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IS 2911-1-1 (2010): DESIGN AND CONSTRUCTION OF PILE FOUNDATIONS – CODE OF PRACTICE, Part 1: CONCRETE PILES, Section 1: Driven Cast In-situ Concrete Piles [CED 43: Soil and Foundation Engineering]



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भारतीय मानक  
पाइल नींव की डिजाइन और निर्माण — रीति संहिता  
भाग 1 कंक्रीट पाइल  
अनुभाग 1 स्वस्थान ढलित कंक्रीट की ड्रिवन पाइल  
( दूसरा पुनरीक्षण )

*Indian Standard*  
DESIGN AND CONSTRUCTION OF PILE  
FOUNDATIONS — CODE OF PRACTICE  
PART 1 CONCRETE PILES  
Section 1 Driven Cast *In-situ* Concrete Piles  
( *Second Revision* )

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## FOREWORD

This Indian Standard (Part 1/Sec 1) (Second Revision) was adopted by the Bureau of Indian Standards, after the draft finalized by the Soil and Foundation Engineering Sectional Committee had been approved by the Civil Engineering Division Council.

Piles find application in foundations to transfer loads from a structure to competent subsurface strata having adequate load-bearing capacity. The load transfer mechanism from a pile to the surrounding ground is complicated and is not yet fully understood, although application of piled foundations is in practice over many decades. Broadly, piles transfer axial loads either substantially by friction along its shaft and/or by the end-bearing. Piles are used where either of the above load transfer mechanism is possible depending upon the subsoil stratification at a particular site. Construction of pile foundations require a careful choice of piling system depending upon the subsoil conditions, the load characteristics of a structure and the limitations of total settlement, differential settlement and any other special requirement of a project. The installation of piles demands careful control on position, alignment and depth, and involve specialized skill and experience.

This standard was originally published in 1964 and included provisions regarding driven cast *in-situ* piles, precast concrete piles, bored piles and under-reamed piles including load testing of piles. Subsequently the portion pertaining to under-reamed pile foundations was deleted and now covered in IS 2911 (Part 3) : 1980 'Code of practice for design and construction of pile foundations: Part 3 Under-reamed piles (*first revision*)'. At that time it was also decided that the provisions regarding other types of piles should also be published separately for ease of reference and to take into account the recent developments in this field. Consequently this standard was revised in 1979 into three sections. Later, in 1984, a new section as (Part 1/Sec 4) was introduced in this part of the standard to cover the provisions of bored precast concrete piles. The portion relating to load test on piles has been covered in a separate part, namely, IS 2911 (Part 4) : 1984 'Code of practice for design and construction of pile foundations: Part 4 Load test on piles'. Accordingly IS 2911 has been published in four parts. The other parts of the standard are:

- Part 2 Timber piles
- Part 3 Under-reamed piles
- Part 4 Load test on piles

Other sections of Part 1 are:

- Section 2 Bored cast *in-situ* concrete piles
- Section 3 Driven precast concrete piles
- Section 4 Precast concrete piles in prebored holes

It has been felt that the provisions regarding the different types of piles should be further revised to take into account the recent developments in this field. This revision has been brought out to incorporate these developments.

In the present revision following major modifications have been made:

- a) Definitions of various terms have been modified as per the prevailing engineering practice.
- b) Procedures for calculation of bearing capacity, structural capacity, factor of safety, lateral load capacity, overloading, etc, have also been modified to bring them at par with the present practices.
- c) Design parameters with respect to adhesion factor, earth pressure coefficient, modulus of subgrade reaction, etc, have been revised to make them consistence with the outcome of modern research and construction practices.

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- d) Provision has been made for use of any established dynamic pile driving formulae, instead of recommending any specific formula, to control the pile driving at site, giving due consideration to limitations of various formulae.
- e) Minimum grade of concrete to be used in pile foundations has been revised to M 25.

Driven cast *in-situ* pile is formed in the ground by driving a casing, permanent or temporary, and subsequently filling in the hole with plain or reinforced concrete. For this type of pile the subsoil is displaced by the driving of the casing, which is installed with a plug or a shoe at the bottom. In case of the piles driven with temporary casings, known as uncased, the concrete poured *in-situ* comes in direct contact with the soil. The concrete may be rammed, vibrated or just poured, depending upon the particular system of piling adopted. This type of piles find wide application, where the pile is required to be taken to a greater depth to find adequate bearing strata or to develop adequate skin friction and also when the length of individual piles cannot be predetermined.

The recommendations for detailing for earthquake-resistant construction given in IS 13920 : 1993 'Ductile detailing of reinforced concrete structures subjected to seismic forces — Code of practice' should be taken into consideration, where applicable (*see also* IS 4326 : 1993 'Earthquake resistant design and construction of buildings — Code of practice').

The composition of the Committee responsible for the formulation of this standard is given in Annex E.

For the purpose of deciding whether a particular requirement of this standard is complied with, the final value, observed or calculated, expressing the result of a test or analysis shall be rounded off in accordance with IS 2 : 1960 'Rules for rounding off numerical values (*revised*)'. The number of significant places retained in the rounded off value should be the same as that of the specified value in this standard.

*Indian Standard*DESIGN AND CONSTRUCTION OF PILE  
FOUNDATIONS — CODE OF PRACTICE

## PART 1 CONCRETE PILES

Section 1 Driven Cast *In-situ* Concrete Piles*( Second Revision )***1 SCOPE**

**1.1** This standard (Part 1/Sec 1) covers the design and construction of driven cast *in-situ* concrete piles which transmit the load to the soil by resistance developed either at the pile tip by end-bearing or along the surface of the shaft by friction or by both.

**1.2** This standard is not applicable for use of driven cast *in-situ* concrete piles for any other purpose, for example, temporary or permanent retaining structure.

**2 REFERENCES**

The standards listed in Annex A contain provisions, which through reference in this text, constitute provisions of this standard. At the time of publication, the editions indicated were valid. All standards are subject to revision and parties to agreements based on this standard are encouraged to investigate the possibility of applying the most recent editions of the standards listed in Annex A.

**3 TERMINOLOGY**

For the purpose of this standard, the following definitions shall apply.

**3.1 Allowable Load** — The load which may be applied to a pile after taking into account its ultimate load capacity, group effect, the allowable settlement, negative skin friction and other relevant loading conditions including reversal of loads, if any.

**3.2 Anchor Pile** — An anchor pile means a pile meant for resisting pull or uplift forces.

**3.3 Batter Pile (Raker Pile)** — The pile which is installed at an angle to the vertical using temporary casing or permanent liner.

**3.4 Cut-off Level** — It is the level where a pile is cut-off to support the pile caps or beams or any other structural components at that level.

**3.5 Driven Cast *In-situ* Pile** — A pile formed within the ground by driving a casing of uniform diameter, or a device to provide enlarged base and

subsequently filling the hole with reinforced concrete. For displacing the subsoil the casing is driven with a plug or a shoe at the bottom. When the casing is left permanently in the ground, it is termed as cased pile and when the casing is taken out, it is termed as uncased pile. The steel casing tube is tamped during its extraction to ensure proper compaction of concrete.

**3.6 Elastic Displacement** — This is the magnitude of displacement of the pile head during rebound on removal of a given test load. This comprises two components:

- a) Elastic displacement of the soil participating in the load transfer, and
- b) Elastic displacement of the pile shaft.

**3.7 Factor of Safety** — It is the ratio of the ultimate load capacity of a pile to the safe load on the pile.

**3.8 Follower Tube** — A tube which is used following the main casing tube when adequate set is not obtained with the main casing tube and it requires to be extended further. The inner diameter of the follower tube should be the same as the inner diameter of the casing. The follower tube should be water-tight when driven in water-bearing strata.

**3.9 Gross Displacement** — The total movement of the pile top under a given load.

**3.10 Initial Load Test** — A test pile is tested to determine the load-carrying capacity of the pile by loading either to its ultimate load or to twice the estimated safe load.

**3.11 Initial Test Pile** — One or more piles, which are not working piles, may be installed if required to assess the load-carrying capacity of a pile. These piles are tested either to their ultimate load capacity or to twice the estimated safe load.

**3.12 Load Bearing Pile** — A pile formed in the ground for transmitting the load of a structure to the soil by the resistance developed at its tip and/or along its surface. It may be formed either vertically or at an inclination (batter pile) and may be required to resist uplift forces.

If the pile supports the load primarily by resistance developed at the pile tip or base it is called 'End-bearing pile' and, if primarily by friction along its surface, then 'Friction pile'.

**3.13 Net Displacement** — The net vertical movement of the pile top after the pile has been subjected to a test load and subsequently released.

**3.14 Pile Spacing** — The spacing of piles means the centre-to-centre distance between adjacent piles.

**3.15 Routine Test Pile** — A pile which is selected for load testing may form a working pile itself, if subjected to routine load test up to not more than 1.5 times the safe load.

**3.16 Safe Load** — It is the load derived by applying a factor of safety on the ultimate load capacity of the pile or as determined from load test.

**3.17 Ultimate Load Capacity** — The maximum load which a pile can carry before failure, that is, when the founding strata fails by shear as evidenced from the load settlement curve or the pile fails as a structural member.

**3.18 Working Load** — The load assigned to a pile as per design.

**3.19 Working Pile** — A pile forming part of the foundation system of a given structure.

#### 4 NECESSARY INFORMATION

**4.1** For the satisfactory design and construction of driven cast *in-situ* piles the following information would be necessary:

- a) Site investigation data as laid down under IS 1892. Sections of trial boring, supplemented, wherever appropriate, by penetration tests, should incorporate data/information down to depth sufficiently below the anticipated level of founding of piles but this should generally be not less than 10 m beyond the pile founding level. Adequacy of the bearing strata should be ensured by supplementary tests, if required.
- b) The nature of the soil both around and beneath the proposed pile should be indicated on the basis of appropriate tests of strength, compressibility, etc. Ground water level and artesian conditions, if any, should also be recorded. Results of chemical tests to ascertain the sulphate, chloride and any other deleterious chemical content of soil and water should be indicated.
- c) For piling work in water, as in the case of bridge foundation, data on high flood levels, water level during the working season, maximum depth of scour, etc, and in the case of marine construction, data on high and low

tide level, corrosive action of chemicals present and data regarding flow of water should be provided.

- d) The general layout of the structure showing estimated loads and moments at the top of pile caps but excluding the weight of the piles and caps should be provided. The top levels of finished pile caps shall also be indicated.
- e) All transient loads due to seismic, wind, water current, etc, indicated separately.
- f) In soils susceptible to liquefaction during earthquake, appropriate analysis may be done to determine the depth of liquefaction and consider the pile depth accordingly.

**4.2** As far as possible all informations given in **4.1** shall be made available to the agency responsible for the design and/or construction of piles and/or foundation work.

**4.3** The design details of pile foundation shall give the information necessary for setting out and layout of piles, cut-off levels, finished cap level, layout and orientation of pile cap in the foundation plan and the safe capacity of each type of pile, etc.

#### 5 EQUIPMENTS AND ACCESSORIES

**5.1** The equipments and accessories would depend upon the type of driven cast *in-situ* piles chosen for a job after giving due considerations to the subsoil strata, ground-water conditions, types of founding material and the required penetration therein, wherever applicable.

**5.2** Among the commonly used plants, tools and accessories, there exists a large variety; suitability of which depends on the subsoil condition, manner of operation, etc. Brief definitions of some commonly used equipments are given below:

**5.2.1 Dolly** — A cushion of hardwood or some suitable material placed on the top of the casing to receive the blows of the hammer.

**5.2.2 Drop Hammer (or Monkey)** — Hammer, ram or monkey raised by a winch and allowed to fall under gravity.

**5.2.3 Single or Double Acting Hammer** — A hammer operated by steam compressed air or internal combustion, the energy of its blows being derived mainly from the source of motive power and not from gravity alone.

**5.2.4 Hydraulic Hammer** — A hammer operated by a hydraulic fluid can be used with advantage for increasing the energy of blow.

**5.2.5 Kentledge** — Dead weight used for applying a test load on a pile.



**5.2.6 Pile Rig** — A movable steel structure for driving piles in the correct position and alignment by means of a hammer operating in the guides of the frame.

## 6 DESIGN CONSIDERATIONS

### 6.1 General

Pile foundations shall be designed in such a way that the load from the structure can be transmitted to the sub-surface with adequate factor of safety against shear failure of sub-surface and without causing such settlement (differential or total), which may result in structural damage and/or functional distress under permanent/transient loading. The pile shaft should have adequate structural capacity to withstand all loads (vertical, axial or otherwise) and moments which are to be transmitted to the subsoil and shall be designed according to IS 456.

### 6.2 Adjacent Structures

**6.2.1** When working near existing structures, care shall be taken to avoid damage to such structures. IS 2974 (Part 1) may be used as a guide for studying qualitatively the effect of vibration on persons and structures.

**6.2.2** In case of deep excavations adjacent to piles, proper shoring or other suitable arrangement shall be made to guard against undesired lateral movement of soil.

### 6.3 Pile Capacity

The load-carrying capacity of a pile depends on the properties of the soil in which it is embedded. Axial load from a pile is normally transmitted to the soil through skin friction along the shaft and end-bearing at its tip. A horizontal load on a vertical pile is transmitted to the subsoil primarily by horizontal subgrade reaction generated in the upper part of the shaft. Lateral load capacity of a single pile depends on the soil reaction developed and the structural capacity of the shaft under bending. It would be essential to investigate the lateral load capacity of the pile using appropriate values of horizontal subgrade modulus of the soil. Alternatively, piles may be installed in rake.

**6.3.1** The ultimate load capacity of a pile may be estimated by means of static formula on the basis of soil test results, or by using a dynamic pile formula using data obtained during driving the pile. However, dynamic pile driving formula should be generally used as a measure to control the pile driving at site. Pile capacity should preferably be confirmed by initial load tests [see IS 2911 (Part 4)]. The settlement of pile obtained at safe load/working load from load-test results on a single pile shall not

be directly used for estimating the settlement of a structure. The settlement may be determined on the basis of subsoil data and loading details of the structure as a whole using the principles of soil mechanics.

#### 6.3.1.1 Static formula

The ultimate load capacity of a single pile may be obtained by using static analysis, the accuracy being dependent on the reliability of the soil properties for various strata. When computing capacity by static formula, the shear strength parameters obtained from a limited number of borehole data and laboratory tests should be supplemented, wherever possible by *in-situ* shear strength obtained from field tests. The two separate static formulae, commonly applicable for cohesive and non-cohesive soil respectively, are indicated in Annex B. Other formula based on static cone penetration test [see IS 4968 (Parts 1, 2 and 3)] and standard penetration test (see IS 2131) are given in **B-3** and **B-4**.

#### 6.3.1.2 Dynamic formula

Any established dynamic formula may be used to control the pile driving at site giving due consideration to limitations of various formulae.

Whenever double acting diesel hammers or hydraulic hammers are used for driving of piles, manufacturer's guidelines about energy and set criteria may be referred to. Dynamic formulae are not directly applicable to cohesive soil deposits, such as, saturated silts and clays as the resistance to impact of the tip of the casing will be exaggerated by their low permeability while the frictional resistance on the sides is reduced by lubrication.

#### 6.3.2 Uplift Capacity

The uplift capacity of a pile is given by sum of the frictional resistance and the weight of the pile (buoyant or total as relevant). The recommended factor of safety is 3.0 in the absence of any pullout test results and 2.0 with pullout test results. Uplift capacity can be obtained from static formula (see Annex B) by ignoring end-bearing but adding weight of the pile (buoyant or total as relevant).

### 6.4 Negative Skin Friction or Dragdown Force

When a soil stratum, through which a pile shaft has penetrated into an underlying hard stratum, compresses as a result of either it being unconsolidated or it being under a newly placed fill or as a result of remoulding during driving of the pile, a dragdown force is generated along the pile shaft up to a point in depth where the surrounding soil does not move downward relative to the pile

shaft. Existence of such a phenomenon shall be assessed and suitable correction shall be made to the allowable load where appropriate.

## 6.5 Structural Capacity

The piles shall have necessary structural strength to transmit the loads imposed on it, ultimately to the soil. In case of uplift, the structural capacity of the pile, that is, under tension should also be considered.

### 6.5.1 Axial Capacity

Where a pile is wholly embedded in the soil (having an undrained shear strength not less than  $0.01 \text{ N/mm}^2$ ), its axial load-carrying capacity is not necessarily limited by its strength as a long column. Where piles are installed through very weak soils (having an undrained shear strength less than  $0.01 \text{ N/mm}^2$ ), special considerations shall be made to determine whether the shaft would behave as a long column or not. If necessary, suitable reductions shall be made for its structural strength following the normal structural principles covering the buckling phenomenon.

When the finished pile projects above ground level and is not secured against buckling by adequate bracing, the effective length will be governed by the fixity imposed on it by the structure it supports and by the nature of the soil into which it is installed. The depth below the ground surface to the lower point of contraflexure varies with the type of the soil. In good soil the lower point of contraflexure may be taken at a depth of 1 m below ground surface subject to a minimum of 3 times the diameter of the shaft. In weak soil (undrained shear strength less than  $0.01 \text{ N/mm}^2$ ) such as, soft clay or soft silt, this point may be taken at about half the depth of penetration into such stratum but not more than 3 m or 10 times the diameter of the shaft whichever is more. The degree of fixity of the position and inclination of the pile top and the restraint provided by any bracing shall be estimated following accepted structural principles.

The permissible stress shall be reduced in accordance with similar provision for reinforced concrete columns as laid down in IS 456.

### 6.5.2 Lateral Load Capacity

A pile may be subjected to lateral force for a number of causes, such as, wind, earthquake, water current, earth pressure, effect of moving vehicles or ships, plant and equipment, etc. The lateral load capacity of a single pile depends not only on the horizontal subgrade modulus of the surrounding soil but also on the structural strength of the pile shaft against bending, consequent upon application of a lateral

load. While considering lateral load on piles, effect of other co-existent loads, including the axial load on the pile, should be taken into consideration for checking the structural capacity of the shaft. A recommended method for the pile analysis under lateral load is given in Annex C.

Because of limited information on horizontal subgrade modulus of soil and pending refinements in the theoretical analysis, it is suggested that the adequacy of a design should be checked by an actual field load test. In the zone of soil susceptible to liquefaction the lateral resistance of the soil shall not be considered.

#### 6.5.2.1 Fixed and free head conditions

A group of three or more pile connected by a rigid pile cap shall be considered to have fixed head condition. Caps for single piles must be interconnected by grade beams in two directions and for twin piles by grade beams in a line transverse to the common axis of the pair so that the pile head is fixed. In all other conditions the pile shall be taken as free headed.

### 6.5.3 Raker Piles

Raker piles are normally provided where vertical piles cannot resist the applied horizontal forces. Generally the rake will be limited to 1 horizontal to 6 vertical. In the preliminary design, the load on a raker pile is generally considered to be axial. The distribution of load between raker and vertical piles in a group may be determined by graphical or analytical methods. Where necessary, due consideration should be made for secondary bending induced as a result of the pile cap movement, particularly when the cap is rigid. Free-standing raker piles are subjected to bending moments due to their own weight or external forces from other causes. Raker piles, embedded in fill or consolidating deposits, may become laterally loaded owing to the settlement of the surrounding soil. In consolidating clay, special precautions, like provision of permanent casing should be taken for raker piles.

## 6.6 Spacing of Piles

The minimum centre-to-centre spacing of pile is considered from three aspects, namely,

- a) practical aspects of installing the piles,
- b) diameter of the pile, and
- c) nature of the load transfer to the soil and possible reduction in the load capacity of piles group.

NOTE — In the case of piles of non-circular cross-section, diameter of the circumscribing circle shall be adopted.

**6.6.1** In case of piles founded on hard stratum and deriving their capacity mainly from end-bearing the minimum spacing shall be 2.5 times the diameter of the circumscribing circle corresponding to the cross-section of the pile shaft. In case of piles resting on rock, the spacing of two times the said diameter may be adopted.

**6.6.2** Piles deriving their load-carrying capacity mainly from friction shall be spaced sufficiently apart to ensure that the zones of soils from which the piles derive their support do not overlap to such an extent that their bearing values are reduced. Generally the spacing in such cases shall not be less than 3 times the diameter of the pile shaft.

## 6.7 Pile Groups

**6.7.1** In order to determine the load-carrying capacity of a group of piles a number of efficiency equations are in use. However, it is difficult to establish the accuracy of these efficiency equations as the behaviour of pile group is dependent on many complex factors. It is desirable to consider each case separately on its own merits.

**6.7.2** The load-carrying capacity of a pile group may be equal to or less than the load-carrying capacity of individual piles multiplied by the number of piles in the group. The former holds true in case of friction piles, driven into progressively stiffer materials or in end-bearing piles. For driven piles in loose sandy soils, the group capacity may even be higher due to the effect of compaction. In such cases a load test may be carried out on a pile in the group after all the piles in the group have been installed.

**6.7.3** In case of piles deriving their support mainly from friction and connected by a rigid pile cap, the group may be visualized as a block with the piles embedded within the soil. The ultimate load capacity of the group may then be obtained by taking into account the frictional capacity along the perimeter of the block and end-bearing at the bottom of the block using the accepted principles of soil mechanics.

**6.7.3.1** When the cap of the pile group is cast directly on reasonably firm stratum which supports the piles, it may contribute to the load-carrying capacity of the group. This additional capacity along with the individual capacity of the piles multiplied by the number of piles in the group shall not be more than the capacity worked out according to **6.7.3**.

**6.7.4** When a pile group is subjected to moment either from superstructure or as a consequence of inaccuracies of installation, the adequacy of the pile group in resisting the applied moment should be checked. In case of a single pile subjected to

moment due to lateral loads or eccentric loading, beams may be provided to restrain the pile cap effectively from lateral or rotational movement.

**6.7.5** In case of a structure supported on single piles/group of piles resulting in large variation in the number of piles from column-to-column it may result in excessive differential settlement. Such differential settlement should be either catered for in the structural design or it may be suitably reduced by judicious choice of variations in the actual pile loading. For example, a single pile cap may be loaded to a level higher than that of the pile in a group in order to achieve reduced differential settlement between two adjacent pile caps supported on different number of piles.

## 6.8 Factor of Safety

**6.8.1** Factor of safety should be chosen after considering,

- a) the reliability of the calculated value of ultimate load capacity of a pile,
- b) the types of superstructure and the type of loading, and
- c) allowable total/differential settlement of the structure.

**6.8.2** When the ultimate load capacity is determined from either static formula or dynamic formula, the factor of safety would depend on the reliability of the formula and the reliability of the subsoil parameters used in the computation. The minimum factor of safety on static formula shall be 2.5. The final selection of a factor of safety shall take into consideration the load settlement characteristics of the structure as a whole at a given site.

**6.8.3** Higher value of factor of safety for determining the safe load on piles may be adopted, where,

- a) settlement is to be limited or unequal settlement avoided,
- b) large impact or vibrating loads are expected, and
- c) the properties of the soil may deteriorate with time.

## 6.9 Transient Loading

The maximum permissible increase over the safe load of a pile, as arising out of wind loading, is 25 percent. In case of loads and moments arising out of earthquake effects, the increase of safe load on a single pile may be limited to the provisions contained in IS 1893 (Part 1). For transient loading arising out of superimposed loads, no increase is allowed.

### 6.10 Overloading

When a pile in a group, designed for a certain safe load is found, during or after execution, to fall just short of the load required to be carried by it, an overload up to 10 percent of the pile capacity may be allowed on each pile. The total overloading on the group should not, however, be more than 10 percent of the capacity of the group subject to the increase of the load on any pile being not more than 25 percent of the allowable load on a single pile.

### 6.11 Reinforcement

**6.11.1** The design of the reinforcing cage varies depending upon the driving and installation conditions, the nature of the subsoil and the nature of load to be transmitted by the shaft-axial, or otherwise. The minimum area of longitudinal reinforcement of any type or grade within the pile shaft shall be 0.4 percent of the cross-sectional area of the pile shaft. The minimum reinforcement shall be provided throughout the length of the shaft.

**6.11.2** The curtailment of reinforcement along the depth of the pile, in general, depends on the type of loading and subsoil strata. In case of piles subjected to compressive load only, the designed quantity of reinforcement may be curtailed at appropriate level according to the design requirements. For piles subjected to uplift load, lateral load and moments, separately or with compressive loads, it would be necessary to provide reinforcement for the full depth of pile. In soft clays or loose sands, or where there may be danger to green concrete due to driving of adjacent piles, the reinforcement should be provided to the full pile depth, regardless of whether or not it is required from uplift and lateral load considerations. However, in all cases, the minimum reinforcement specified in **6.11.1** shall be provided throughout the length of the shaft.

**6.11.3** Piles shall always be reinforced with a minimum amount of reinforcement as dowels keeping the minimum bond length into the pile shaft below its cut-off level and with adequate projection into the pile cap, irrespective of design requirements.

**6.11.4** Clear cover to all main reinforcement in pile shaft shall be not less than 50 mm. The laterals of a reinforcing cage may be in the form of links or spirals. The diameter and spacing of the same is chosen to impart adequate rigidity of the reinforcing cage during its handling and installations. The minimum diameter of the links or spirals shall be 8 mm and the spacing of the links or spirals shall be not less than 150 mm. Stiffner rings preferably of 16 mm diameter at every 1.5 m centre-to-centre should be provided along the length of the cage for providing rigidity to reinforcement cage. Minimum

6 numbers of vertical bars shall be used for a circular pile and minimum diameter of vertical bar shall be 12 mm. The clear horizontal spacing between the adjacent vertical bars shall be four times the maximum aggregate size in concrete. If required, the bars can be bundled to maintain such spacing.

### 6.12 Design of Pile Cap

**6.12.1** The pile caps may be designed by assuming that the load from column is dispersed at 45° from the top of the cap to the mid-depth of the pile cap from the base of the column or pedestal. The reaction from piles may also be taken to be distributed at 45° from the edge of the pile, up to the mid-depth of the pile cap. On this basis the maximum bending moment and shear forces should be worked out at critical sections. The method of analysis and allowable stresses should be in accordance with IS 456.

**6.12.2** Pile cap shall be deep enough to allow for necessary anchorage of the column and pile reinforcement.

**6.12.3** The pile cap should be rigid enough so that the imposed load could be distributed on the piles in a group equitably.

**6.12.4** In case of a large cap, where differential settlement may occur between piles under the same cap, due consideration for the consequential moment should be given.

**6.12.5** The clear overhang of the pile cap beyond the outermost pile in the group shall be a minimum of 150 mm.

**6.12.6** The cap is generally cast over a 75 mm thick levelling course of concrete. The clear cover for main reinforcement in the cap slab shall not be less than 60 mm.

**6.12.7** The embedment of pile into cap should be 75 mm.

**6.13** The design of grade beam if used shall be as given in IS 2911 (Part 3).

## 7 MATERIALS AND STRESSES

### 7.1 Cement

The cement used shall be any of the following:

- a) 33 Grade ordinary Portland cement conforming to IS 269,
- b) 43 Grade ordinary Portland cement conforming to IS 8112,
- c) 53 Grade ordinary Portland cement conforming to IS 12269,
- d) Rapid hardening Portland cement conforming to IS 8041,

- e) Portland slag cement conforming to IS 455,
- f) Portland pozzolana cement (fly ash based) conforming to IS 1489 (Part 1),
- g) Portland pozzolana cement (calcined clay based) conforming to IS 1489 (Part 2),
- h) Hydrophobic cement conforming to IS 8043,
- j) Low heat Portland cement conforming to IS 12600, and
- k) Sulphate resisting Portland cement conforming to IS 12330.

## 7.2 Steel

Reinforcement steel shall be any of the following:

- a) Mild steel and medium tensile steel bars conforming to IS 432 (Part 1),
- b) High strength deformed steel bars conforming to IS 1786, and
- c) Structural steel conforming to IS 2062.

## 7.3 Concrete

**7.3.1** Consistency of concrete to be used for driven cast *in-situ* piles shall be consistent with the method of installation of piles. Concrete shall be so designed or chosen as to have a homogeneous mix having a slump/workability consistent with the method of concreting under the given conditions of pile installation.

**7.3.2** The slump should be 150 to 180 mm at the time of pouring.

**7.3.3** The minimum grade of concrete to be used for piling shall be M 25. For sub aqueous concrete, the requirements specified in IS 456 shall be followed. The minimum cement content shall be 400 kg/m<sup>3</sup>. However, with proper mix design and use of proper admixtures the cement content may be reduced but in no case the cement content shall be less than 350 kg/m<sup>3</sup>.

**7.3.4** For the concrete, water and aggregates specifications laid down in IS 456 shall be followed in general.

**7.3.5** The average compressive stress under working load should not exceed 25 percent of the specified works cube strength at 28 days calculated on the total cross-sectional area of the pile. Where the casing of the pile is permanent, of adequate thickness and of suitable shape, the allowable compressive stress may be increased.

## 8 WORKMANSHIP

### 8.1 Control of Alignment

Piles shall be installed as accurately as possible according to the design and drawings either

vertically or to the specified batter. Greater care should be exercised in respect of installation of single piles or piles in two pile groups. As a guide, for vertical piles, an angular deviation of 1.5 percent and for raker piles, a deviation of 4 percent should not be exceeded. Piles should not deviate more than 75 mm or  $D/6$  whichever is less (75 mm or  $D/10$  whichever is more in case of piles having diameter more than 600 mm) from their designed positions at the working level. In the case of single pile under a column the positional deviation should not be more than 50 mm or  $D/6$  whichever is less (100 mm in case of piles having diameter more than 600 mm). Greater tolerance may be prescribed for piles cast over water and for raking piles. For piles to be cut-off at a substantial depth below the working level, the design shall provide for the worst combination of the above tolerances in position and inclination. In case of piles deviating beyond these limits and to such an extent that the resulting eccentricity can not be taken care of by redesign of the pile cap or pile ties, the piles shall be replaced or supplemented by additional piles.

### 8.2 Sequence of Piling

**8.2.1** In a pile group the sequence of installation of piles shall normally be from the center to the periphery of the group or from one side to the other.

#### 8.2.2 Driving a Group of Friction Piles

Driving piles in loose sand tends to compact the sand, which in turn, increases the skin friction. In case where stiff clay or dense sand layers have to be penetrated, similar precautions described in **8.2.1** needs to be taken. However, in the case of very soft soils, the driving may have to proceed from outside to inside so that the soil is restricted from flowing out during operations.

### 8.3 Concreting and Withdrawal of Casing Tube

**8.3.1** Whenever condition indicates ingress of water, casing tube shall be examined for any water accumulation and care shall be taken to place concrete in a reasonably dry condition.

**8.3.2** The top of concrete in a pile shall be brought above the cut-off level to permit removal of all laitance and weak concrete before capping and to ensure good concrete at cut-off level. The reinforcing cages shall be left with adequate protruding length above cut-off level for proper embedment into the pile cap.

**8.3.3** Where cut-off level is less than 1.50 m below working level, the concrete shall be cast to a minimum of 600 mm above the cut-off level. In case the cut-off is at deeper level, the empty bore shall be

filled with lean concrete or suitable material, wherever the weight of fresh concrete in the casing pipe is found inadequate to counteract upward hydrostatic pressure at any level below the cut-off level.

Also before initial withdrawal of the casing tube, adequate quantity of concrete shall be placed into the casing to counter the hydrostatic pressure at pile tip.

#### 8.4 Defective Piles

**8.4.1** In case defective piles are formed, they shall be left in place and additional piles as necessary shall be provided.

**8.4.2** If there is a major variation in the depths at which adjacent piles in a group meet refusal, a boring may be made nearby to ascertain the cause of such difference. If the boring shows that the strata contain pockets of highly compressive material below the level of shorter pile, it may be necessary to take such piles to a level below the bottom of the zone, which shows such pockets.

#### 8.5 Deviations

Any deviation from the designed location, alignment or load-carrying capacity of any pile shall be noted and adequate measures taken to check the design well before the concreting of the pile cap and grade beams are done.

**8.6** While removing excess concrete or laitance above cut-off level, manual chipping shall be permitted after three days of pile concreting. Pneumatic tools shall be permitted only after seven days after casting. Before chipping/breaking the pile top, a groove shall be formed all around the pile diameter at the required cut-off level.

#### 8.7 Recording of Data

**8.7.1** A competent inspector shall be maintained at site to record necessary information during installation of piles and the data to be recorded shall essentially contain the following:

- a) Sequence of installation of piles in a group,
- b) Type and size of driving hammer and its stroke,
- c) Dimensions of the pile including the reinforcement details and mark of the pile,
- d) Cut-off level and working level,
- e) Depth driven,
- f) Time taken for driving and for concreting recorded separately, and
- g) Any other important observations, during driving, concreting and after withdrawal of casing tube.

**8.7.2** Typical data sheet for recording piling data are shown in Annex D.

## ANNEX A

(Clause 2)

### LIST OF REFERRED INDIAN STANDARDS

IS No.	Title	IS No.	Title
269 : 1989	Ordinary Portland cement, 33 grade — Specification ( <i>fourth revision</i> )	1786 : 1985	Specification for high strength deformed steel bars and wires for concrete reinforcement ( <i>third revision</i> )
432 (Part 1) : 1982	Specification for mild steel and medium tensile steel bars and hard-drawn steel wire for concrete reinforcement: Part 1 Mild steel and medium tensile steel bars ( <i>third revision</i> )	1892 : 1979	Code of practice for sub-surface investigations for foundations ( <i>first revision</i> )
455 : 1989	Portland slag cement — Specification ( <i>fourth revision</i> )	1893 (Part 1) : 2002	Criteria for earthquake resistant design of structures : Part 1 General provision and buildings ( <i>fifth revision</i> )
456 : 2000	Plain and reinforced concrete — Code of practice ( <i>fourth revision</i> )	2062 : 2006	Hot rolled low, medium and high tensile structural steel ( <i>sixth revision</i> )
1489	Portland-pozzolana cement — Specification:	2131 : 1981	Method for standard penetration test for soils ( <i>first revision</i> )
(Part 1) : 1991	Fly ash based ( <i>third revision</i> )	2911	Code of practice for design and construction of pile foundations :
(Part 2) : 1991	Calcined clay based ( <i>third revision</i> )	(Part 3) : 1980	Under-reamed piles ( <i>first revision</i> )

<i>IS No.</i>	<i>Title</i>	<i>IS No.</i>	<i>Title</i>
(Part 4) : 1984	Load test on piles ( <i>first revision</i> )	6403 : 1981	Code of practice for determination of bearing capacity of shallow foundations ( <i>first revision</i> )
2974 (Part 1) : 1982	Code of practice for design and construction of machine foundations: Part 1 Foundation for reciprocating type machines ( <i>second revision</i> )	8041 : 1990	Rapid hardening Portland cement — Specification ( <i>second revision</i> )
4968	Method for sub-surface sounding for soils:	8043 : 1991	Hydrophobic Portland cement — Specification ( <i>second revision</i> )
(Part 1) : 1976	Dynamic method using 50 mm cone without bentonite slurry ( <i>first revision</i> )	8112 : 1989	43 grade ordinary Portland cement — Specification ( <i>first revision</i> )
(Part 2) : 1976	Dynamic method using cone and bentonite slurry ( <i>first revision</i> )	12269 : 1987	Specification for 53 grade ordinary Portland cement
(Part 3) : 1976	Static cone penetration test ( <i>first revision</i> )	12330 : 1988	Specification for sulphate resisting Portland cement
		12600 : 1989	Portland cement, low heat — Specification

## ANNEX B

(Clauses 6.3.1.1 and 6.3.2)

### LOAD-CARRYING CAPACITY OF PILES — STATIC ANALYSIS

#### B-1 PILES IN GRANULAR SOILS

The ultimate load capacity ( $Q_u$ ) of piles, in kN, in granular soils is given by the following formula:

$$Q_u = A_p (\frac{1}{2} D \gamma N_\gamma + P_D N_q) + \sum_{i=1}^n K_i P_{Di} \tan \delta_i A_{si} \dots (1)$$

The first term gives end bearing resistance and the second term gives skin friction resistance.

where

- $A_p$  = cross-sectional area of pile tip, in  $m^2$ ;
- $D$  = diameter of pile shaft, in m;
- $\gamma$  = effective unit weight of the soil at pile tip, in  $kN/m^3$ ;
- $N_\gamma$  = bearing capacity factors depending upon the angle of internal friction,  $\phi$  at pile tip;
- $N_q$  = bearing capacity factors depending upon the angle of internal friction,  $\phi$  at pile tip;
- $P_D$  = effective overburden pressure at pile tip, in  $kN/m^2$  (*see Note 5*);
- $\sum_{i=1}^n$  = summation for layers 1 to  $n$  in which pile is installed and which contribute to positive skin friction;
- $K_i$  = coefficient of earth pressure applicable for the  $i$ th layer (*see Note 3*);
- $P_{Di}$  = effective overburden pressure for the  $i$ th layer, in  $kN/m^2$ ;
- $\delta_i$  = angle of wall friction between pile and soil for the  $i$ th layer; and
- $A_{si}$  = surface area of pile shaft in the  $i$ th layer, in  $m^2$ .

#### NOTES

**1**  $N_\gamma$  factor can be taken for general shear failure according to IS 6403.

**2**  $N_q$  factor will depend on the nature of soil, type of pile, the  $L/D$  ratio and its method of construction. The values applicable for driven piles are given in Fig. 1.

**3**  $K_i$ , the earth pressure coefficient depends on the nature of soil strata, type of pile, spacing of pile and its method of construction. For driven piles in loose to dense sand with  $\phi$  varying between  $30^\circ$  and  $40^\circ$ ,  $K_i$  values in the range of 1 to 2 may be used.

**4**  $\delta$ , the angle of wall friction may be taken equal to the friction angle of the soil around the pile stem.

**5** In working out pile capacity by static formula, the maximum effective overburden at the pile tip should correspond to the critical depth, which may be taken as 15 times the diameter of the pile shaft for  $\phi \leq 30^\circ$  and increasing to 20 times for  $\phi \geq 40^\circ$ .

**6** For piles passing through cohesive strata and terminating in a granular stratum, a penetration of at least twice the diameter of the pile shaft should be given into the granular stratum.

#### B-2 PILES IN COHESIVE SOILS

The ultimate load capacity ( $Q_u$ ) of piles, in kN, in cohesive soils is given by the following formula:

$$Q_u = A_p N_c c_p + \sum_{i=1}^n \alpha_i c_i A_{si} \dots (2)$$

The first term gives the end-bearing resistance and the second term gives the skin friction resistance.

where

- $A_p$  = cross-sectional area of pile tip, in  $m^2$ ;
- $N_c$  = bearing capacity factor, may be taken as 9;

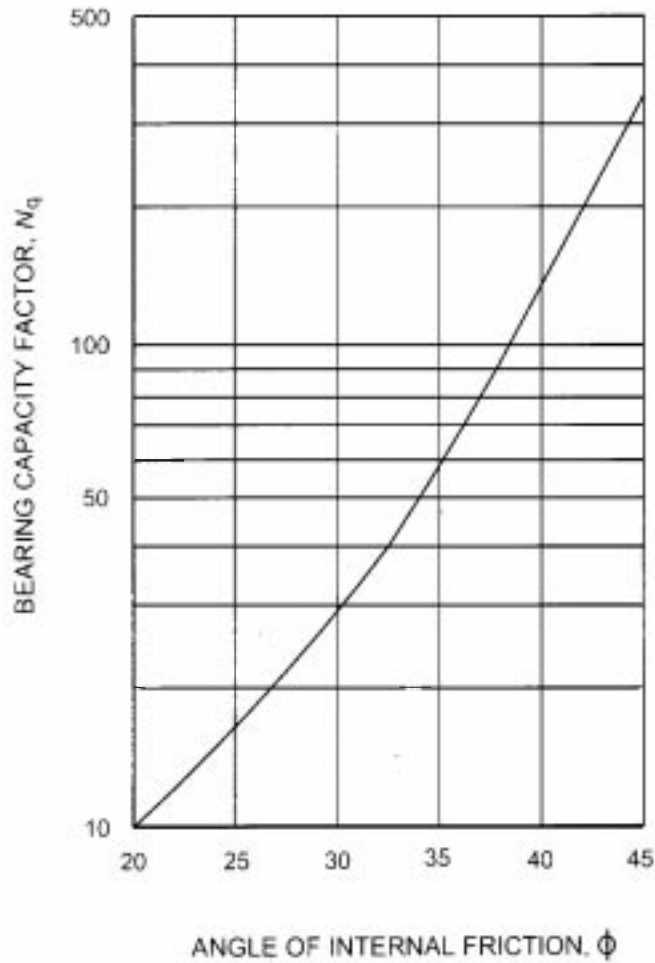


FIG. 1 BEARING CAPACITY FACTOR,  $N_q$  FOR DRIVEN PILES

$c_p$  = average cohesion at pile tip, in  $\text{kN/m}^2$ ;

$\sum_{i=1}^n$  = summation for layers 1 to  $n$  in which the pile is installed and which contribute to positive skin friction;

$\alpha_i$  = adhesion factor for the  $i$ th layer depending on the consistency of soil, (see Note);

$c_i$  = average cohesion for the  $i$ th layer, in  $\text{kN/m}^2$ ; and

$A_{si}$  = surface area of pile shaft in the  $i$ th layer, in  $\text{m}^2$ .

NOTE — The value of adhesion factor,  $\alpha_i$  depends on the undrained shear strength of the clay and may be obtained from Fig. 2.

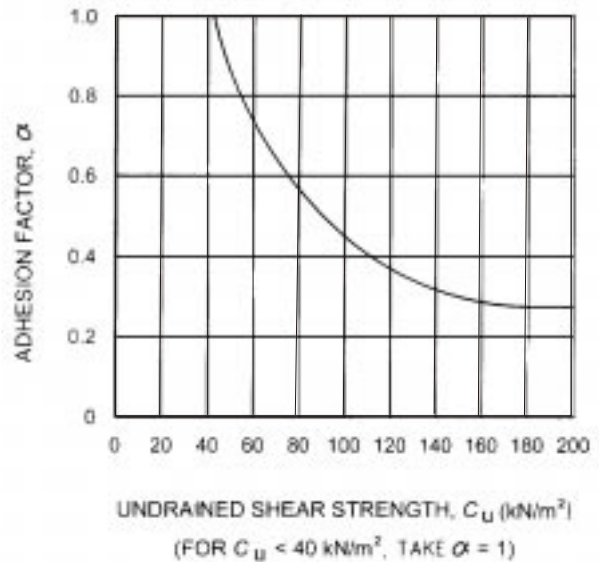


FIG. 2 VARIATION OF  $\alpha$  WITH  $C_u$

**B-3 USE OF STATIC CONE PENETRATION DATA**

**B-3.1** When full static cone penetration data are available for the entire depth, the following correlation may be used as a guide for the determination of ultimate load capacity of a pile.

**B-3.2** Ultimate end bearing resistance ( $q_u$ ), in  $\text{kN/m}^2$ , may be obtained as:

$$q_u = \frac{\frac{q_{c0} + q_{c1}}{2} + q_{c2}}{2}$$



where

- $q_{c0}$  = average static cone resistance over a depth of  $2D$  below the pile tip, in  $\text{kN/m}^2$ ;  
 $q_{c1}$  = minimum static cone resistance over the same  $2D$  below the pile tip, in  $\text{kN/m}^2$ ;  
 $q_{c2}$  = average of the envelope of minimum static cone resistance values over the length of pile of  $8D$  above the pile tip, in  $\text{kN/m}^2$ ; and  
 $D$  = diameter of pile shaft.

**B-3.3** Ultimate skin friction resistance can be approximated to local side friction ( $f_s$ ), in  $\text{kN/m}^2$ , obtained from static cone resistance as given in Table 1.

**Table 1 Side Friction for Different Types of Soil**

Sl No.	Type of Soil	Local Side Friction, $f_s$ $\text{kN/m}^2$
(1)	(2)	(3)
i)	$q_c$ less than 1 000 $\text{kN/m}^2$	$q_c/30 < f_s < q_c/10$
ii)	Clay	$q_c/25 < f_s < 2q_c/25$
iii)	Silty clay and silty sand	$q_c/100 < f_s < q_c/25$
iv)	Sand	$q_c/100 < f_s < q_c/50$
v)	Coarse sand and gravel	$q_c/100 < f_s < q_c/150$

$q_c$  = cone resistance, in  $\text{kN/m}^2$ .

**B-3.4** The correlation between standard penetration resistance,  $N$  (blows/30 cm) and static cone resistance,  $q_c$ , in  $\text{kN/m}^2$  as given in Table 2 may be used for working out the end-bearing resistance and skin friction resistance of piles. This correlation should only be taken as a guide and should preferably be established for a given site as they can substantially vary with the grain size, Atterberg limits, water table, etc.

**Table 2 Co-relation Between  $N$  and  $q_c$  for Different Types of Soil**

Sl No.	Type of Soil	$q_c/N$
(1)	(2)	(3)
i)	Clay	150-200
ii)	Silts, sandy silts and slightly cohesive silt-sand mixtures	200-250
iii)	Clean fine to medium sand and slightly silty sand	300-400
iv)	Coarse sand and sands with little gravel	500-600
v)	Sandy gravel and gravel	800-1 000

## B-4 USE OF STANDARD PENETRATION TEST DATA FOR COHESIONLESS SOIL

**B-4.1** The correlation suggested by Meyerhof using standard penetration resistance,  $N$  in saturated cohesionless soil to estimate the ultimate load capacity of driven pile is given below. The ultimate load capacity of pile ( $Q_u$ ), in  $\text{kN}$ , is given as:

$$Q_u = 40N \frac{L_b}{D} A_p + \frac{\bar{N} A_s}{0.50} \quad \dots(3)$$

The first term gives the end-bearing resistance and the second term gives the frictional resistance.

where

$N$  = average  $N$  value at pile tip;

$L_b$  = length of penetration of pile in the bearing strata, in m;

$D$  = diameter or minimum width of pile shaft, in m;

$A_p$  = cross-sectional area of pile tip, in  $\text{m}^2$ ;

$\bar{N}$  = average  $N$  along the pile shaft; and

$A_s$  = surface area of pile shaft, in  $\text{m}^2$ .

NOTE — The end-bearing resistance should not exceed  $400 NA_p$ .

**B-4.2** For non-plastic silt or very fine sand the equation has been modified as:

$$Q_u = 30N \frac{L_b}{D} A_p + \frac{\bar{N} A_s}{0.60} \quad \dots(4)$$

The meaning of all terms is same as for equation 3.

## B-5 FACTOR OF SAFETY

The minimum factor of safety for arriving at the safe pile capacity from the ultimate load capacity obtained by using static formulae shall be 2.5.

## B-6 PILES IN STRATIFIED SOIL

In stratified soil/C- $\phi$  soil, the ultimate load capacity of piles should be determined by calculating the end-bearing and skin friction in different strata by using appropriate expressions given in **B-1** and **B-2**.

**ANNEX C**  
(Clause 6.5.2)

**ANALYSIS OF Laterally Loaded PILES**

**C-1 GENERAL**

**C-1.1** The ultimate resistance of a vertical pile to a lateral load and the deflection of the pile as the load builds up to its ultimate value are complex matters involving the interaction between a semi-rigid structural element and soil which deforms partly elastically and partly plastically. The failure mechanisms of an infinitely long pile and that of a short rigid pile are different. The failure mechanisms also differ for a restrained and unrestrained pile head conditions.

Because of the complexity of the problem only a procedure for an approximate solution, that is, adequate in most of the cases is presented here. Situations that need a rigorous analysis shall be dealt with accordingly.

**C-1.2** The first step is to determine, if the pile will behave as a short rigid unit or as an infinitely long flexible member. This is done by calculating the stiffness factor  $R$  or  $T$  for the particular combination of pile and soil.

Having calculated the stiffness factor, the criteria for behaviour as a short rigid pile or as a long elastic pile are related to the embedded length  $L$  of the pile. The depth from the ground surface to the point of virtual fixity is then calculated and used in the conventional elastic analysis for estimating the lateral deflection and bending moment.

**C-2 STIFFNESS FACTORS**

**C-2.1** The lateral soil resistance for granular soils and normally consolidated clays which have varying soil modulus is modelled according to the equation:

$$\frac{p}{y} = \eta_h z$$

where

$p$  = lateral soil reaction per unit length of pile at depth  $z$  below ground level;

$y$  = lateral pile deflection; and

$\eta_h$  = modulus of subgrade reaction for which the recommended values are given in Table 3.

**Table 3 Modulus of Subgrade Reaction for Granular Soils,  $\eta_h$ , in kN/m<sup>3</sup>**

Sl No.	Soil Type	$N$ (Blows/30 cm)	Range of $\eta_h$ kN/m <sup>3</sup> × 10 <sup>3</sup>	
			Dry	Submerged
(1)	(2)	(3)	(4)	(5)
i)	Very loose sand	0-4	< 0.4	< 0.2
ii)	Loose sand	4-10	0.4-2.5	0.2-1.4
iii)	Medium sand	10-35	2.5-7.5	1.4-5.0
iv)	Dense sand	> 35	7.5-20.0	5.0-12.0

NOTE — The  $\eta_h$  values may be interpolated for intermediate standard penetration values,  $N$ .

**C-2.2** The lateral soil resistance for preloaded clays with constant soil modulus is modelled according to the equation:

$$\frac{p}{y} = K$$

where

$$K = \frac{k_1}{1.5} \times \frac{0.3}{B}$$

where  $k_1$  is Terzaghi's modulus of subgrade reaction as determined from load deflection measurements on a 30 cm square plate and  $B$  is the width of the pile (diameter in case of circular piles). The recommended values of  $k_1$  are given in Table 4.

**Table 4 Modulus of Subgrade Reaction for Cohesive Soil,  $k_1$ , in kN/m<sup>3</sup>**

Sl No.	Soil Consistency	Unconfined Compression Strength, $q_u$ kN/m <sup>2</sup>	Range of $k_1$ kN/m <sup>3</sup> × 10 <sup>3</sup>
(1)	(2)	(3)	(4)
i)	Soft	25-50	4.5-9.0
ii)	Medium stiff	50-100	9.0-18.0
iii)	Stiff	100-200	18.0-36.0
iv)	Very stiff	200-400	36.0-72.0
v)	Hard	> 400	>72.0

NOTE — For  $q_u$  less than 25,  $k_1$  may be taken as zero, which implies that there is no lateral resistance.

**C-2.3 Stiffness Factors**

**C-2.3.1 For Piles in Sand and Normally Loaded Clays**

$$\text{Stiffness factor } T, \text{ in m} = 5 \sqrt{\frac{EI}{\eta_h}}$$

where

$E$  = Young's modulus of pile material, in MN/m<sup>2</sup>;

$I$  = moment of inertia of the pile cross-section, in m<sup>4</sup>; and

$\eta_h$  = modulus of subgrade reaction, in MN/m<sup>3</sup> (see Table 3).

**C-2.3.2 For Piles in Preloaded Clays**

$$\text{Stiffness factor } R, \text{ in m} = 4 \sqrt{\frac{EI}{KB}}$$

where

$E$  = Young's modulus of pile material, in MN/m<sup>2</sup>;

$I$  = moment of inertia of the pile cross-section, in m<sup>4</sup>;

$K = \frac{k_1}{1.5} \times \frac{0.3}{B}$  (see Table 4 for values of  $k_1$ , in MN/m<sup>3</sup>); and

$B$  = width of pile shaft (diameter in case of circular piles), in m.

**C-3 CRITERIA FOR SHORT RIGID PILES AND LONG ELASTIC PILES**

Having calculated the stiffness factor  $T$  or  $R$ , the criteria for behaviour as a short rigid pile or as a long elastic pile are related to the embedded length  $L$  as given in Table 5.

**Table 5 Criteria for Behaviour of Pile Based on its Embedded Length**

Sl No.	Type of Pile Behaviour	Relation of Embedded Length with Stiffness Factor	
		Linearly Increasing	Constant
(1)	(2)	(3)	(4)
i)	Short (rigid) pile	$L \leq 2T$	$L \leq 2R$
ii)	Long (elastic) pile	$L \geq 4T$	$L \geq 3.5R$

NOTE — The intermediate  $L$  shall indicate a case between rigid pile behaviour and elastic pile behaviour.

**C-4 DEFLECTION AND MOMENTS IN LONG ELASTIC PILES**

**C-4.1** Equivalent cantilever approach gives a simple procedure for obtaining the deflections and moments due to relatively small lateral loads. This requires the determination of depth of virtual fixity,  $z_f$ .

The depth to the point of fixity may be read from the plots given in Fig. 3.  $e$  is the effective eccentricity of the point of load application obtained either by converting the moment to an equivalent horizontal load or by actual position of the horizontal load application.  $R$  and  $T$  are the stiffness factors described earlier.

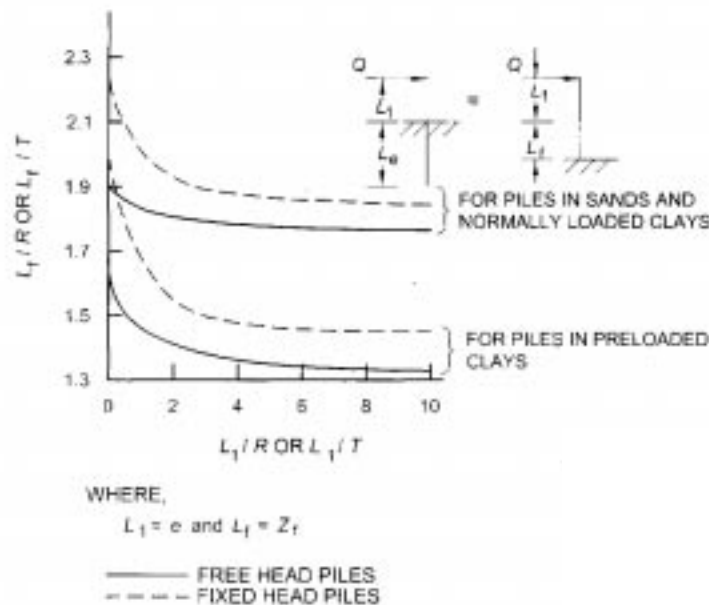


FIG. 3 DEPTH OF FIXITY

**C-4.2** The pile head deflection,  $y$  shall be computed using the following equations:

$$\text{Deflection, } y = \frac{H(e + z_f)^3}{3EI} \times 10^3 \quad \dots \text{for free head pile}$$

$$\text{Deflection, } y = \frac{H(e + z_f)^3}{12EI} \times 10^3 \quad \dots \text{for fixed head pile}$$

where

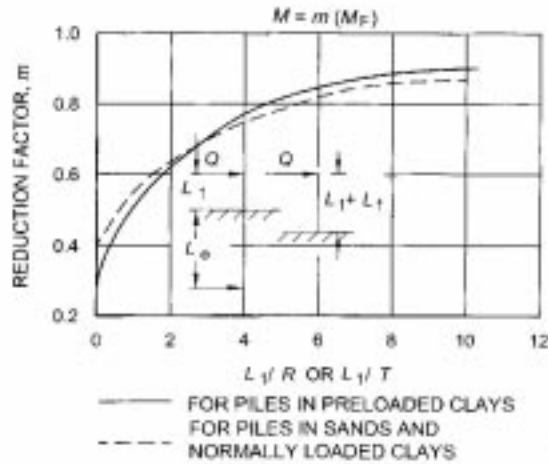
- $H$  = lateral load, in kN;
- $y$  = deflection of pile head, in mm;
- $E$  = Young's modulus of pile material, in  $\text{kN/m}^2$ ;
- $I$  = moment of inertia of the pile cross-section, in  $\text{m}^4$ ;
- $z_f$  = depth to point of fixity, in m; and
- $e$  = cantilever length above ground/bed to the point of load application, in m.

**C-4.3** The fixed end moment of the pile for the equivalent cantilever may be determined from the following expressions:

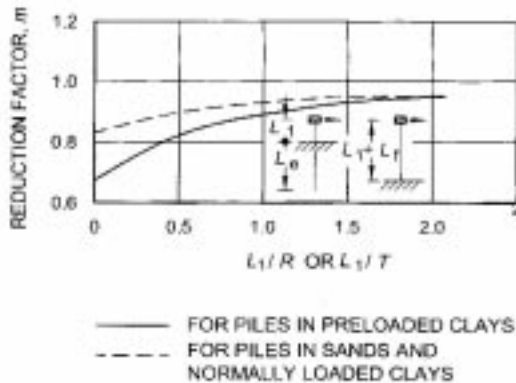
$$\text{Fixed end moment, } M_F = H(e + z_f) \quad \dots \text{for free head pile}$$

$$\text{Fixed end moment, } M_F = \frac{H(e + z_f)}{2} \quad \dots \text{for fixed head pile}$$

The fixed end moment,  $M_F$  of the equivalent cantilever is higher than the actual maximum moment  $M$  in the pile. The actual maximum moment may be obtained by multiplying the fixed end moment of the equivalent cantilever by a reduction factor,  $m$ , given in Fig. 4.



4A For Free Head Pile



4B For Fixed Head Pile

FIG. 4 DETERMINATION OF REDUCTION FACTORS FOR COMPUTATION OF MAXIMUM MOMENT IN PILE

**ANNEX D**  
(Clause 8.7.2)  
**DATA SHEET**

Site .....

Title .....

Date of enquiry .....

Date piling commenced.....

Actual or anticipated date for completion of piling work.....

Number of pile.....

---

**TEST PILE DATA**

Pile:                      Pile test commenced .....

                                 Pile test completed .....

Pile type:                      .....

                                 (Mention proprietary system, if any) .....

Pile specification:        { Shape — Round/Square

                                 { Size — Shaft ..... Tip .....

                                 { Reinforcement ..... No. .... dia for ..... (depth)

                                 { .....

Sequence of piling:        From centre towards the periphery or from periphery towards the centre

(for groups)

---

Concrete :                      Mix ratio 1: ..... by volume/weight

                                 or strength after .....days ..... N/mm<sup>2</sup>

                                 Quantity of cement/m<sup>3</sup>: .....

                                 Extra cement added, if any: .....

Weight of hammer        ..... Type of hammer .....

                                 (Specify rated energy, if any)

Fall of hammer              ..... Length finally driven .....

No. of blows during last 25 mm of driving .....

Dynamic formula used, if any .....

Calculated value of working load .....

(Calculations may be included)

Test loading:

    Maintained load/Cyclic loading/C.R.P .....

                                 .....

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Capacity of jack .....

If anchor piles used, give ..... No., Length .....

Distance of test pile from nearest anchor pile .....

Test pile and anchor piles were/were not working piles

Method of Taking Observations:

Dial gauges/Engineers level.....

Reduced level of pile tip .....

General Remarks:

.....

.....

.....

.....

.....

Special Difficulties Encountered:

.....

.....

.....

Results:

Working load specified for the test pile .....

Settlement specified for the test pile .....

Settlement specified for the structure .....

Working load accepted for a single pile as a result of the test .....

.....

.....

.....

Working load in a group of piles accepted as a result of the test .....

.....

.....

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General description of the structure to be founded on piles .....

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.....

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Name of the piling agency .....

.....

Name of person conducting the test .....

Name of the party for whom the test was conducted .....

**BORE-HOLE LOG**

1. Site of bore hole relative to test pile position .....

2. If no bore hole, give best available ground conditions .....

<i>Soil Properties</i>	<i>Soil Description</i>	<i>Reduced Level</i>	<i>Soil Legend</i>	<i>Depth below Ground Level</i>	<i>Thickness of Strata</i>
	Position of the tip of pile to be indicated thus →				
	Standing ground Water level indicated thus ▽				

**METHOD OF SITE INVESTIGATION**

Trial pit/Post-hole auger/Shell and auger boring/Percussion/Probing/Wash borings/Mud-rotary drilling/Core-drilling/Shot drilling/Sub-surface sounding by cones or Standard sampler

NOTE — Graphs, showing the following relations, shall be prepared and added to the report:

- a) Load vs Time, and
- b) Settlement vs Load.

**ANNEX E**  
(Foreword)

**COMMITTEE COMPOSITION**

Soil and Foundation Engineering Sectional Committee, CED 43

<i>Organization</i>	<i>Representative(s)</i>
In personal capacity (188/90, Prince Anwar Shah Road, Kolkatta 700045)	DR N. SOM ( <b>Chairman</b> )
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*Member Secretary*  
SHRIMATI MADHURIMA MADHAV  
Scientist 'B' (CED), BIS

### Pile and Deep Foundations Subcommittee, CED 43 : 5

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