocating the standard. Example members of this category would be building code officials, building code organizations, state energy offices, and other local, state, and federal officials.

**Designer:** A designer of buildings, building systems or subsystems (including envelope, HVAC, lighting). A person in this category would make their living from designing buildings and systems that are impacted by the standard. Example members of this category would be architects, design firms, consulting engineers, lighting designers and employees of energy consulting firms.

Designer/Builder: Those who provide building design and construction services, including consulting engineers, HVAC and general contractors, design /build contractors, or representatives of associations of these types of professionals.

Flight Personnel: Individuals who are employed by the airlines as part of the aircraft crew (pilots and flight attendants) or individuals employed by the airlines to maintain the aircraft, and the organizations that represent these individuals.

General Interest: Building regulatory officials or their representatives, researchers, educators, IAQ specialists, and others with expertise in the fields of ventilation and indoor air quality, as well as medical doctors, public health experts, industrial hygienists or representatives of associations of these types of professionals. In addition, this category is intended for those who have interests other than those described in the other categories. Example members of this category would be employees of research institutions, universities, nationally recognized testing laboratories, employees of energy advocacy groups, and others with a general interest in energy utilization in buildings.

Industry: Construction firms or manufacturers, producers, or distributors of products or systems that would be installed in buildings. A person in this category would make their living from constructing buildings or producing or distributing products impacted by this standard or representing groups of manufacturers impacted by this standard. Example members of this category would be contractors, manufacturing firms, assembly firms, distributors and wholesalers, and industry trade associations that represent these groups.

Manufacturer: Employees or representatives of manufacturers, distributors or trade associations of HVAC equipment, HVAC controls, and equipment designed to enhance indoor air quality (e.g. air cleaners). Also, individuals associated with products used in the construction of buildings (e.g., finishes, wall and floor coverings, wood products) and used within buildings by occupants (e.g., furniture and furnishings, tobacco products, appliances, office equipment).

Owner/Operator/Occupant: Employees or representatives of building owners/managers, building engineers, facility managers, and consultants who specialize in working in existing buildings (as opposed to those who design and construct new buildings), as well as representatives of building occupants.

Passenger (As used by SPC 161): Individuals who pay to ride on aircraft, and the organizations that represent these individuals,

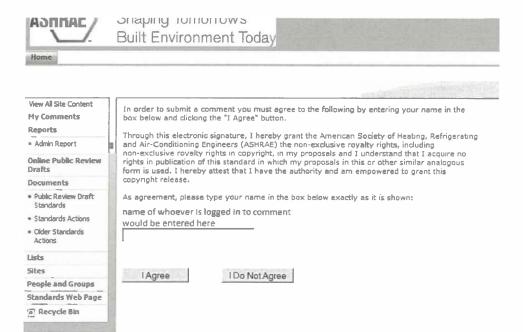
**Producer:** Those directly concerned with the production or distribution of the product or service involved, including industry associations representing producers or distributors, or those receiving substantial support from a producer directly concerned.

Supplier: Employees of firms that provide maintenance services for HVAC systems owned by others. This would include engineers and consultants with a primary job scope of specifying or supervising maintenance of HVAC systems owned by others. It would especially include contractors and technicians who actually perform HVAC system services for hire. This group may also include representatives of associations the membership of which falls in this category.

User: Users of buildings and building systems and subsystems. A person in this category would make their living from owning or operating buildings. Example members of this category would be building owners and operators (private and governmental), tenants, and trade associations or organizations representing these groups.

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ASHRAE Staff F	esources 🖬 (7 unread) - sreiniche 📋 Standards, Research & 👍 https://www.mypayme 🛅 (17 unread) - sreiniche 🕼 ISO/IEC 17025-2005 G 📓 Most Visited
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Home	Site Actions *
view All Site Content  Ay Comments	In order to submit a comment you must agree to the following by entering your name in the box below and clicking the 'I Agree' button.
Leports  Admin Report	Through this electronic signature, I hereby grant the American Society of Heabing, Refrigerating and Air-Conditioning Engineers (ASHRAE) the non-exclusive royalty rights, including non-exclusive royalty rights in copyright, in my proposals and I understand that I acquire no nights in publication of this
Inline Public Review Irafts	standard in which my proposals in this or other similar analogous form is used. I hereby attest that I have the authority and am empowered to grant this copyright release.
Public Review Draft Standards	As agreement, please type your name in the box below exactly as it is shown:  Mrs Stephanie C Rein
Standards Actions     Older Standards     Actions	
İsts	I Agree I Do Not Agree
leople and Groups	

Case 1:13-cv-01215-TSC Document 122-4 Filed 12/22/15 Page 24 of 97HBIT

### APPLICATION FOR MEMBERSHIP ON ASHRAE STANDARD OR GUIDELINE PROJECT COMMITTEE

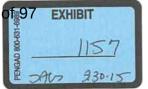
	Committee Number	Committee Name	
2.			
	First Name or Initial	Middle Name or Initial	Last Name
3.			•
	ASHRAE Member Num	nber (if known) Date	
4.	An updated edition of	the ASHRAE Biographical Record is	(check one):
	On file at ASHRAE	Headquarters	
	Attached to this ap	plication	
5.	A Potential Sources of this Project Committee		ecifically applicable to the purpose and scope of
	On file at ASHRAE	Headquarters	
	Attached to this ap	plication	
6.		nember of the Project Committee, I was side for definitions.):	vould qualify in the following Interest Category
	User Interest members	per	
	☐ Producer Interest n		
	General Interest me		
7.	consultant to such con Conditioning Engineer rights in copyright, to a ASHRAE publication a	nmittee I hereby grant the American s s (ASHRAE) the non-exclusive, roya any contributions I make to document and I understand that I acquire no right similar analogous form are used. I he	line Project Committee or appointed as a Society of Heating, Refrigerating and Air- Ity-free rights, including nonexclusive, royalty  ts prepared by or for such committee for  hts in publication of such documents in which my  ereby attest that I have the authority and I am
Sig	nature		

### DEFINITIONS EXCERPTED FROM ANNEX A MANUAL FOR PROCESSING ASHRAE STANDARDS COMMITTEE DOCUMENTS

**interest**: the perspective of a member of a project committee, as judged by his or her present and past sources of income, fees, or reimbursements of related expenses, in the context of the purpose and scope of the project committee. The perspective may also be judged by the recorded views of the individual, or of any organization he/she is employed by or of which he/she is a member.

**interest categories**: the principal (top) tier of interest classifications. For some standards projects, it may be appropriate to designate subcategories of one or more interest category. Following are examples of functions covered by each interest category:

- **Producer:** those directly concerned with the production or distribution of the product or service involved, including industry associations representing producers or distributors, or those receiving substantial support from a producer directly concerned.
- **User:** those who use the product or service involved, or those who receive substantial support from a user directly concerned, but are not involved with its production or distribution. This reference is not to users of the standard, but to users of the product or service covered by the standard.
- **General:** those who have interests other than those described above and may include consulting engineers or employees of appropriate Government agencies, nationally recognized testing laboratories or educational institutions, and codes-oriented individuals.
- Example subcategories of the User interest category follow:
- **User-Consumer**: a person using goods and services rather than producing or selling them, when the standards activity deals with a consumer product such as a room air conditioner.
- **User-Industrial**: the industrial user of a product, where the standards activity deals with an industrial product such as refrigerant chemicals.
- **User-Government**: the representative of a government agency, where the standards activity is likely to be used as the basis for government agency procurement such as for the design or performance testing of building systems or equipment.
- **User-Labor**: a representative of labor, where the standards activity deals with worker products used in the workplace or with the workplace environment.
- Note: The subcategories shown are for example only. The SPLS Subcommittee of the Standards Committee may approve chairman-recommended use of a) subcategories for any interest category, b) no subcategories at all, or c) different interest categories.







# Memorandum of Understanding Between The United States Department of Energy And The American Society of Heating, Refrigerating

By this Memorandum of Understanding (MOU), the U.S. Department of Energy (DOE) and the American Society of Heating, Refrigerating, and Air-Conditioning Engineers (ASHRAE) agree to further their long-term relationship by working cooperatively to improve the efficient use of energy and the viable and widespread use of renewable energy sources, and to minimize the impact of energy use on the environment.

and Air-Conditioning Engineers, Inc.

DOE and ASHRAE are committed to working together toward the following goals:

- 1) Promoting and supporting the continuing development and distribution of ASHRAE standards related to energy efficiency, in particular:
  - ANSI/ASHRAE/IES Standard 90.1, Energy Efficient Design of New Buildings Except Low Rise Residential Buildings.
  - ANSI/ASHRAE/IES Standard 90.2, Energy Efficient Design of Low-Rise Residential Buildings
  - ANSI/ASHRAE Standard 62.1, Ventilation and Acceptable Indoor Air Quality In Commercial, Institutional, Industrial and High-rise Residential Buildings,
  - ANSI/ASHRAE Standard 62.2, Ventilation and Acceptable Indoor Air Quality in Low-Rise Residential Buildings.
  - ANSI/ASHRAE/IES Standard 100, Energy Efficiency in Existing Buildings.
  - ANSI/ASHRAE 135, BACnet A Data Communication Protocol for Building Automation and Control Networks,
  - ANSI/ASHRAE/USGBC/IES Standard 189.1, Standard for the Design of High-Performance Green Buildings Except Low-Rise Residential Buildings, and
  - Proposed ASHRAE/NEMA Standard 201, Facility Smart Grid Information Model
- Promoting and supporting implementation of ASHRAE standards through training programs, including self-directed learning, building code interaction, and ASHRAE Chapter-oriented training.
- 3) Promoting and supporting development of guidance for exceeding the minimum efficiencies set by Standard 90.1 and Standard 90.2, and new metrics for commercial building and residential energy efficiency and environmental impact.
- 4) Cooperating in, and supporting research into, clean and renewable sources of energy, energy efficiency in buildings and equipment, and environmental impact of energy and material use.

- 5) Cooperating in promotion of ANSI/ASHRAE standards adoption in the International Standards Organization (ISO) standards.
- 6) Collaborating on the accelerated development and distribution of advanced energy design guidance publications, such as the 30%, 50% and net-zero Advanced Energy Design Guides that can support accelerated transformation to a more sustainable built environment.
- Cooperating in the development and adoption of mechanisms that promote energy efficiency in buildings, such as the Building Energy Quotient (Building EQ) program and the professional certifications.
- 8) Cooperating in promotion of ANSI/ASHRAE standards adoption in building codes.
- 9) Working within the building community and related professions to encourage the interoperability of building related software and integrated solutions among design disciplines, manufacturers, contractors, building owners and operators, to increase energy efficiency, health, and productivity in new and existing buildings.
- 10) Advancing and supporting the professional development of DOE personnel by facilitating membership, attendance, and active participation at the local and Society levels of ASHRAE, especially as members of Technical Committees and Standard Project Committees, and by providing a venue for publication of research and practice.
- 11) Ensuring sufficient numbers of qualified professionals through the full spectrum of building design, equipment installation, building commissioning, building operations and maintenance, and facility management through comprehensive workforce development programs. This includes promoting and encouraging the study of mathematics and science to pre-college students, quality technician and contractor training, the study of building design within college curricula, and the pursuit of continuing education in all of these categories of building professionals.
- 12) Providing and supporting communication of information regarding technology transfer to building owners and management about the interrelationships between mechanical systems and building operating costs, noting energy, indoor environmental quality workplace performance, client satisfaction, and public safety.
- 13) Monitoring the operational, energy and environmental impacts of new counter-terrorism design features, and promoting minimization of those impacts.
- 14) Collaborating to increase the impact of the all energy hubs created as part of the DOE Energy Efficient Building Systems Regional Innovation Cluster Initiative.
- 15) Driving the development and implementation of next-generation refrigerants that achieve low-GWP (Global Warming Potential) targets and concurrently improve equipment energy efficiency.

Collaboration under this MOU will be in accordance with applicable statutes and regulations.

Page 2 of 3

### 

This MOU in no way restricts either of the parties from participating in any activity with other public or private agencies, organizations or individuals.

This MOU is neither a fiscal nor a funds obligation document. Nothing in this MOU authorizes or is intended to obligate the parties to expend, exchange, or reimburse funds, services, or supplies, or transfer or receive anything of value.

This MOU is strictly for internal purposes for each of the parties. It is not legally enforceable and shall not be construed to create any legal obligation on the part of either party. This MOU shall not be construed to provide a private right or cause of action for or by any person or entity.

The MOU will become effective upon signature by the Assistance Secretary for the Office of Energy Efficiency and Renewable Energy in DOE and the President of ASHRAE. It may be modified or amended by written agreement between both parties, and such amendments shall become part of, and shall be attached to this MOU. This MOU shall terminate at the end of three (3) years unless revised or extended at that time by written agreement of the parties. It may be terminated at any time by either party upon 90 days written notice to the other. Its provisions will be reviewed periodically and amended/supplemented if mutually agreed upon in writing.

Kathy Zoi Lynn G. Bellenger Assistant Secretary Energy Efficiency and Renewable Energy U.S. Department of Energy	President, 2010-2011 ASHRAE	
Date	Date	



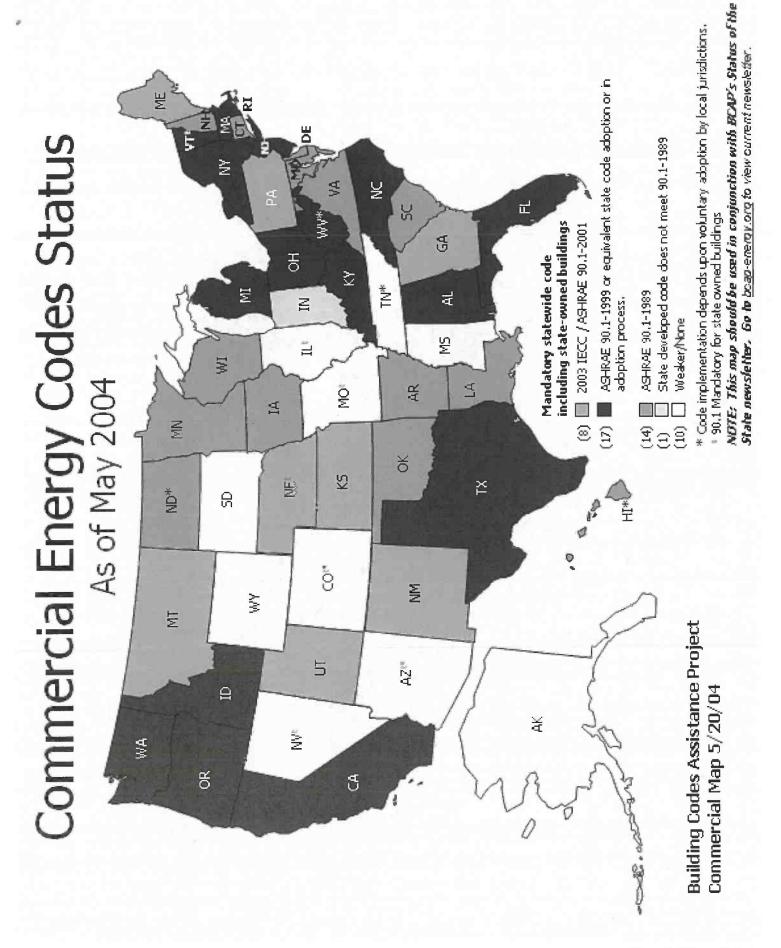
# Marketing Task Grou

TG Meeting Report June 26, 2004

# Good News? Bad News? Both?

• Increased number of states using or referencing 90.1

• However, these
"adoptions" and
references are almost
all due to adoption of
the I-codes, not the
direct adoption of



# Other Marketing Issues

- Appreciate free "viewing" on the ASHRAE Cost of the standard remains an issue website

Customers versus competitors?

ICC, IECC, NFPA, Advanced Building Guidelines, etc.

What's a standard?

-SP 102 process versus Standards process

# Challenges to Adoption

Cost of the Standard

- Revenue objectives are antithetical to widespread adoption Do we participate in the "battles" in the marketplace surrounding use of our product?

- Fighting with our customers?

- Allies or adversaries? Who decides?

# Additional Challenges

"Trickle down" adoption approach takes a lot of time

- If we build it, WILL they come?

-Others are building code "products" on a

much faster timeframe

-Can we similarly respond?

# Some Recognized Risks

Code proposals to adopt something other than 90.1

-Others are not passive

Code proposals that are more stringent than 90.1

Viewed as a significant risk to our "standing" in the marketplace

-Others are not passive

# More Recognized Risks

Perceived loss of "balance of interests" on the committee

- "Perception is reality"?

- Risk to marketplace "standing"?

Impediment to more robust development?

have the right process to support a market ASHIRAE has the right experts, do we need or desire?

# Additional Challenges

· Format and Utility

- Envelope section is nearly un-useable in present format

- Entire format is cumbersome and not customer focused Software version needed to increase ease of

# Challenges/Opportunities

· Training

Need a training and delivery plan to better get our "product" to the marketplace. Numerous customers for training could aid in adoption

• Engineers (and EITs)

• Architects (and architecture students)

# Recommendations (Repeated)

• Make it free

· Make it beautiful

· Make it electronic

Make it easy to demonstrate compliance

Make it easy for code officials to use

Spend \$X on development and \$2X on marketing

# Operational Recommendations

Make sure it is developed in a balanced manner Set specific improvement targets for each round of the standard.

- 10% by 2007? 25% by 2009?

- What are we working toward?

If we set targets, don't let it out the door until we meet them.

- Authority coupled with Responsibility.

# New Marketplace Activities

What makes 90.1 meaningful? Why

should a state care?

"No building left behind"

Improved state enforcement under EPAct? - Build new compliance systems for states

• Penalties for non-compliance?

• Teeth?

# Paradigm Shift Issues

Decide if we want to continue to live in this <u>code minimum</u> world

-Should we declare victory and go home?

- Is this an appropriate job for ASHRAE?

-Should we ride another horse?

- How long will we have our EPAct advantage?

# Mountaintop Question

Do we want to change the world?

If so, are our <u>current actions</u> aligned with this goal? Do we have a business plan aligned with this goal?

### **EXHIBIT 51**

### 



From: Ferguson, Steve <SFerguson@ashrae.org>
Sent: Thursday, December 17, 2009 7:27 PM

To: Read, Doug; Colker, Ryan; gholness@comcast.net; Littleton, Jeff;

ron.jarnagin@pnl.gov; Bellenger, Lynn

Cc: Ramspeck, Claire; Reiniche, Stephanie; mschwedler@trane.com; Drake Erbe

Subject: RE: IECC and 90.1

**Attachments:** FW: comparison document for 90.1 and IECC (1.62 MB)

Is the document in the attached email the comparison document referred to below?

Thanks Steve

From: Read, Doug

Sent: Thursday, December 17, 2009 1:45 PM

To: Colker, Ryan; gholness@comcast.net; Littleton, Jeff; ron.jarnagin@pnl.gov; Bellenger, Lynn Cc: Ramspeck, Claire; Ferguson, Steve; Reiniche, Stephanie; mschwedler@trane.com; Drake Erbe

Subject: RE: IECC and 90.1

I echo Ryan's concerns. I suggest we take a stance and clearly delineate the differences, and differentiate ourselves from the ICEC..Doug

Doug Read, Program Director of Government Affairs American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.

Extension: Fax: 202-833-0118 eMail: DRead@ashrae.org Web: www.ASHRAE.org<http://www.ashrae.org/>

From: Colker, Ryan

Sent: Thursday, December 17, 2009 1:42 PM

To: gholness@comcast.net; Littleton, Jeff; ron.jarnagin@pnl.gov; Bellenger, Lynn

Cc: Ramspeck, Claire; Ferguson, Steve; Reiniche, Stephanie; mschwedler@trane.com; Drake Erbe; Read, Doug

Subject: IECC and 90.1

Folks,

We are seeing some indications from DOE that they are beginning to see the IECC and 90.1 as equivalent (i.e., states can be in compliance if they adopt the IECC without the reference to 90.1). As you can guess, this could have significant impact on the future of 90.1. Of course, there are several considerations as why this is likely not the case (IECC has not undergone the rigorous determination and modeling process of 90.1, IECC is not developed under the ANSI consensus process, etc.). Below are some notes outlining a recent meeting aimed at code adoption organized by BCAP and which includes participation by DOE (I was just made aware of this group and will begin participating).

In my view, we have a couple of options, but their palatability depends on our relationship with ICC and how far we are willing to go:

### 

- 1. Send a strongly worded letter to DOE leadership expressing our view (including some of the points above)
- 2. Come out with our own document on how the IECC is not equivalent to 90.1 and why states should continue to utilize 90.1

Please let me know if/how you would like to proceed.

Ryan

Air Support Committee Notes: 12-7-09

Key updates: FROM DOE:

DOE will publish a side-by-side comparison of ASHRAE 90.1 and the 2009 IECC for commercial buildings. While the report will not specifically state a conclusion - the bottom line is that the two can viewed as EQUIVALENT.

DOE will make money available for training materials and other educational information Rescheck has been updated for '09- no rescheck for IRC.

DOE is trying to get final answer on what states will need to report to illustrate 90% compliance- definitive answer to come.

There will be a new program manager after 1-1-10.

Setting Priorities: Where do we need troops on the ground? - Please continue to communicate your organization's focus to the group. We can HELP! Some organizations have available troops and other resources that have yet to be allocated

- In SE the new year will bring a lot of focus on TN and AL. GA also has a lot of action
- May need help from those with legislative connections in NY, MO, and definitely WV
- There is a need to discuss compliance/enforcement- but this is best done in the new year in a forum outside this committee

### **Upcoming ACTIONS:**

- RECA code guides are on their way (and make excellent holiday gifts)
- NAIMA will put together a map showing where NAIMA's members are located
- Harry will meet with Paul and Eric to update the graph Harry shared earlier this year
- Monica will take a crack at crafting a one-pager showing how the model code saves money

  Monica will work with others to craft a one-page letter clarifying for states that 90.1 and ASHRAE are equivalent for

  Recovery Act purposes (I assume this is supposed to say 90.1 and IECC)

Ryan Colker, Manager, Government Affairs American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.

Extension: 1116 Fax: 202-833-0118 eMail: RColker@ashrae.org Web: www.ASHRAE.org<a href="http://www.ashrae.org/">http://www.ashrae.org/</a>

### **EXHIBIT 52**





American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.

# ASHRAE Government Affairs: Technical Expertise to Policymakers

Presentation to ASHRAE CTTC and TC
Albuquerque, New Mexico
June 26, 2010

Doug Read, Program Director, Gov't Affairs



Mark Ames, Manager, Gov't Affairs

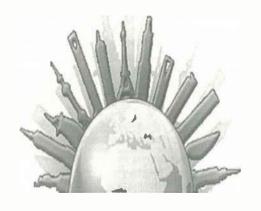


Patricia Ryan, Assistant to the Director, Washington Office



# ASHRAE DC Office 2010

- Participation in Coalitions
- > Workshops/Symposia
- DOE Fellowship
- > Internship
- Advocacy
- Government Relations Activities





# **Participation in Coalitions**

**High Performance Building Congressional Caucus** 

Coalition (ask your representative to join)

- Secretariat
- Monthly briefings
- Federal Building Legislation
- > 2030 Coalition signatory (AIA, ICC, USGBC, GBI)
- Zero-Energy Commercial Building Consortium (www.zeroenergycbc.org)
  - > Steering Committee Member
    - > ASHRAE Co-Chairs Codes and Standards Working Group
  - Response to EISA Sec. 421/422
- Science, Technology, Engineering, and Math (STEM)Education Coalition



# **Participation in Coalitions**

- World Standards Day
- Building Community Ad Hocs (climate change, building codés, depreciation, tax credits, federal buildings, Rebuild Haiti, BuildingStar, etc)
- HVAC Alliance (ACCA, AHRI, HARDI, PHCC)
- Commercial Building Tax Deduction Coalition
- Code Adoption and Enforcement (NASEO, ICC, ASE, BCAP)



Workshops/Symposia/Meetings

### **Capitol Hill Briefings**

- January 28 Facilities Management for Sustainability (IFMA)
- February 4 After the Earthquake: The Role of Buildings and Infrastructure,
- February 25 SBIC Beyond Green Awards Reception & Briefing (SBIC)
- March 23 Insulation and the High-Performance Building (SPFA/PIMA/NAIMA)
- April 24 What's Your Quality of Light? Sustainable Solutions for Human Needs
  - and Energy Efficiency (IALD, IES, ALA)
- May 24 Building STAR Legislation: Retrofitting Our Way to Energy Saving and Job
- Creation (AHRI, ASHRAE, NEMA)
- June 15 Getting the Whole Picture of High-Performance Buildings (NIBS)
- June 18 The Zero Energy Commercial Buildings Consortium: A Public-Private
- Consortium for Zero Energy High Performance Buildings (ASE)

Future: Rating Tools for Green Buildings (GBI)

Can Climate Friendly Buildings be High-Performance (EESI)

Technological Innovations and the Small Business (AHRI/ACCA)

**Solar Reflective Roofing (RCMA)** 

### > ASHRAE Presidential Meetings

April 26-28, 2010, DOE, BOMA, USGBC, ASE, AGA, SMACNA

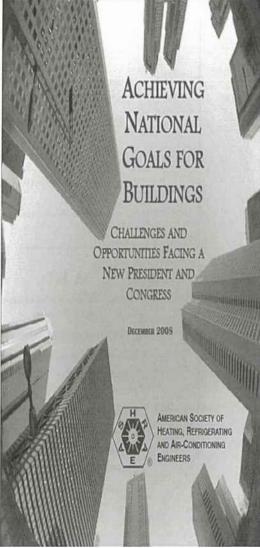


## Workshops/Symposia/Meetings

- NIST/BFRL Workshop on International Engagement
- > Rebuilding America Building Star
- AGC Meeting on Construction and Climate Change
- NAE Symposium on K-12 Engineering Education
- > NEMA Energy Tax Coalition
- Interagency Sustainability WorkingGroup
- ACEEE Briefing on Behaviors for Energy Efficiency

- Interagency Council on Indoor AirQuality
- USGBC Building Performance InitiativeDiscussion
- GSA Workshop on Federal BuildingCommissioning





➤ Report to New Congress & President on Buildings

Explanatory Statement on Section 410, Recovery Act (HR1) by National Building Community Stakeholders (November 18, 2009)

Since the passage of the American Recovery and Reinvestment Act (ARRA) in February, 2009, State Energy Program ("SEP") funding ted to building energy code adoption and enforcement has been the subjective of much discussion and debate. The objective of this statement is to clarily the intention of the statute and to offer assistance to state and local governments to advance building energy efficiency codes, including code adoption, training in the operation of the codes and efforts at compliance and enforcement. We recognize that success in this area will not be easy but we have joined together to help. In an effort to provide accurate, understandable, and actionable information to states, local governments and the organizations and entities that support greater energy efficiency in the built environment, the undersigned groups offer the following information about Sec. 410. of ARRA.

The actual statutory provision is as follows

Section 410 (a) (2)

The State, or the applicable units of local government that have authority to adopt building codes, will implement the following:

(A) A building energy code (or codes) for residential buildings that meets or exceeds the most recently published International Energy Conservation Code, or achieves equivalent or greater energy savings.

(B) A building energy code (or codes) for commercial buildings throughout the State that meets or exceeds the ANSI/ASHRAE/IESNA Standard 90.1-2007, or achieves equivalent or greater energy savings.

(C) A plan for the jurisdiction achieving compliance with the building energy code or codes described in subparagraphs (A) and (B) within B years of the date of enactment of this Act in at least 90 percent of new and renovated residential and commercial building space. Such plan shall include active training and enforcement programs and measurement of the rate of compliance each year.

Some of the descriptions of this statutory language provided by third parties have resulted in inaccurate information and confusion among those who are involved in meeting the requirements of this Act.

The key points are as follows:

1- Conditions for Acceptance of ARRA funding. All 50 state governors have submitted letters to the Department of Energy, providing assurances that their states would comply with the terms of Section 410. All 50 states have accepted SEP funds that were conditioned on these assurances. Therefore, all 50 states have committed to do three things:

## Explanatory Statement on ARRA and Code Adoption





### HIGH-PERFORMANCE BUILDING CONGRESSIONAL CAUCUS COALITION

Producing High-Performance Federal Buildings

As Congress availables exportunities to impact the energy use of Federal buildings, the Execution Committee of the High Performance Building Congressional Casesa Contition (www.hpbncc.org) developed the following ledon, sited, fimplemented through operating energy inglitudes, self-poords or effects transition to reduced energy consumption, enhanced suctainability, represent helding operation and mentioners, and many afficient out of national resources in the Fasteral building stock.

Advertig energy reduction, assumed materials life, improved operation and metaborous, and offsether was of resources in the federal hadding stack requires addressing the diversity of goals associated with high ance haddings including: approached by construction and specifies and operation, landeric group productivity, safety and recarrily, and produced the

Efforts to enhance the energy and materials Proof Enter's buildings must presend within the content of traditional sarge responses for safeguarding life, health, property, and public we fine. In order to most contended assumptions to building year's health and the orbits, energy efficient/numerically during gardelines and standards recommended for federal building propose about take talo passives contained building and five code regationers, mad greating gardids with those requirements, and where recessors, todicin recommendations for coordinating modifications to such substituteless codes and standards.

Sugary Time Life-Cycle Analysis and Decision-Making for the Angeleistic of Federal Systellings.

The American Inditate of Architects (AUA) defines Polygon and analysis as, "any ledgetone which of east assessment of a given actuation, or choice among referredist sufultions, on the bods of accordising of educer's aconomic correspondes awar a given parted of time." One of the region impediments to increase and applicant reductions in country communities in the Federal hading stack in the separation of Security accounting for acquisition from approximate. Dut is, there is a compressional approved hadged for experimen and a totally separate Sudget for yearly operations. Often the responsibilities for these budgets are in two separate proportional phonomics with different loadership and reporting responsibilities. This resem that any positioner in announces that increases that facilitation; and while substantially reducing lang-land cost approximate in test considered. The fundamental distribution facuously periodic suppressions in internal management and associating procedurally creates a mineligement behavior satisfies the gook for a highperformance builting and actioning these anals.

Absting fact Mo-cycle costs of a building project part of the Mo-cycle analysis and deduce Heading procuses will provide a major sing bosond the expenditor of both high-porferitorics federal buildings.

Report Total Building Commissioning for the Federal Building Stock.

All lighter haldings should be required to all the latest halding commissioning, to commissioning retrocommissions, and post-ecosporary-evaluations to include the documentation of evener podymoras requirements, design intent and justification, resilication and residence of actual halfding performance, including the comprehensive training of operations and maintaneous staff through the education of ASPAAE Gualative (E2005) The Communitarity Process. The responses to include documentation of insures instead from part occupance with a second growth reportant increases in quelty solved for "groun" but drags. including the unbidition of design strategies and desinans to improve helding performance, the confinition of active building perfectiveness, the disconnected to of damps intent to be used by he lifting operations shall. and the development and implementation of a comprehensive training program for eparations and montenence duff.

F-MIRCARNARCARNAR COXML | IDiornation Draft!

**IDISCUSSION DRAFTI** 

To provide for the tenining of Federal building personnel, and for other SHITTONES.

IN THE HOUSE OF REPRESENTATIVES

Mr. Cannalian introduced the following bill, which was referred to the

### A BILL

To provide for the training of Federal building personnel, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION LISBORT TITLE.
- This Act may be cited as the "Federal Buildings Per-
- 5 nonnel Training Act of 2009".
- 6 REC. 1 TRAINING OF PEDERAL BUILDING PERSONNEL.
- (a) IDENTIFICATION OF CORE COMPETENCIES.—Not
- 8 later then one year after the date of enactment of this
- 9 Act and annually thereafter, the Administrator of General

(\*104,Cu 1660) 11600 205 and (#543745). November 16, 2000 (\$25 p.m.)

>HPBCCC Report on Federal **Building Opportunities** 

>Legislation to Implement Federal **Building Recommendations** 



### Congress

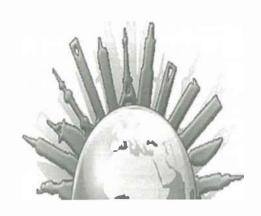
- Building and Efficiency Issues in Stimulus Legislation
- Depreciation of HVAC&R Equipment
- Green Jobs Training
- Building Code Enforcement
- > Climate Change
- Recommendations on Federal Buildings

### > Agencies

- Participate in Interagency Sustainability Working group and IAQ working group
- Regular meetings with DOE, EPA and GSA
- GSA meetings on Federal Building Commissioning Guidelines



- Hosted Monthly High Performance Building Roundtable Meetings
- Participated in Monthly ANSI Caucus Lunches at National Press Club
- > Engineering R&D Symposium



# Legislation



# American Clean Energy and Security Act (H.R. 2454), aka Waxman-Markey

- > Sets nat'l building code energy efficiency targets
  - > 30% on date of enactment; 50% by January 1, 2015 for commercial
  - > Uses ASHRAE 90.1-2004 and IECC 2006 as baselines
- ➤ In developing nat'l energy efficiency building code for commercial and residential, USDOE Secretary is to consider ASHRAE standards, IECC, and others
  - > DOE shall provide assistance to "recognized consensus-based code development organizations" to facilitate development
- ➤ EPA Administrator to establish building energy performance labeling program considering existing programs (Energy Star, HERS Index, DOE Programs)
  - > Support development of model labels
  - > Utilize incentives to spur use
- > Status: Passed House on 6/26/2009 by vote of 219-212

# American Clean Energy Leadership Act (S.1462)

- Introduced by Senator Jeff Bingaman (D-NM)
- > Updates nat'l building energy codes & standards at least every 3 years to achieve target energy savings of:
  - > 30% for ASHRAE Standards released during or after 2010 (commercial buildings)
  - > 50% for ASHRAE standards released during or after 2016
    - ➤ A different target year may be set by DOE Secretary if determines target can't be met in 2016
  - > If DOE determines ASHRAE's future revised model codes will not meet targets, DOE will propose or establish a modified code or standard that meets the above targets
  - ➤ Uses 90.1-2004 as baseline for commercial buildings; IECC 2006 for residential
- Authorizes incentive funds for states that have adopted and is implementing, on a statewide basis, a commercial building code that meets or exceeds ASHRAE 90.1-2007
- > Authorizes \$100 million to carry out the above
- > Status: Passed by Senate Energy and Natural Resources Committee on 7/16/2009, currently awaiting consideration on Senate floor

## **American Power Act**

- ➤ Introduced by Senators John Kerry (D-MA) and Joseph Lieberman (ID-CT)
- > Authorizes federal funds to states for the implementation of:
  - > Building codes that improve energy efficiency
  - > Energy-efficient manufactured homes
  - > Building energy performance labeling
  - ➤ Low-income community energy efficiency improvements
  - Energy efficiency retrofits of existing buildings
- > Status: Introduced in Senate in June, 2010

# Practical Energy and Climate Plan (Draft legislation)

- Proposed by Senator Richard Lugar (R-IN)
- Would establish mandatory targets for improved energy efficiency building performance measures for new residential and commercial construction
  - The program would work with ASHRAE and other organization in developing codes & standards every 3 years, with the following targets:
    - > Commercial energy savings: 30% by 2012, and 50% by 2017
    - > Residential energy savings: 30% by 2012, and 50% by 2015
- DOE would partner with state & local gov'ts to achieve the energy saving codes and standards targets, including offering up to a total of \$300 M per year over the next 5 years. If a state is unable to certify compliance w/these target codes & standards, they will be at a disadvantage for receiving further DOE grants
- > Would require all new federal bldgs entering the design phase in 2012 or later to be designed to exceed nat'l blding performance standards
- > DOE would establish new nat'l blding retrofit program

# Federal Buildings Personnel Training Act (H.R.5112/S.3250

- ➤ Introduced by Representatives Carnahan (D-MO), Biggert (R-IL), and Norton (D-DC), and Senators Carper (D-DE) and Collins (R-ME)
- Requires the General Service Administration (GSA) to identify the core competencies necessary for federal personnel performing building operations and maintenance, energy management, sustainability, water efficiency, safety, and building performance measures
- Requires the GSA to work with relevant professional societies, industry associations, and others to develop a recommended curriculum relating to facility management and the operation of high-performance buildings
- Requires GSA to work with relevant professional societies, industry associations, and others to develop a course, certification, degree, license, or registration to demonstrate each core competency, and for ongoing training
- > Requires relevant federal personnel to demonstrate competency in the core areas through certification, licensure, etc.
- Requirements of this bill would apply to non-federal personal performing building operations and maintenance, energy management, safety, and design functions under a contract with a federal department or agency





# **High-Performance Building Congressional Caucus**

Co-Chairs

Russ Carnahan (D-MO) Judy Biggert (R-IL)

**Members** 

Tammy Baldwin (D-WI)

Robert Brady (D-PA)

Ben Chandler (D-KY)

Mike Doyle (D-PA)

David Dreier (R-CA)

Vernon Ehlers (R-MI)

Bill Foster (D-IL)

Gabrielle Giffords (D-AZ) Joe Sestak (D-PA)

Debbie Halvorson (D-IL) Edolphus Towns (D-NY)

Paul Hodes (D-NH)

Jay Inslee (D-WA)

Steve Kagen (D-WI)

Dennis Kucinich (D-OH)

Dan Lipinski (D-IL)

Dave Loebsack (D-IA)

Zoe Lofgren (D-CA)

Doris Matsui (D-CA)

Earl Blumenauer (D-OR) Betty McCollum (D-MN)

Jim McDermott (D-WA)

Mike McIntyre (D-NC)

Jerry McNerney (D-CA)

Ed Perlmutter (D-CO)

Todd Platts (R-PA)

Allyson Schwartz (D-PA)

David Wu (D-OR)

### Supporting Coalition Includes











































http://www.hpbccc.org



# Development of letters, comments & testimony to Congress and Agencies on pending actions

- > ARRA and Code Adoptions
- > STEM Education
- ➤ Commercial Building Tax Deduction
- ➤ EIA Funding
- ➤ Depreciation of HVAC&R Equipment
- > Setting Commercial Equipment Efficiency Standards
- ➤ Building Codes
- > Federal Building Efficiency Standards
- ➤ Smart Grid Interoperability Standards
- School Modernization



# **Advocacy Tools**

- One-page non-technical policy briefs/leave-behinds
  - Based on existing ASHRAE Position Statements
  - Developed through Advocacy Committee with technical review and approval by ExCom
    - Energy Efficiency
    - Climate Change
    - > STEM Education
    - Federal R&D Funding
    - Standards
    - Building Energy Data



## **Advocacy Tools**

### Government Affairs Updates

- ➢ Bi-weekly e-mails featuring government activities of interest
- Sign up at <a href="http://www.ashrae.org/advocacy">http://www.ashrae.org/advocacy</a>

### Advocacy Packets

- Additional licensure requirements
- State TTAA
- Building Codes
- Stimulus Funds (www.ashrae.org/recovery)
- Building Energy Labeling



# **Advocacy Tools**

- Chapter Public Policy Materials
  - CTTC/Washington Office Primer on Government Activities
    - > Accompanying PowerPoint Presentation
  - Advocacy Toolkit
    - http://www.ashrae.org/advocacy/page/1262
- Government Affairs Brochure
  - Activities of the ASHRAE Washington Office
  - Available as PDF for distribution to chapter members







# Opportunities for Individual Member Participation

- SET Working Group Congressional Visits Day
- Engineering R&D Symposium
- > ASHRAE Congressional Briefings
- Forthcoming programs
  - Washington Visitation Program
  - CTTC Chair training programs
- > HPBCCC Congressional Visits Days
- Washington Fellowship
- WISE Program



# Additional Washington Office Activities

- Building Energy Quotient Program
  - www.buildingeq.com
- Building Code Adoptions



- Standard 90.1
- Standard 189.1/IGCC Promotion
- Empowering Chapters to Engage State and Local Policymakers

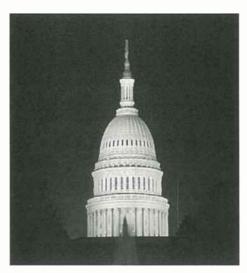


# Opportunities for Individual Member Participation

- Contact State and Local Policymakers on Important Issues
  - Building Energy Codes
  - NCEES Masters or Equivalent
  - Stimulus Funds
  - Building Energy Disclosure
  - Use of Voluntary Consensus Standards



### ASHRAE/DOE Fellowship



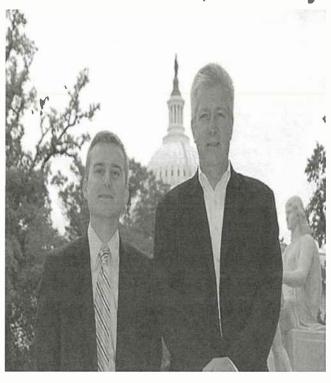
- 2008-2010 Fellow Mike Erbesfeld began in Sen. Dianne Feinstein's Office, moved to DOE in May 2009
- Currently seeking fellows for placement at DOE on codes issues
- Funding provided by DOE

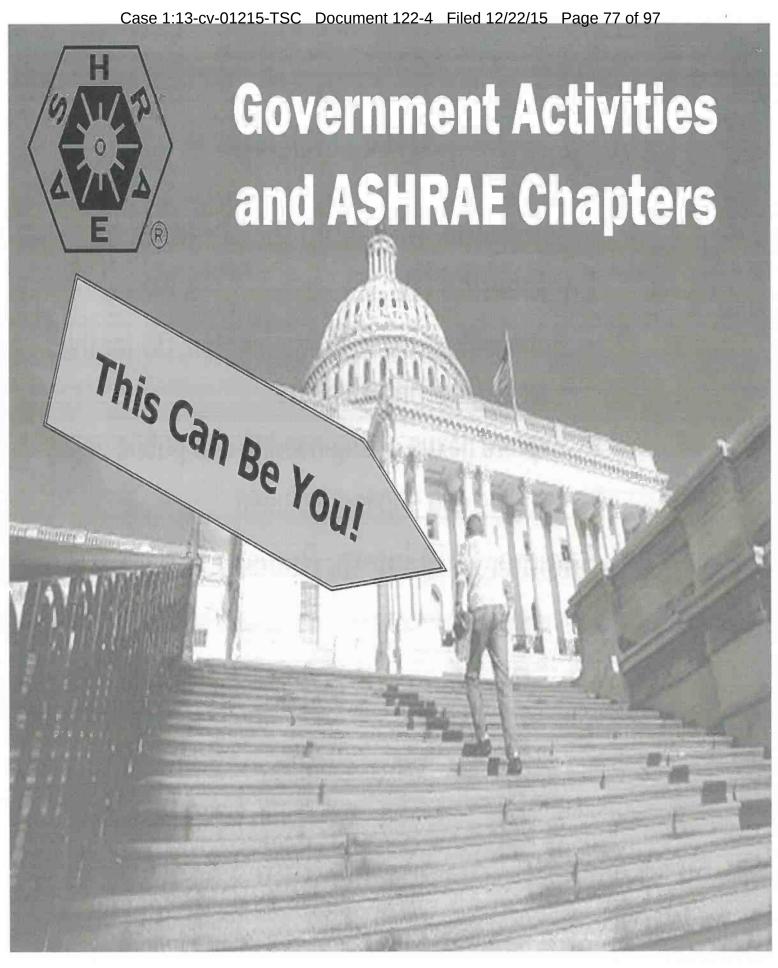


## Internship

## Washington Internship for Students of Engineering (WISE)

- Summer Internship in Washington, DC for third year engineering students
- > Explore nexus of engineering and public policy
- Lodging and stipend included
- Summer 2010 intern, Ramsey Brown, CMA







# Government Relations Activities

- Build relationships with government officials interested in the built environment
  - > Establish ASHRAE as a source for unbiased, technical expertise
    - White House Office of Science & Technology Policy (OSTP)
    - Department of Energy (DOE)
    - > Environmental Protection Agency (EPA)
    - General Services Administration (GSA)
    - > U.S. Congress
    - > NIST/FERC—Smart Grid/Demand Response



### **Advocacy Priorities**

- Energy Efficiency
- Climate Change
- Indoor Environmental Quality
- Water Conservation
- STEM Education/Competitiveness



## Anticipated Issues

- Energy Efficiency
  - Incentives (tax deductions/credits, depreciation)
  - Continued focus on Building Codes language
  - R&D in needed technologies
  - RPS with efficiency component
  - Building energy disclosure
- Climate Change
  - More cap-and-trade legislation with a little more potential
- Economy
  - Opportunities for buildings



# What's Planned for the Remainder of 2010?

- Increase ASHRAE member participation and interest in public policy
- Continue enhancement of ASHRAE Government Affairs webpage (<a href="http://www.ashrae.org/advocacy">http://www.ashrae.org/advocacy</a>)
- Recruit and place DOE Fellows
- Recruit 2011 WISE Intern
- Develop marketing materials for ASHRAE Government Relations Activities
- Continue participation in relevant coalitions and input to public policy
- Expand ASHRAE's role at the State and local levels

## **EXHIBIT 53 (FILED UNDER SEAL)**

OF T	HE DOCUMENT,	
<i></i>	PROPOSAL FOR 1999 NATIONAL ELECTRICAL CODE	118-1
	Log No	
	Date Rec'd	l,
	Date: 9-25-95 Name: Peter J. Schram Tel. No. 617/848-9677	
<b>Y</b>	Company: (None)	
	Street Address; 12 Royal Lake Dr. City: Braintree State: MA Zip: 02184	
	Organization Represented: (None)	SEP 2 6 199
	1. Section: <u>760-7</u>	9EL WN 195
	2. Proposal recommends: ( ) new text ( X ) revised text ( ) deleted text	
	3. Proposal:	
	Revise Section 760-7 to read as follows:	
	760-7. Fire Alarm Circuits Extending Beyond One Building. Power-limited fire alarm	
	beyond one building and run outdoors shall either meet the requirements of Article 800 a communications circuits or shall meet the requirements of Article 225. Nonpower-limited	
	that extend beyond one building and run outdoors shall meet the requirements of Article	
	that extend beyond one building and run outdoors sharr meet the requirements of Article	<u> 223,</u>
		•
•	4. Statement of Problem and Substantiation for Proposal:	
	•	
•		
	See attached.	
•		
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T.		
	5. (X) This Proposal is original material	
		<del>-</del>
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#### SUBSTANTIATION FOR PETER J. SCHRAM PROPOSAL ON SEC. 760-7

118-2

The present requirements permit an electric shock hazard to exist in installations of nonpower-limited fire alarm circuits installed outdoors under the requirements of Article 800. For example, a 600-volt fire alarm circuit can be run outdoors on poles with no requirements as to height above ground or conductor or cable insulation.

This situation has existed since Article 760 first appeared in the 1975 edition of the Code. See Section 760-5 in that edition. However, in the 1971 edition of the Code, Section 725-43, on which Section 760-5 of the 1975 Code was based, permitted installation in accordance with Article 800 only for Class 2 circuits.

In the "Preprint' of the Proposed Amendments for the 1974 NEC, Part II", the limited energy concept of the 1971 NEC was continued by the following wording for proposed new Section 760-5(a) [See Page 304 of NFPA No. 70 PR74B].

"Wiring circuits reserved solely for fire-protective signaling services and complying with the requirements of Article 800 for communication circuits are acceptable for outside wiring. Circuits shall be deemed to comply with Article 800, and Section 800-1 in particular, if they carry limited energy similar to those of telephone, telegraph (except radio) circuits in common used by public or private companies. Wiring for circuits not meeting these requirements and intended to be installed outdoors shall meet the appropriate Sections of Article 730 (225)."

The Code-Making Panel held the entire proposed Article 760 on the docket and assigned it to an ad hoc committee. The result was that the limited energy concept of the 1971 NEC and proposed new Article 760 for the 1974 (1975) NEC was lost.

This proposal is intended to reinstate the original requirement, and eliminate the potential for an electric shock hazard inherent in the present Code wording. The words "and run outdoors" have been added because a circuit can run between "buildings", through a firewall for example, and not be outdoors. See the NEC definition of a building.

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3. Proposal:



1999 National <u>Electrical Code Proposal.</u> **LOG** #

Date Rec'd

AUG 2 at 1996

Date: 8/7/96 Name: Roger L. Sandstedt Tel. No. (314) 965-6961

Company: Cable Tray Institute

Street Address: 12982 Huntbridge Forest Dr. City: St. Louis State: MO. Zip: 63131

Organizational Represented: Cable Tray Institute

1. Section/Paragraph: Section 300-22(c).

Add the following paragraph between the present second and third paragraphs:

Cables that are supported in ladder cable trays, ventilated trough cable trays, ventilated channel cable trays or solid bottom cable trays shall be wiring methods consisting of Type MI cables, Type MC cables without an overall non-metallic covering, Types AC cables or other factory assembled multiconductor control, power or signal cables that are specifically listed for the use; Type PLTC cables (Article725), Type ITC Cables (article 727), Fire Protective Signaling Cables (Article 760), Optical Fiber Cables (Article 770), and Communication & Multipurpose Cables (Article 800) which are listed as plenum cables with adequate fire-resistance characteristics and low-smoke producing characteristics.

4. Statement of Problem and Substantiation for Proposal:

All the acceptable wiring methods for "Other Spaces Used for Environmental Air" should be identified in Section 300-22(c). The high volume wiring methods required by today's technology that are allowed to be installed in Section 300-22(c) locations are not specifically identified in this section. The acceptance of this proposal will remove the high degree of confusion that now exist about the wiring methods for Section 300-22(c) locations. All the wiring methods in the proposed paragraph are as per the 1996 NBC. The material in the proposed paragraph is distributed throughout the NEC. It should be presented in a concentrated form in Section 300-22(c).

These wiring methods are being used in high volume and their use will increase in the future. Section 300-22(c) should be specific about its allowable wiring methods. This makes for a more user friendly NEC.

5. X This proposal is original material.
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Roger & Suditedt

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89 4-1-15CA
Proposal for the 1999 National Electrical Code®
NOV 0 8 1996
Log # Date Received (Office Use Only)
Date: 11/7/91 Name: Joseph A. Tedesco Telephone 6/75237/37
Address: 350 North St. City Boston State MA Zip 021/3
1. Section/Paragraph 424-43 (a)
2. Proposal recommends: New Text Revised Text Deleted Text 3. Proposal (include proposed new wording or identification of wording to be deleted):
Change "UF" to "UF-B" and "NM" to "NM-B"
of the state of th
"NM" to "NM-B"
4. Substantiation:
See my expressals to make
the my rouper
these changes in HMucles 336
See my proposals to make these changes in Articles 336 and 339. This information is in
Lines with Ill and Saction 221 2 Com
LINE with UL, And Section 336-30 (BICFPN).
5 This Proposal is original material
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proposal and I understand that I acquire no rights in any publication of NFPA in which this proposal or another similar analogous form is used.
To lo de
Signature (Required) 100ph 4-Little
Mail to: Secretary Standards Council, National Fire Protection Association, I Batterymarch Park, PO.
Box 9101, Quincy, MA 02269
Service of the servic

EXHIBIT IF THE IMAGE IS LESS. CLEAR THAN THIS NOTICE IT IS DUE TO THE QUALITY OF THE DOCUMENT. 70-1069 METHOD OF SUBMITTING A PROPOSAL FORM FOR PROPOSALS FOR 1999 NATIONAL ELECTRICAL CODE® INSTRUCTIONS PLEASE READ CAREFULLY Type or print in Black Ink. Use a separate copy for each proposal. Limit each proposal to a SINGLE section, All proposals must be Date Rec'd: received by NFPA by 5 p.m., E.S.T., Friday November 8, 1996 to be considered for the 1999 National Electrical Code. Proposals received after 5:00 p.m., E.S.T., Friday, November 8, 1996 will be returned NOV 22 1995 to the submitter. Date: 17/95 Name: VICTOR Residen Fol N. 908-360-1991 Please Indicate Organization Represented (If an MUNICIPAL Electrical 1. Section/Paragraph: 210-63 2. Proposal recommends (check one):  $\Box$  new text  $\overleftarrow{\mathbf{X}}$  revised text deleted text 3. Proposal (include proposed new wording, or identification of wording to be deleted): THE FOLLOWING TO LAST SCHTENCE. The RECEPTACLE DOTLET SHALL NOT BE CONNECTED TO The supply wiring For the HVAC Equipment in the Line or LOAD SIDE OF The duconnecting moon: This charifies to the installer that it was NOT Th INTENT OF the SUB MITTER TO PERMIT TAP CONDUCTI ON LINE SIDE OF DISCOUNCETING MEONS TO SUPPLY The RECEPTACLE OUTLET BUT RATHER TO REQUIRE THIS Proposal is original material Supply From Other THAN HUAC This Proposal is not original material, its source (If known) is as follow: Note: Original material is considered to be the submitter's own idea based on or as a result of his/her own experience; thought or research and, to the best of his/her knowledge, is not copied from another source. I hereby grant the NFPA the nonexclusive, royally-free rights, including non-exclusive, royally-free rights in copyright, in this proposal, and I understand that I acquire no rights in any publication of NFPA in which this proposal in this or another similar or enalogous form is used, ignature (Required) Mail to: Secretary, Standards Council, National Fire Protection Association, 1 Banerymarch Park, P.O. Box 9101 Quincy, MA 02269 or FAX to 617-770-3500

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DOCUMENT 1 45 - 12	41-17 BM
FORM FOR PROPOSALS ON NFPA TEC	HNICAL COMMITTEE DOCUMENTS
Mail to: Secretary, Standards Council National Fire Protection Association, 1 Batterym: Fax No. 617-770-3500 Note; All proposals must be received by 5:00 p.m. EST/EDS	
If you need further information on the stand Standards Administration Dej	
Date 12-10-95 Name Joseph A.	Tedesco Tel. No. 6/75237/37
Company	
Street Address 350 NORTH Street	BOSTON MA 02/13
Please Indicate Organization Represented (If any)	
1. a) NFPA Document Title NEC	NFPA No. & Year 70-1996
D) Section Paragraph "LUMINAIRE"	No. 1)
b) Section/Paragraph	FOR OFFICE USE ONLY
2. Proposal Recommends: (Check one)	Log # /05
□ deleted text	Date Rec'd DEC 26 1995
3. Proposal (include proposed new or revised wording, or	identification of wording to be deleted):
LumiNAIRe! (Lighting Fixture): a con	slota lighting unit.
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Consisting of a lamp or lamp parts designed to distribute the and protect the lamps, and to	l'at t
and notact the language and to	e light, to position
to the sower suggest	
<ol> <li>Statement of Problem and Substantiation for Proposal: tion; give the specific reason for your proposal including copies of tests, resea</li> </ol>	rch papers, fire experience, etc. If more than 200 words, it may be
See Section 410-10 The terms light	ing fixture and
"lighting Lightnes" one used in ove 1996 National Electrica See Sections 517-33 and 517-43 w	128 locations in the
1996 National Electrica	1 Code-suppossional
See Sections 5/7-33" and 5/7-43 w	here "luminaires" is used also.
<ol> <li>This Proposal is original material. (Note: Original material is his/her own experience, thought, or research and, to the best of his/her knowle</li> </ol>	s considered to be the submitter's own idea based on or as a result of edge, is not copied from another source.)
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Material Sec 410-1 70- Note 1: Type or print legibly in black tak.	- 1996
Note 2: If supplementary material (photographs, diagrams, reports, etc.) is inc bers and alternates of the technical committee.	luded, you may be required to submit sufficient copies for all mem-
I hereby grant NFPA the non-exclusive, royalty-free righ	ts, including non-exclusive, royalty-free rights in copy-
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Joseph	Signature (Required)
	Signature (Required)
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	considered for the 1999 National Electrical Code. Proposals received after 5:00 p.m., E.S.T., Friday, November 8, 1996 will be returned	JAN 17	100¢
	to the submitter.	•	- <del></del>
	Date: 1/5/96 Name: Steve Young Company Lincoln Electric System	Tel. No. <u></u>	02) 467-7632
		. ue	coras
	Street Address: 2620 Fairfield St. City lincoln	State_ <u>NE</u>	Zip 68521
•	Please Indicate Organization Represented (If any):		<del></del>
	1. Section/Paragraph: 1996 NEC Article 90 Paragraph	00-2(b)(6)(Ne	(4)
	2. Proposal recommends (check one): A new text I revised text  And New 190-2(b)(a) to see the second sext of the second sext of the second sext of the second sext of the sext	lighting and tra exclusive contro	ffic 1 of
	4. Statement of Problem and Substantiation for Proposal:  Such installations are designed, installed and meronnel. In most areas of the country, street signals are provided by the local utility or a ghas been no substantial proof of bazards to the street lighting systems. The National Electrical addresses street lighting in better detail than	: lighting and to overnment agency public of ill-wi l Safety Code (N	raffic /. There ired NESC)
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	Simonya /	Jerry Jeduired V	
	Mail to: Secretary, Standards Council, National Fire Protection Association, Quincy, MA 02269 or FAX to 617-770-3500	1 Batterymarch Park, P	.O. Box 9101
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	AN THIS NOTICE TO THE QUALITY DOCUMENT.  1239  4-1-170
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	Date 1/20/96 Name # Relyn McCulloch Tel, No. 208-331-5665
	Company IBFW Local 449
	Street Address 66 % G MCTER City BOXSE State Ic Zip 835/2
	Please Indicate Organization Represented (If Any)
	1. Section/Paragraph Grounding in all Articles
	2. Proposal recommends(Check One) [ ] new text [X] revised text [ ] deleted text
	3. Proposal (include proposed new wording, or identification of wording to be deleted)
	Rewrite grounding in all Articles of the NEC to one Article
	4. Statement of Problem and substantiation for Proposal
·	5. [X] This Proposal is original material [ ] This Proposal is not original material, its source (if known) is as follows:
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